

12-28-2000



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12-11-00

RECORDATION FORM COVER SHEET
TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non-Recordation)
Document ID #
- Correction of PTO Error
Reel # Frame #
- Corrective Document
Reel # Frame #

Conveyance Type

- Assignment License
- Security Agreement Nunc Pro Tunc Assignment
- Merger
- Change of Name
- Other

Effective Date
Month Day Year
11 27 00

Conveying Party

Mark if additional names of conveying parties attached

Name

Execution Date
Month Day Year
11 27 00

Formerly

- Individual General Partnership Limited Partnership Corporation Association
- Other
- Citizenship/State of Incorporation/Organization

Receiving Party

Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

- Individual General Partnership Limited Partnership
- Corporation Association
- Other
- Citizenship/State of Incorporation/Organization

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

12/26/2000 6TON11 00000120 76136812

FOR OFFICE USE ONLY

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40.00 00

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

REEL: 002200 FRAME: 0657

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Correspondent Name and Address

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments. #

Trademark Application Number(s) or Registration Number(s)

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

76/136,812	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

Number of Properties

Enter the total number of properties involved. #

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:

Enclosed

Deposit Account

Deposit Account:

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees:

Yes

No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Stephen C. Lee

Name of Person Signing

Signature

12-06-00

Date Signed

ASSIGNMENT

This Assignment (the "Assignment") is made this 27th day of November, 2000 by and between Capital Z Management, LLC, a Delaware limited liability company doing business as Capital Z and Capital Z Partners having a place of business at 54 Thompson Street, New York, New York 10012 ("Capital Z") and Zurich American Insurance Company, a New York corporation having a place of business at 1400 American Lane, Schaumburg, Illinois 60196 ("ZAI").

WHEREAS, ZAI is the owner of all right, title and interest in the United States in and to the mark "Z" and "ZURICH" as applied to certain insurance and financial services, and has acquired significant public recognition and goodwill in connection with such marks;

WHEREAS, Capital Z is sponsored by one of ZAI's related entities and as a result of such relationship, Capital Z was granted an oral license to use certain ZAI marks and domain names set forth on the attached Schedule A (collectively the "Marks") in connection with its business activities;

WHEREAS, Capital Z recently filed an application in its own name to register CAPITAL Z in connection with its business activities and has obtained a number of domain name registrations in its own name for the domain names listed on the attached Schedule A;

WHEREAS, the parties desire to confirm ZAI's ownership of the Marks, transfer to ZAI any and all pending trademark and service mark applications and issued registrations in connection therewith and to confirm the licenses granted to Capital Z regarding Capital Z's use of the Marks.

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, Capital Z does hereby sell, assign and transfer to ZAI, its successors and assigns any and all right, title and interest it may have acquired in connection with its use and registration of the Marks together with the good will of the business symbolized by the Marks, including any and all trademark and service mark applications and registrations for the Marks and any causes of action for past infringement of the Marks.

Capital Z covenants that it or its representatives will, upon the request of ZAI, do all other lawful acts necessary to enable ZAI to obtain, maintain and enforce full benefits from the rights and interests herein assigned.

IN WITNESS WHEREOF, Capital Z has executed and delivered this instrument this day of November 27, 2000.

CAPITAL Z MANAGEMENT, LLC

By 

DAVID A. SPURIA
GENERAL COUNSEL

STATE OF NEW YORK)
COUNTY OF New York) ss.

On this 27 day of November, 2000, before me personally appeared David A. Spuria known to me to be the General Counsel of the limited liability company described in the foregoing assignment and also known to me to be the person who executed the foregoing assignment on behalf of the company therein named, and acknowledged to me that such company executed the same.

SEAL

Christine Heer
Notary Public

CHRISTINE HEER
Notary Public, State of New York
No. 01HE6029326
Qualified in New York County
Commission Expires August 16, 2001

UNREGISTERED TRADEMARKS, SERVICE MARKS AND TRADE NAMES

1. CAPITAL Z
2. CAPITAL Z PARTNERS
3. CAPITAL Z FINANCIAL FUND II, L.P.
4. CAPITAL Z INVESTMENTS, L.P.
5. CAPITAL Z SAN FRANCISCO

REGISTERED/PENDING TRADEMARKS/SERVICE MARKS

1. U.S. Application Serial No. 76/136,812 filed September 21, 2000 for registration of CAPITAL Z in International Classes 35 and 36.
2. U.S. Application Serial No. _____ filed _____ for registration of "Z" Design in International Classes 35 and 36.

REGISTERED DOMAIN NAMES

1. zfinance1.com
2. capitalzinc.com
3. capitalzinc1.com
4. zfinance1.net
5. zhealthworks.com
6. gapz.org
7. gapz.net
8. capitalz.com