

11-17-2000



101520231

To the Honorable Commissioner of Patents

checked original documents or copy thereof.

1. Name of conveying party(ies):

Novartis Corporation
564 Morris Avenue
Summit, NJ 07901

10-4-00

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Partnership
☒ Corporation-New York
☐ Other

Yamanouchi Pharmaceutical Co., Ltd.
3-11, Nihonbachi-honcho 2-chome
Chuo-ku, Tokyo
JAPAN



10-04-2000

U.S. Patent & TMO/TM Mail Rcpt Dt. #26

Additional name(s) of conveying party(ies) attached?

- ☐ yes ☒ no

- ☐ Individual(s) citizenship
☐ Association
☐ General Partnership
☐ Limited Partnership
☐ Corporation-State
☒ Other -- Japanese corporation

Additional name(s) & address(es) attached?

- ☐ yes ☒ no

Appointment of Domestic Representative attached?

- ☒ yes ☐ no

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Names
☐ Other

Execution Date: September 29, 2000

4. Application number(s) or registration number(s): 2,307,696

If this document is being filed together with a new application, the execution date of the application is:

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Gary D. Krugman, Esq.
SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC
2100 Pennsylvania Avenue, N.W.
Suite 800
Washington, D.C. 20037-3213

6. Total number of applications and registration involved:
1

7. Total Fee (37 CFR 3.41): \$40.00
☒ Enclosed. Please charge any underpayment in connection with this Assignment to Deposit Account No. 19-4880.
☐ Authorized to be charged to Deposit Account

8. Deposit Account No. 19-4880
(Attach dupl. copy of this page if paying by Deposit Account)

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name

October 4, 2000

Date

TOTAL NUMBER OF PAGES COMPRISING COVER SHEET, ATTACHMENTS AND DOCUMENT:

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Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Matter of:

Registrant: Yamanouchi Pharmaceutical Co., Ltd. (by Assignment)
Registration No.: 2,307,696
Registered: January 11, 2000
Mark: FORADIL

APPOINTMENT OF DOMESTIC REPRESENTATIVE

Assistant Commissioner for Trademarks
2900 Crystal Drive
Arlington, VA 22202-3513

Sir:

SUGHRUE, MION, ZINN, MACPEAK & SEAS, whose postal address is 2100 Pennsylvania Avenue, N.W., Washington, D.C. 20037, United States of America, is hereby designated registrant's representative upon whom notice or process in proceedings affecting the mark may be served.

YAMANOUCHI PHARMACEUTICAL CO., LTD.

Date: October 2, 2000

By: Hiroshi Horiguchi
Name: Hiroshi Horiguchi
Title: Director of Patent Department

ASSIGNMENT

WHEREAS, Novartis Corporation, a New York corporation with a business address of 564 Morris Avenue, Summit, New Jersey 07901 has adopted, used, is using and is the owner of the trademark FORADIL which is the subject of United States Trademark Registration No. 2,307,696 issued January 11, 2000; and

WHEREAS, Yamanouchi Pharmaceutical Co., Ltd., a Japanese corporation with a business address of 3-11, Nihonbachi-honcho 2-chome, Chuo-ku, Tokyo Japan is desirous of acquiring said registered trademark.

NOW, THEREFORE, in consideration of the sum of ten dollars (\$10.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, Novartis Corporation hereby assigns to Yamanouchi Pharmaceutical Co., Ltd. all right, title and interest in the United States in and to said trademark and trademark registration together with the good will of the business symbolized by said trademark and registration thereof.

NOVARTIS CORPORATION

By: B. A. Solomon
Name: Barry A. Solomon
Title: VP
Date: 9/29/00