

01-23-2001

U.S. Department of Commerce
Patent and Trademark Office

Corrective



MRD 10-20-2000

101571033

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Name: **Salomon Smith Barney Inc.**

Individual(s) Association
 General Partnership Limited Partnership
 Corporation-State of Delaware
 Other

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and Address of receiving party(ies)

Name: **Salomon Smith Barney Inc.**

Address: **388 Greenwich Street
New York, New York 10013**

3. Nature of conveyance: 10-20-00

Assignment Merger
 Security Agreement Change of Name

Other **Corrective Recordation to DELETE Reg. No. 1,814,056 incorrectly included in merger recordation at Reel/Frame 1872/0139**

Execution Date: **October 15, 1998**

Individual(s) citizenship

Association

General Partnership

Limited Partnership

Corporation-State of **New York**

Other: _____

If assignee is not domiciled in the United States, a domestic representative designation is attached: **not applicable**
(Designations must be a separate document from assignment)
Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration number(s):

A. Trademark Application Nos.: **74324430**

B. Trademark Registration Nos.

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

**Dorothy R. Whitney, Esq.
Cowan, Liebowitz & Latman, P.C.
1133 Avenue of the Americas
New York, NY 10036-6799**

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41)..... **\$40.00**

Enclosed

Note: Any deficiency is authorized to be charged to Deposit Account No. 03-3415.

8. Deposit Account No. 03-3415 **400**

(Attach duplicate copy of this page if paying by deposit account)

01/23/2001 DBYRNE 00000166 74324430

01 FC:481 40.00 OP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dorothy R. Whitney
Name of Person Signing

Dorothy R. Whitney 1/3/01
Signature Date

02-05-1999

U.S. Department of Commerce
Patent and Trademark Office

RECOI

RECOI



100959315

MPS
2/1/99

To the Honorable Commissioner of Patents and Trademarks: Please receive the attached original documents or copy thereof.

1. Name of conveying party(ies):

Name: **Salomon Smith Barney Inc.**

- Individual(s)
- General Partnership
- Corporation-State of Delaware
- Other

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and Address of receiving party(ies)

Name: **Salomon Smith Barney Inc.**

Address: **388 Greenwich Street
New York, New York 10013**

- Individual(s) citizenship
- Association
- General Partnership
- Limited Partnership
- Corporation-State of New York
- Other:

If assignee is not domiciled in the United States, a domestic representative designation is attached: **not applicable**
(Designations must be a separate document from assignment)
Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment
- Merger
- Security Agreement
- Change of Name
- Other

Execution Date: **October 15, 1998**

4. Application number(s) or registration number(s):

A. Trademark Application Nos.:
See SCHEDULE A attached

B. Trademark Registration Nos.:
See SCHEDULE B attached

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

**Dorothy R. Whitney, Esq.
Cowan, Liebowitz & Latman, P.C.
1133 Avenue of the Americas
New York, NY 10036-6799**

02/04/1999 DNGUYEN 00000119 75484776

01 FC:481 40.00 DP
02 FC:482 3075.00 DP

6. Total number of applications and registrations involved: **124**

7. Total fee (37 CFR 3.41)..... **\$3,115.**

Enclosed

Note: Any deficiency is authorized to be charged to Deposit Account No. 03-3415.

8. Deposit Account No. **03-3415**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dorothy R. Whitney

Dorothy R. Whitney 1-26-99
Signature Date

Total number of pages including cover sheet, attachments, and document: **18**

Mail to: U.S. Patent & Trademark Office, Office of Public Records, Crystal Gateway 4, Rm.335, Washington, D.C. 20231

SCHEDULE A

COUNTRY	MARK	CLASS	APPL. NO./DATE	REG. NO./DATE	STATUS
U. S. A.	401K ACCESS	IN 36	75/484776 14W11998		FILED
U. S. A.	2 ACCESS & DESIGN	IN 36	75/186065 230C1996		FILED
U. S. A.	ASSETONE	IN 36	75/277740 21AP1997		FILED
U. S. A.	CIDRS	IN 36	75/476578 29AP1998		FILED
U. S. A.	CONCEPT INVESTMENTS	IN 36	75/231889 27JA1997		FILED
U. S. A.	CONCERT SELECT	IN 36	75/231289 27JA1997		FILED
U. S. A.	CORPORATIONS	IN 36	75/3513 200C1997		FILED
U. S. A.	LIBRARIANS	IN 36	75/174134 14SR1995		FILED
U. S. A.	ELECTRONIC COMMUNICATIONS TRADING SERVICES	IN 36	75/562001 200E1999		FILED
U. S. A.	ELKS	IN 36	75/330393 25J11997		FILED
U. S. A.	FUNDPATH	IN 36	75/522142 06AP1998		FILED
U. S. A.	GINS	IN 36	75/497798 090E1999		FILED
U. S. A.	GREENWICH STREET ADVISORS	IN 36	75/319649 07J11997		FILED

COUNTRY	MARK	CLASS	APPL. NO./DATE	REG. NO./DATE	STATUS
U. S. A.	GYRUS	IN 16 IN 36	75/479435 05M1996		FILED
U. S. A.	HUTTON INVESTORS SERVICES, L.P. II	IN 36	75/474617 01001996		FILED
U. S. A.	HYTOPS	IN 36	75/247922 26FE1997		FILED
U. S. A.	INSIDE TRACK	IN 36	75/331867 28J11997		FILED
U. S. A.	JUMPS	IN 36	75/522057 20J11998		FILED
U. S. A.	LANCES	IN 36	75/392640 19NO1997		FILED
U. S. A.	MAPS	IN 36	75/422079 20M1998		FILED
U. S. A.	MUNICIPAL MARKET COMMENT	IN 16	75/487507 18M1996		FILED
U. S. A.	NET TRAVELERS	IN 36	74/729729 15SE1995		FILED

SCHEDULE A

PAGE 1

COUNTRY	MARK	CLASS	APPIN. NO. / DATE	REG. NO. / DATE	STATUS
U. S. A.	OFFSHORE TRAK	IN 36	75/479928 05MY1998		FILED
U. S. A.	PARTICIPANT INVESTMENT PLANNER	IN 36	75/32027 07JUL1997		FILED
U. S. A.	PEACHTREE DISCIPLINED GROWTH F UND	IN 36	75/114327 03JEL1996		FILED
U. S. A.	PEACHTREE MUTUAL FUNDS	IN 36	74/492349 22FEB1994		FILED
U. S. A.	FUNDS	IN 36	75/452046 18MR1998		FILED
U. S. A.	PIONEERS IN MANAGED MONEY RELATIONSHIPS	IN 36	75/541773 24AUG1998		FILED
U. S. A.	RESERVED ASSET PORTFOLIO	IN 36	75 253501 07APR1997		FILED
U. S. A.	CURRENCY	IN 36	75/524423 23DEC1998		FILED
U. S. A.	QUIET TRADES	IN 36	75/573234 20OCT1998		FILED
U. S. A.	PERFORMANCE OF SERVICE	IN 36	75/382971 13OCT1998		FILED
U. S. A.	REFS	IN 36	75/524428 23OCT1998		FILED
U. S. A.	ROBINSON-HUMPHREY STRATEGIES	IN 16	75/391800 17NOV1997		FILED

COUNTRY	MARK	CLASS	APPL. NO./DATE	REG. NO./DATE	STATUS
U. S. A.	SAFETY FIRST INVESTMENTS	IN 36	75/564931 060C1998		FILED
U. S. A.	SALOMON DIRECT	IN 36	75/409000 22DE1997		FILED
U. S. A.	SALOMON SMITH BARNEY	IN 36	75/398235 01DE1997		FILED
U. S. A.	SALOMON SMITH BARNEY RETIREMENT ACCESS	IN 36	75/589707 12NO1998		FILED
U. S. A.	SALOMON SMITH BARNEY ACCESS	IN 36	75/497799 08OE1998		FILED
U. S. A.	SALOMON SMITH BARNEY PROFESSIO NAL ALLIANCE	IN 36	75/532148 06AU1998		FILED
U. S. A.	SMITH BARNEY ACCESS	IN 36			FILED
U. S. A.	SMITH BARNEY ONLINE ACCESS	IN 36	75/177961 07OC1996		FILED
U. S. A.	SMITH BARNEY RELATIONSHI A SWISORF	IN 36	75/109000 24MI1996		FILED
U. S. A.	SMITH BARNEY STRATEGIES	IN 10	75/391840 17NO1997		FILED

SCHEDULE A

COUNTRY	MARX	CLASS	APPL. NO./DATE	REG. NO./DATE	STATUS
U. S. A.	SPARCS	IN 36	75/218440 26DEC1996		FILED
U. S. A.	STOCK OPTION ACCESS	IN 36	75/484783 14MY1998		FILED
U. S. A.	STOCK PURCHASE ACCESS	IN 36	75/484782 14MY1998		FILED
U. S. A.	STRATEGIC 10/A+ PORTFOLIO	IN 36	75/526380 27JUL1998		FILED
U. S. A.	SUCCESS IS EARNED	IN 36	75/484775 14MY1998		FILED
U. S. A.	SURVIVORASSIST	IN 36	75/524431 23JUL1998		FILED
U. S. A.	TAKE YOUR PARENTS TO SCHOOL DAY	IN 41	75/348981 28MAY1997		FILED
U. S. A.	THE WEBSITE WITH INSIGHT	IN 36	75/391521 10NOV1997		FILED
U. S. A.	TEARS	IN 36	75/473334 26SEP1998		FILED
U. S. A.	VIRTUAL TRAVELLERS	IN 36	74/729739 15SEP1995		FILED
U. S. A.	WEALTH	IN 41	75/527046 27JUL1998		FILED

COUNTRY	MARK	CLASS	APPL. NO./DATE	REG. NO./DATE	STATUS
U. S. A.	WOMEN ESTABLISHING A LIFETIME OF FINANCIAL HEALTH	IN 41	75/526378 27JUL1998		FILED
U. S. A.	WORLD.BRONER	IN 36	75/461118 08M11998		FILED
U. S. A.	WORLD.TRADER	IN 36	75/588761 16NO1998		FILED
U. S. A.	YANGPPS	IN 36	75/522074 20JUL1998		FILED

COUNTRY	MARK	CLASS	APPLN. NO./DATE	REG. NO./DATE	STATUS
U. S. A.	401(K) ADVISOR	IN 9	74/324430 22OCT1992	1868841 20DEC1994	REGISTERED
U. S. A.	401(K) BLUEPRINT	IN 36	75/113190 03JUL1996	2085099 29JUL1997	REGISTERED
U. S. A.	401(K) TRAK	IN 36	74/368057 15MAY1993	1806413 23NOV1993	REGISTERED
U. S. A.	ASAP AUTOMATED STOCK ACCESS PROGRAM	IN 36	74/633697 13FEB1995	1968124 16APR1996	REGISTERED
U. S. A.	CAPITAL (K) PASS-THROUGH SECURITIES	IN 36	75/221600 06JAN1997	2168691 23FEB1998	REGISTERED
U. S. A.	EXPTS	IN 36	73/714790 04MAY1988	1513044 15NOV1988	REGISTERED
U. S. A.	EXP. HORIZON	IN 36	74/68924 04FEB1997	1679071 16MAY1997	REGISTERED
U. S. A.	EXERCISE STRIKES	IN 36	74/17692 05FEB1994	2149765 07APR1998	REGISTERED
U. S. A.	EXPRESS CREDIT	IN 36	75/127723 01JUL1996	2128273 13OCT1998	REGISTERED
U. S. A.	EXPT STRIKES	IN 36	72/14721 04MAY1988	2010980 10NOV1988	REGISTERED
U. S. A.	EXPT	IN 36	74/40177 01DEC1992	1888481 08DEC1995	REGISTERED
U. S. A.	FUNDING FOR LIFE	IN 36	73/602725 06FEB1996	1425144 13JAN1997	REGISTERED

COUNTRY

MARK

CLASS

APPL. NO./DATE

REG. NO./DATE

STATUS

U. S. A.

GEOMETRIC DESIGN

IN 36

74/717758 18AUI995

2015175 12NO1996

REGISTERED

U. S. A.

GETTING THERE

IN 16

74/262579 03API992

1733026 17NO1992

REGISTERED

U. S. A.

HORIZON MATCHING

IN 16
IN 36

445839 29SE1983

1292821 04SE1984

REGISTERED

U. S. A.

INVEST YOUR TIME BEFORE
YOU INVEST YOUR MONEY

IN 36

73/475950 18API984

1333604 30AP1985

REGISTERED

U. S. A.

MANAGING THE BUSINESS OF LIFE

IN 36

74/395707 21MY1993

1824609 01MR1994

REGISTERED

U. S. A.

MINDS OVER MONEY

IN 36

73/538117 17MI1985

1370545 12NO1985

REGISTERED

U. S. A.

REACH THE ASSET MANAGEMENT

IN 36

74/490640 08FE1994

1947190 02FE1994

REGISTERED

U. S. A.

DEPARTURE VENTURE CAPITAL

IN 36

74/709079 02MI1995

0039272 18FE1997

REGISTERED

U. S. A.

CHANGE FORWARD

IN 36

01/03000 12NO1992

4100491 21NO1991

REGISTERED

U. S. A.

STATION

IN 36

3/0896195 18NO1987

1576756 09021990

REGISTERED

COUNTRY	MARK	CLASS	APPL. NO./DATE	REG. NO./DATE	STATUS
U. S. A.	SALOMON BROTHERS	IN 36	73/696194 18NO1987	1581876 06FEB1990	REGISTERED
U. S. A.	SALOMON BROTHERS & DESIGN	IN 36		911433 13AP1971	REGISTERED
U. S. A.	SCENARIO DEPENDENT OPTIMISATION	IN 36	74/302145 07AUG1992	1784423 27JUL1993	REGISTERED
U. S. A.	SDO	IN 36	74/302144 07AUG1992	1766206 20AP1993	REGISTERED
U. S. A.	SMITH BARNEY	UN 36	74/587670 20OCT1994	1986596 16JUL1996	REGISTERED
U. S. A.	SMITH BARNEY FIXED INCOME HIGH NET WORTH & DESIGN	IN 36	74/607011 05DEC1994	1979320 11OCT1996	REGISTERED
U. S. A.	SMITH BARNEY MUTUAL FUNDS 1	IN 36	74 601941 01NOV1994	207964 14FEB1997	REGISTERED
U. S. A.	SMITH BARNEY RETIREMENT & FINANCIAL SERVICES	IN 36	74 601942 01NOV1994	2144237 13MAY1998	REGISTERED
U. S. A.	SMITH BARNEY SELECT & DESIGN	IN 36	74/335086 01NOV1994	1901071 26NOV1994	REGISTERED
U. S. A.	SMITH BARNEY SHEARSON	IN 36	74/123172 07FEB1994	1869937 27OCT1994	REGISTERED

COUNTRY

MARK

CLASS

APPLN NO / DATE

REG. NO. / DATE

STATUS

U. S. A. SMITH BARNEY SHEARSON SELECT IN 36 74/424534 16AUG1993 1869932 27DEC1994 REGISTERED
 CLIENT & DESIGN

U. S. A. SMITH BARNEY THEY MAKE MONEY T IN 36 75/049118 26JAN1996 2025574 24DEC1996 REGISTERED
 HE OLD FASHIONED WAY.
 THEY EARN IT.

U. S. A. SMITH BARNEY WE MAKE MONEY THE IN 36 74/607166 05DEC1994 1959965 05MAY1996 REGISTERED
 OLD FASHIONED WAY.
 WE EARN IT.

U. S. A. STOCKFACTS IN 9 73/750955 09SEP1988 1581141 06FEB1990 REGISTERED

U. S. A. STRATEGIC 10 PORTFOLIO IN 36 75/180741 15OCT1996 2110642 04NOV1997 REGISTERED

U. S. A. TARGETS TARGETED GROWTH EQUITY IN 36 74/682803 01FEB1995 1441802 12MAY1996 REGISTERED
 HIGH TERM SECURITIES

U. S. A. THE CONCEPT SERIES IN 36 74/119394 14JUN1996 2061376 13MAY1997 REGISTERED

U. S. A. THE SERIOUS INVESTOR IN 36 73/7934 3 14OCT1996 1547665 11JUL1999 REGISTERED

U. S. A. THESE IN 36 75/274356 14AUG1997 2149402 07APR1998 REGISTERED

SCHEDULE B

COUNTRY	MARK	CLASS	APPLN. NO./DATE	REG. NO./DATE	STATUS
U. S. A.	TOTAL RETURN MANAGEMENT	IN 36	73/578045 15JAI1986	1428717 10FBI1987	REGISTERED
U. S. A.	TRAK	IN 36	74/189221 29JLI1991	1766919 20API1993	REGISTERED
U. S. A.	TRUPS	IN 36	75/094996 26API1996	2071515 17JFI1997	REGISTERED
U. S. A.	TRUST INVESTMENT ENHANCED RETURN SECURITIES	IN 36	75/274335 14API1997	2129640 13JAI1998	REGISTERED
U. S. A.	VANTAGE ACCOUNT & DESIGN	IN 36	76/377703 30JLI1982	1300903 16OCI1984	REGISTERED
U. S. A.	VANTAGE MONEY MARKET FUNDS	IN 36	74/237209 13JAI1992	1722443 06OCI1992	REGISTERED
U. S. A.	WHERE WE STAND	IN 36	73/700374 11DEI1987	1515752 06DEI1988	REGISTERED
U. S. A.	YOU CAN GET THERE FROM HERE	IN 36	74/121100 02FBI1991	1766915 14FBI1993	REGISTERED
U. S. A.	ZIF	IN 36	75/02006 02FBI1991	1766902 04FBI1991	REGISTERED
U. S. A.	ZIF	IN 36	75/02006 02FBI1991	2035493 04FBI1991	REGISTERED

State of New York }
Department of State }^{ss:}

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.

Witness my hand and seal of the Department of State on DEC 16 1998



A handwritten signature in cursive script, appearing to read "J. Clark", followed by a long horizontal line extending to the right.

Special Deputy Secretary of State

DOS-1266 (5/96)

F 981015000641

CT-07

Certificate of Merger
of
Salomon Smith Barney Inc.
and

CT-07

Pendex Real Estate Corp.
under section 904 of the Business Corporation Law

The undersigned corporations as the constituent corporations in
a merger (the *Merger*) pursuant to section 904 of the Business
Corporation Law (the *BCL*), certify that:

1. ~~The constituent corporations are Salomon Smith Barney~~
Inc., a Delaware corporation (*Salomon Smith Barney*), and
Pendex Real Estate Corp., a New York corporation
(*Pendex*).

Pendex is the surviving corporation.

Salomon Smith Barney was incorporated January 7, 1960 in
Delaware under the name Smith, Barney & Co. Incorporated. Its application for authority to do business in New
York was filed by the department of state on January 21,
1960.

The certificate of incorporation of Pendex was filed with the
department of state on February 23, 1977.

2. The outstanding shares of capital stock of Salomon Smith
Barney consists of (i) 1,000 shares of common stock, \$10,000
par value, all of which are entitled to vote, and (ii) 10 shares
of series A cumulative preferred stock, \$10,000 par value,
none of which are entitled to vote.

The outstanding shares of capital stock of Pendex consists of
10 shares of common stock, without par value, all of which
are entitled to vote.

3. Article First of the certificate of incorporation of the surviving
corporation shall be amended to read in its entirety as follows:

First: Name

The name of the corporation is Salomon Smith Barney Inc.

- 4 The effective date of the Merger shall be October 15, 1998.
- 5 The Merger was authorized with respect to the domestic constituent corporation, Pendex, by the adoption of a plan of merger meeting the requirements of section 902 of the BCL by
 - (i) the board of directors of Pendex pursuant to section 902 of the BCL and, after having been first duly executed on behalf of Pendex and Salomon Smith Barney, and
 - (ii) the written consent of the holders of all shares of Pendex entitled to vote thereon pursuant to sections 903 and 615 of the BCL.

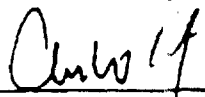
The Merger is permitted by the laws of Delaware, the jurisdiction of Salomon Smith Barney, the constituent foreign corporation, and is in compliance therewith.

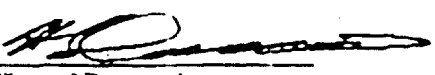
2

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This certificate is dated October 15, 1998 and is affirmed by each of the undersigned constituent corporations as true under the penalties of perjury.

Salomon Smith Barney Inc.

By: 
Charles W. Scharf
Chief Financial Officer

By: 
Howard Darmstadter
Assistant Secretary

Pendex Real Estate Corp.

By: _____
Denise Strain
President

By: _____
Jeffrey Serether
Vice President and Secretary

plattinbermorgantay merge cert 2.1.98

CT-07

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CERTIFICATE OF MERGER
OF
SALOMON SMITH BARNEY INC
INTO
PENDIX REAL ESTATE CORP.

UNDER SECTION 904 OF THE BUSINESS CORPORATION LAW

BCC
STATE OF NEW YORK
DEPARTMENT OF STATE

FILED OCT 15 1998
TAXS 0
BY: JW

NASSAU

BCC

BILLED

5

SKAI DEN ARPS SLATE MEAGHER & FLOM
ONE RODNEY SQUARE
WILMINGTON, DE 19899

981015000659

TRADEMARK