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RECORDATION FORM COVER SHEET TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non-Recordation)
Document ID #
- Correction of PTO Error
Reel # Frame #
- Corrective Document
Reel # Frame #

Conveyance Type

- Assignment License
- Security Agreement Nunc Pro Tunc Assignment
- Merger Effective Date
Month Day Year
- Change of Name
- Other Conversion to Corporation

Conveying Party

Mark if additional names of conveying parties attached

Name thinkorswim.com LLC

Execution Date
Month Day Year
 07312000

Formerly

75819263

- Individual General Partnership Limited Partnership Corporation Association

Other Limited Liability Company

Citizenship/State of Incorporation/Organization Delaware

Receiving Party

Mark if additional names of receiving parties attached

Name thinkorswim, Inc.

DBA/AKA/TA

Composed of

Address (line 1) 440 South LaSalle Street

Address (line 2) Suite 2301

Address (line 3) Chicago Illinois 60605

- Individual General Partnership Limited Partnership

Corporation Association

Other

Citizenship/State of Incorporation/Organization Delaware

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

01/23/2001 DBYRNE 00000162 75819263

FOR OFFICE USE ONLY

01 FC:461

40.00 BP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK
REEL: 002218 FRAME: 0219

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Correspondent Name and Address

Area Code and Telephone Number

(312) 704-2179

Name

Kathryn A. Erickson

Address (line 1)

Sugar, Friedberg & Felsenthal

Address (line 2)

30 North LaSalle Street

Address (line 3)

Suite 2600

Address (line 4)

Chicago, Illinois 60602

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

04

Trademark Application Number(s) or Registration Number(s)

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

75819263		

Number of Properties

Enter the total number of properties involved.

#

01

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$

40.00

Method of Payment:

Enclosed

Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

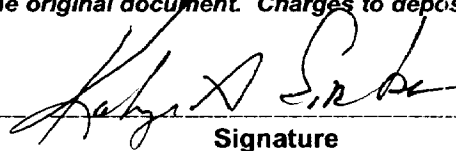
No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Kathryn A. Erickson

Name of Person Signing



Signature

January 5, 2001

Date Signed

State of Delaware

PAGE 1

Office of the Secretary of State

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE LIMITED LIABILITY COMPANY UNDER THE NAME OF "THINKORSWIM.COM LLC" TO A DELAWARE CORPORATION, CHANGING ITS NAME FROM "THINKORSWIM.COM LLC" TO "THINKORSWIM.COM, INC.", FILED IN THIS OFFICE ON THE THIRTY-FIRST DAY OF JULY, A.D. 2000, AT 5:30 O'CLOCK P.M.



Edward J. Freel

Edward J. Freel, Secretary of State

3095939 8100V

001386990

AUTHENTICATION:

0594381

DATE:

08-01-00

TRADEMARK
REEL: 002218 FRAME: 0221

CERTIFICATE OF CONVERSION

OF

thinkorswim.com LLC

Pursuant to Section 265 of the Delaware Limited Liability Company Act:

1. The Certificate of Formation of the limited liability company was filed on: September 13, 1999.

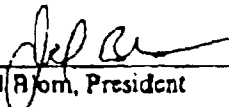
2. The name of the limited liability company prior to conversion is: thinkorswim.com LLC

3. The name of the corporation as set forth in the Certificate of Incorporation is: thinkorswim.com, Inc.

4. The prior entity is a limited liability company.

5. This Certificate of Conversion has been approved by the members and managers of thinkorswim.com LLC in accordance with the Delaware Limited Liability Company Act.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Conversion of thinkorswim.com LLC this 31st day of July, 2000.



Joel Rom, President

Office of the Secretary of State

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "THINKORSWIM.COM, INC.", CHANGING ITS NAME FROM "THINKORSWIM.COM, INC." TO "THINKORSWIM, INC.", FILED IN THIS OFFICE ON THE TWENTIETH DAY OF OCTOBER, A.D. 2000, AT 2:30 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Edward J. Freel

Edward J. Freel, Secretary of State

3095939 8100

AUTHENTICATION: 0746955

001530297

DATE: 10-20-00

312 750 0782

CT CORPORATION

OCT-23-2000 10:52

312 750 0782 -- SUGAR FRIEDBERG FELSENFHAL: Page 2

Received: TRADEMARK

REEL: 002218 FRAME: 0223

CERTIFICATE OF AMENDMENT
OF
CERTIFICATE OF INCORPORATION

thinkorswim.com, Inc., a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware,

DOES HEREBY CERTIFY:

FIRST: That the Board of Directors of said corporation, by the unanimous written consent of its members, filed with the minutes of the Board adopted a resolution proposing and declaring advisable the following amendment to the Certificate of Incorporation of said corporation:

RESOLVED, that the Certificate of Incorporation of thinkorswim.com, Inc. be amended by changing the FIRST Article thereof so that, as amended, said Article shall be and read as follows:

FIRST: The name of the corporation is thinkorswim, Inc.

SECOND: That in lieu of a meeting and vote of stockholders, the stockholders have given unanimous written consent to said amendment in accordance with the provisions of Section 228 of the General Corporation Law of the State of Delaware.

THIRD: That the aforesaid amendment was duly adopted in accordance with the applicable provisions of Sections 242 and 228 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, said thinkorswim.com, Inc. has caused this certificate to be signed by Joel Blom, its President, this 20th day of October, 2000.



Joel Blom, President

001 303 4330 Delaware Amendment Changing Name

312 750 0782 P.03/03

CT CORPORATION 312 750 0782 -> SUGAR FRIEDBERG FELSENFHAL; Page 3

OCT-23-2000 10:52

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RECORDED: 01/09/2001

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