

01-18-2001



101587827

RECORDATION FORM COVER SHEET
TRADEMARKS ONLY

MKD 1-201

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non-Recordation)
Document ID # _____
- Correction of PTO Error
Reel # _____ Frame # _____
- Corrective Document
Reel # _____ Frame # _____

Conveyance Type

- Assignment License
- Security Agreement Nunc Pro Tunc Assignment
- Merger
- Change of Name
- Other _____

Effective Date
Month Day Year
02041999

Conveying Party

Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name Alex Noel, Inc.

12192000

Formerly _____

- Individual General Partnership Limited Partnership Corporation Association
- Other _____
- Citizenship/State of Incorporation/Organization New York

Receiving Party

Mark if additional names of receiving parties attached

Name Kate Spade, LLC

DBA/AKA/TA _____

Composed of _____

Address (line 1) 48 West 25th Street

Address (line 2) _____

Address (line 3) New York

New York

10010

City

State/Country

Zip Code

- Individual General Partnership Limited Partnership
- Corporation Association

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

Other Limited liability company

Citizenship/State of Incorporation/Organization New York

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Correspondent Name and Address

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments. #

Trademark Application Number(s) or Registration Number(s)

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

Number of Properties Enter the total number of properties involved. #

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed Deposit Account

Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.)
Deposit Account Number: #

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Charles A. LeGrand, Esq.

12/27/00

Name of Person Signing

Signature

Date Signed

TRADEMARK ASSIGNMENT

Whereas, Alex Noel, Inc., a New York Corporation having an address at 48 West 25th Street, New York, New York 10010 ("Assignor"), has adopted, used, is using and is the owner of: 1) the trademarks listed on Schedule A, attached hereto and made a part hereof, for which registration certificates have been issued by the United States Patent and Trademark Office (the "Registered Marks"); and 2) all of the goodwill of the business associated therewith;

Whereas, Assignor owns and has a *bona fide* intent to use and/or has used the trademarks listed on Schedule B (the "Pending Marks"), attached hereto and made a part hereof, for which intent-to-use applications have been filed with the United States Patent and Trademark Office (the "Pending Applications");

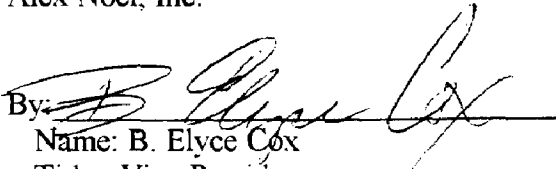
Whereas, Kate Spade, LLC, a New York limited liability company having an address at 48 West 25th Street, New York, New York 10010 ("Assignee"), is interested in acquiring the Registered Marks and all of the goodwill of the business associated therewith;

Whereas Assignee desires to acquire and/or has acquired the business of Assignor in connection with which Assignor has a *bona fide* intent to use the Pending Marks and the Pending Applications;

Now, Therefore, in exchange for the sum of \$10.00 and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby irrevocably sells, transfers and assigns to Assignee, *nunc pro tunc*, effective as of February 4, 1999, all of Assignor's right, title and interest in and to: 1) the Registered Marks, including the registrations therefor set forth on Schedule A, together with all of the goodwill of the business symbolized by the Marks; and 2) the Pending Marks and the Pending Applications therefor Set forth on Schedule B, together with that portion of Assignor's business in connection with which Assignor has a *bona fide* intent to use the Pending Marks and the Pending Applications, including all of the goodwill of the business symbolized by the Pending Marks and Pending Applications;


IN WITNESS WHEREOF, the undersigned, pursuant to authority duly received, has duly executed this Assignment.

Alex Noel, Inc.

By: 
Name: B. Elyce Cox
Title: Vice-President

Dated effective
as of: February 4, 1999

Sworn to before me this
19th day of December, 2000


Notary Public

ELISABETH FIELD
NOTARY PUBLIC, State of New York
No. 01F16039324
606333.3 **Qualified in New York County**
Commission Expires March 27, 2002

SCHEDULE A

<u>Mark</u>	<u>Serial No.</u>	<u>Reg. No.</u>	<u>Int'l Class</u>
KATE SPADE	75/047,467	2068911	16
KATE SPADE	75/047,470	2064708	18
KATE SPADE	75/047,540	2073406	18
KATE SPADE	75/979,613	2384267	18
JACK SPADE	75/220,511	2341633	18

SCHEDULE B

<u>Mark</u>	<u>Serial</u>	<u>Int'l Class</u>
KATE SPADE	75/220,568	9
KATE SPADE	75/250,157	25
KATE SPADE	75/382,651	16
JACK SPADE	75/249,603	25

PHILLIPS NIZER BENJAMIN KRIM & BALLON LLP

666 FIFTH AVENUE

NEW YORK, N.Y. 10103-0084

(212) 977-9700

FAX (212) 262-5152

www.phillipsnizer.com

NEW JERSEY OFFICE
THE LEGAL CENTER, SUITE 500
ONE RIVERFRONT PLAZA
NEWARK, N.J. 07102-5401
(973) 624-3800 FAX (973) 824-2255

LONG ISLAND OFFICE
600 OLD COUNTRY ROAD
GARDEN CITY, N.Y. 11530-2011
(516) 229-9400
FAX (516) 228-9612

EL695406950US

December 29, 2000

VIA EXPRESS MAIL

(Serial No. EL 695406950 US)

Assistant Commissioner

for Trademarks

Box Assignments

Washington, D.C. 20231

Re: Recordation of Assignment of KATE SPADE
& JACK SPADE Trademark Registrations and Applications

Dear Assistant Commissioner:

Enclosed please find the following in connection with the registrations and applications of Alex Noel, Inc.:

- (1) Recordation Form Cover Sheet;
- (2) Nunc Pro Tunc Assignment executed by Alex Noel, Inc.; and
- (3) Check payable to the Commissioner of Patents & Trademarks in the amount of \$240, representing the fees for recordation.

Please stamp and return the enclosed postcard and call me should you have any questions.

Respectfully submitted,


Charles A. LeGrand

Encl.
CLG:eh

cc: Kate Spade, LLC
Theodore C. Max, Esq.

723284.1

RECORDED: 01/02/2001

TRADEMARK
REEL: 002215 FRAME: 0071