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To the Honorable Commissioner of Patents and Traden

11-24-2000

RTMENT OF COMMERCE Patent and Trademark Office

copy thereof.

# 101526405

1. Name of conveying party(ies): ACTION ENGINE CORPORATION Name: IMPERIAL BANK Address: 5330 CARILLON POINT Individual(s) citizenship: City: KIRKLAND State: WA Zip: 98033 Association: Individual(s) citizenship: General Partnership: Association: Limited Partnership: General Partnership: Corporation - State: DELAWARE Limited Partnership: Other: Corporation - State: Additional name(s) of conveying party(ies) attached? [ ] Yes [X] No Other: a California chartered bank 3. Nature of Conveyance: If assignee is not domiciled in the United States, a domestic representative designation is attached: [ ] Yes [ ] No Assignment ] Merger (Designations must be a separate document from assignment) [X] Security Agreement ] Change of Name [ Other Additional name(s) & address(es) attached? [ ] Yes [x] No Execution Date: November 15, 2000 4. Application number(s) or trademark number(s): A. Trademark Application No.(s) B. Trademark Registration No.(s) 75/743,336 75/743,335 Additional numbers attached? [] Yes [X] No 5. Name and address of party to whom correspondence concerning 6 Total number of applications and registrations involved: 2 document should be mailed: Erin O'Brien Name: Internal Address: GRAY CARY WARE & FREIDENRICH 400 Hamilton Avenue Palo Alto, California 94301 7. Total fee (37 CFR 3.41) . . . . . \$ 65.00 [ ] Enclosed [X] Authorized to be charged to deposit account 8. Deposit account number: \_ (Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Erin O'Brien

Name of Person Signing

Green Popular Signature

November 21, 2000

Date

Total number of pages comprising cover sheet: [ 6]

Mail Documents to be recorded with required cover sheet information to: U.S. Patent and Trademark Office, Office of Public Records 1213 Jefferson Davis Highway, 3rd Floor Arlington, VA 22202

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### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement is entered into as of November 15, 2000 by and between IMPERIAL BANK ("Bank") and ACTION ENGINE CORPORATION, a Delaware corporation ("Grantor").

#### **RECITALS**

- A. Bank has agreed to make certain advances of money and to extend certain financial accommodation to Grantor (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement by and between Bank and Grantor dated of even date herewith (as the same may be amended, modified or supplemented from time to time, the "Loan Agreement"; capitalized terms used herein are used as defined in the Loan Agreement). Bank is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Bank a security interest in certain Copyrights, Trademarks and Patents to secure the obligations of Grantor under the Loan Agreement.
- B. Pursuant to the terms of the Loan Agreement, Grantor has granted to Bank a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Agreement, Grantor hereby represents, warrants, covenants and agrees as follows:

#### **AGREEMENT**

To secure its obligations under the Loan Agreement and under all other agreements now existing or hereafter arising between Grantor and Bank, Grantor grants and pledges to Bank a security interest in all of Grantor's right, title and interest in, to and under its Intellectual Property Collateral (including without limitation those Copyrights, Patents and Trademarks listed on Schedules A, B and C hereto), and including without limitation all proceeds thereof (such as, by way of example but not by way of limitation, license royalties and proceeds of infringement suits), the right to sue for past, present and future infringements, all rights corresponding thereto throughout the world and all re-issues, divisions continuations, renewals, extensions and continuations-in-part thereof.

This security interest is granted in conjunction with the security interest granted to Bank under the Loan Agreement. The rights and remedies of Bank with respect to the security interest granted hereby are in addition to those set forth in the Loan Agreement and the other Loan Documents, and those which are now or hereafter available to Bank as a matter of law or equity. Each right, power and remedy of Bank provided for herein or in the Loan Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by Bank of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Loan Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including Bank, of any or all other rights, powers or remedies.

Grantor represents and warrants that Exhibits A, B, and C attached hereto set forth any and all intellectual property rights in connection to which Grantor has registered or filed an application with either the United States Patent and Trademark Office or the United States Copyright Office, as applicable.

This Agreement may be executed in two or more counterparts, each of which shall be deemed an original but all of which together shall constitute the same instrument.

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IN WITNESS WHEREOF, the parties have cause this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

**GRANTOR:** 

Address of Grantor:

8520 154th Avenue Northeast 2nd Floor
Redmond, WA 98052-3500

Attn: Chief Financial Officer

BANK:

IMPERIAL BANK

Address of Bank:

5330 Carillon Point
Kirkland, WA 98033

ACTION ENGINE CORPORATION

BY: Service of Servic

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Attn: Chris Fenner

# EXHIBIT A

Copyrights

Description

Registration/ Application Number Registration/ Application <u>Date</u>

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## **EXHIBIT B**

## Patents

<u>Description</u>	Registration/ Application Number	Registration/ Application <u>Date</u>
Web-Based personal assistance communication system	Provisional 60/182,330	2/14/2000
Web-Based personal assistance communication system	Non-Provisional 09/616,468	7/14/2000
Web-Based personal assistance communication method	Non-Provisional 09/615,660	7/14/2000
Web-Based personal assistance user interface system	Non-Provisional 09/616,490	7/14/2000
Voice-to-concept conversion system	Pending	
On-line service provider sign-up system	Pending	
System for converting textual concepts to interactive audio and audio/visual presentations	Pending	
System for Obtaining service-related information for local interactive wireless devices	Pending	
System for secure electronic transactions using unique identifies for order-related information	Pending	
Airline flight departure and arrival prediction based upon historical	Pending	
and real-time data  Hypertext concept notation for dynamically constructing a sentence	Pending	
to respond to a user request	Pending	
Assembling personal information of a target person based upon third-party information and a request purpose	Pending	
Automated reservation and appointment system using interactive voice recognition	_	
Rendering data using rendering instructions based upon concept	Pending	
Automated alert state change of user devices for time-based and	Pending	

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location-based events

# EXHIBIT C

## Trademarks

	Registration/ Application	Registration/ Application
Description	<u>Number</u>	<u>Date</u>
VIVA E-SISTANT	75/743,336	07/06/99
E-SISTANT	75/743,335	07/06/99
Action Engine Trademark		
Action Engine Service Mark		
e-sistant Trademark		
e-sistant Service Mark		
e-sistant Design Trademark (Boxed E & Arrow)		
e-sistant Design Service Mark (Boxed E & Arrow)		
Action Messaging Trademark		
Action Messaging Service Mark		

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**RECORDED: 11/22/2000**