

12-27-2000



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U.S. Department of Commerce  
Patent and Trademark Office  
TRADEMARK

*C*

12-11-00

RECORDATION FORM COVER SHEET  
TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

<b>Submission Type</b>		<b>Conveyance Type</b>	
<input type="checkbox"/> New	<input type="checkbox"/> Resubmission (Non-Recordation) Document ID # _____	<input type="checkbox"/> Assignment	<input type="checkbox"/> License
<input type="checkbox"/> Correction of PTO Error Reel # _____ Frame # _____	<input checked="" type="checkbox"/> Corrective Document Reel # 002028 Frame # 0586	<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Nunc Pro Tunc Assignment Effective Date Month Day Year _____
		<input type="checkbox"/> Merger	
		<input type="checkbox"/> Change of Name	
		<input checked="" type="checkbox"/> Other	previously recorded error

**Conveying Party**  Mark if additional names of conveying parties attached

Name AMS Optotech GmbH Execution Date 10/20/00  
Month Day Year

Formerly \_\_\_\_\_

Individual  General Partnership  Limited Partnership  Corporation  Association

Other GmbH

Citizenship/State of Incorporation/Organization \_\_\_\_\_

**Receiving Party**  Mark if additional names of receiving parties attached

Name Adva AG Optical Networking

DBA/AK/TA \_\_\_\_\_

Composed of \_\_\_\_\_

Address (line 1) Fraunhoferstrasse 22

Address (line 2) \_\_\_\_\_

Address (line 3) Martinsried Germany D-82152  
City State/Country Zip Code

Individual  General Partnership  Limited Partnership  If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

Corporation  Association

Other Joint Stock Company

Citizenship/State of Incorporation/Organization \_\_\_\_\_

12/26/2000 GT0N11 00000181 75464370  
01 FC:481 40.00 OP

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 2021

TRADEMARK  
REEL: 002200 FRAME: 0586

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments.

#

**Trademark Application Number(s) or Registration Number(s)**

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)			Registration Number(s)		
<input type="text" value="75/464,370"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Number of Properties** Enter the total number of properties involved.

#

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41):

\$

Method of Payment: Enclosed  Deposit Account

Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.)

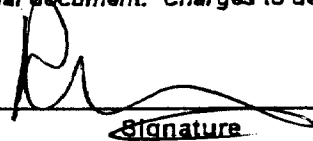
Deposit Account Number: #

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Russell D. Culbertson  
Name of Person Signing

  
Signature

December 8, 2000  
Date Signed

2-1-00

03-02-2000

FORM PTO-1618A  
Expires 06/30/99  
OMB 0651-0027

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U.S. Department of Commerce  
Patent and Trademark Office  
TRADEMARK

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OPR/FINANCE RECORDATION FORM COVER SHEET  
TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks; Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non-Recordation)  
Document ID # \_\_\_\_\_
- Correction of PTO Error  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_
- Corrective Document  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Conveyance Type

- Assignment  License
- Security Agreement  Nunc Pro Tunc Assignment
- Merger  
Effective Date  
Month Day Year  
\_\_\_\_\_
- Change of Name
- Other \_\_\_\_\_

Conveying Party

Mark if additional names of conveying parties attached

Execution Date  
Month Day Year

Name AMS Optotech GmbH

06 28 99

Formerly \_\_\_\_\_

- Individual  General Partnership  Limited Partnership  Corporation  Association
- Other GmbH
- Citizenship/State of Incorporation/Organization \_\_\_\_\_

Receiving Party

Mark if additional names of receiving parties attached

Name Adva Ag Optical Networking

DBA/AKA/TA \_\_\_\_\_

Composed of \_\_\_\_\_

Address (line 1) Fraunhoferstrasse 22

Address (line 2) \_\_\_\_\_

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Germany

D-82152

City

State/Country

Zip Code

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- Other Joint stock company
- Citizenship/State of Incorporation/Organization \_\_\_\_\_

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12/2000 DNGUYEN 00000088 75464470

C:481  
C:482

40.00 OP  
25.00 OP

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Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK  
REEL: 002200 FRAME: 0588

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name Russell D. Culbertson

Address (line 1) Shaffer & Culbertson, L.L.P.

Address (line 2) 1250 Capital of Texas Hwy., S.

Address (line 3) Building 1, Suite 360

Address (line 4) Austin, TX 78746

**Correspondent Name and Address**

Area Code and Telephone Number 512-327-8932

Name Russell D. Culbertson

Address (line 1) Shaffer & Culbertson, L.L.P.

Address (line 2) 1250 Capital of Texas Hwy., S.

Address (line 3) Building 1, Suite 360

Address (line 4) Austin, TX 78746

**Pages** Enter the total number of pages of the attached conveyance document including any attachments.

# 3

**Trademark Application Number(s) or Registration Number(s)**

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

75/464,470

75/464,371

**Number of Properties** Enter the total number of properties involved.

# 2

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41):

\$ 80.00

Method of Payment: Enclosed  Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 19-1453

Authorization to charge additional fees:

Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Russell D. Culbertson  
Name of Person Signing

  
Signature

January 25<sup>th</sup> 2000  
Date Signed

ASSIGNMENT

WHEREAS, AMS Optotech GmbH is the owner of the marks ADVA and ABRAXAS and is also the owner of the following pending U.S. trademark applications for registrations and German trademark registrations for these marks:

*German Trademark Registration*

<u>Mark</u>	<u>Registration No.</u>	<u>Issue Date</u>
ADVA	397 48 065.2	April 16, 1998
ABRAXAS	397 48 064.4	March 5, 1998

*U.S. Trademark Applications*

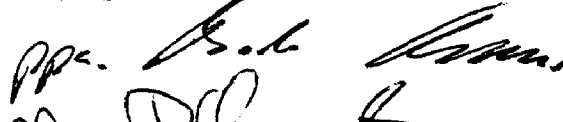
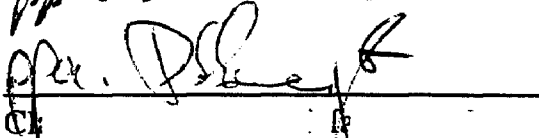
<u>Mark</u>	<u>Serial No.</u>	<u>Filing Date</u>
ADVA	75/464, <sup>3</sup> <del>70</del>	April 8, 1998
ABRAXAS	75/464,371	April 8, 1998

WHEREAS, ADVA AG Optical Networking, a business organized and existing under the laws of Germany and having its principal offices at Fraunhoferstrasse 22, D-82152, Martinsried, GERMANY, is desirous of acquiring said marks, U.S. trademark applications and German trademark registrations and has the intent to use said marks in the United States in the manner described in said U.S. trademark applications,

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, AMS Optotech GmbH hereby assigns to ADVA AG Optical Networking all right, title and interest in and to said trademarks German trademark registrations, and U.S. trademark applications together with the goodwill of the business symbolized by said trademarks.

Signed this 28. day of June, 1999.

AMS OPTOTECH GmbH

By:   


AMS OPTOTECH GmbH

Bodo Clemens - Authorized Signatory

Dominikus Schweighart - Authorized Signatory

C:\MJR\1a-d\AMS\ASSIGN2.DOC

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WHEREAS, AMS Optotech GmbH is the owner of the marks ADVA and ABRAXAS and is also the owner of the following pending U.S. trademark applications for registration and German trademark registrations for these marks:

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<u>Mark</u>	<u>Serial No.</u>	<u>Filing Date</u>
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NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, AMS Optotech GmbH hereby assigns to ADVA AG Optical Networking all right, title and interest in and to said trademarks German trademark registrations, and U.S. trademark applications together with the goodwill of the business symbolized by said trademarks.

Signed this 20th day of October, 2000.

AMS OPTOTECH GmbH



Fraunhoferstr. 22, 82152 Martinsried/Mchm  
Telefon 089/89577-0, Fax 089/89577-199  
Email optotech@ams.de

By: [Signature]  
~~Bodo Clemens~~, Authorized Signatory  
AMS OPTOTECH GmbH  
Judith de Forrest Wilson  
Geschäftsführer

C:\MORVA-4\adva\ASSIGN2.DOC