

02-08-2001



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**RECORDATION FORM COVERSHEET  
TRADEMARKS ONLY**

TO: The Commission of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

New  
 Resubmission (Non-Recordation)

Correction of PTO Error  
Reel #  Frame #

Corrective Document  
Reel #  Frame #

**Conveyance Type**

Assignment  License  
 Security Agreement  Nunc Pro Tunc Assignment

Merger  
 Change of Name

Other

Effective Date  
Month Day Year  
**December 31, 2000**

**Conveying Party**

Mark if additional names of conveying parties attached

Name

Execution Date  
Month Day Year  
**December 31, 2000**

Formerly   
 Individual  General Partnership  Limited Partnership  Corporation  Association

Other

Citizenship/State of Incorporation/Organization

**Receiving Party**

Mark if additional names of conveying parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)     
City State/Country Zip Code

Individual  General Partnership  Limited Partnership  If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

Other

Citizenship/State of Incorporation/Organization

75907150

**FOR OFFICE USE ONLY**

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Mail documents to be recorded with required cover sheet(s) information to:  
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02/07/2001 6TOM11 00000216 75907150

01 FC:481  
02 FC:482

40.00 BP  
125.00 OP  
125.00 BP

**TRADEMARK  
REEL: 002232 FRAME: 0199**

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Correspondent Name and Address**

**Area Code and Telephone No.**

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments

#

**Trademark Application Number(s) or Registration Number(s)**  Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

**Trademark Application Number(s)**

**Registration Number(s)**

<input type="text" value="75907150"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="1737058"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="75907152"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="2384492"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="75907564"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="2351153"/>	<input type="text"/>	<input type="text"/>

**Number of Properties**

Enter the total number of properties involved: #

**Fee Amount**

Fee amount of properties listed (37 CFR 3.41):

**Method of Payment:**

Enclosed

Deposit Account

**Deposit Account**

(Enter for payment by deposit account or if additional fees can be charged to the account.)

**Deposit Account Number:**

#

**Authorization to charge additional fees:**

Yes

No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Auma N. Reggy, Esq.

**Name of Person Signing**

*Auma Reggy*

**Signature**

1/29/01

**Date**

## CONFIRMATION OF TRADEMARK ASSIGNMENT

THIS CONFIRMATION OF TRADEMARK ASSIGNMENT (the "Assignment") is made and entered into as of the 31st day of December, 2000, by and between PARADIGM Capital Management, Inc. a Georgia corporation ("Assignor") located at 2100 RiverEdge Parkway, Suite 710, Atlanta, Georgia 30328 and PARADIGM Companies, LLC, a Delaware limited liability corporation ("Assignee") located at 2100 RiverEdge Parkway, Suite 710, Atlanta, Georgia 30328.

### WITNESSETH

WHEREAS, Assignor has adopted and used and is the owner of the marks (the "Marks") set forth on Schedule A and Schedule B attached hereto and incorporated herein by this reference; and

WHEREAS, Assignor is the owner of all right, title and interest in and to the Applications (the "Applications") set forth on Schedule A and the Registrations (the "Registrations") set forth on Schedule B; and

WHEREAS, Assignee and Assignor have entered into that ASSIGNMENT AND ASSUMPTION AGREEMENT ("Agreement") dated as of December 31, 2000, whereby the Marks, the Applications and the Registrations are being assigned from Assignor to Assignee simultaneously herewith;

NOW, THEREFORE, for and in consideration of the premises and the mutual promises hereinafter set forth and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor and Assignee hereby acknowledge and agree as follows:

1. Confirmation of Transfer and Assignment. Assignor hereby confirms that, pursuant to the Agreement, it transferred and assigned to Assignee all right, title and interest in and to the Marks, the Applications and the Registrations, together with the goodwill of the business symbolized by the Marks, the Applications and the Registrations, along with the right to recover for damages and profits and other remedies for past infringement of the Marks, the Applications and the Registrations have been simultaneously herewith transferred and assigned to Assignee.

2. Further Documentation and Actions. Assignor hereby agrees to execute and deliver to Assignee any further documents and instruments, and to do any and all further acts, deemed necessary by Assignee to vest in Assignee all right, title and interest in and to the Marks, the

Applications and the Registrations, and to enable such right, title and interest to be recorded in the United States Patent and Trademark Office and any other appropriate governmental authority or agency of the United States.

“ASSIGNOR”

By: 

Name: Michael A. Natbony

Title: President

Schedule A

Applications

<u>Mark</u>	<u>Serial No.</u>	<u>Filing Date</u>
PARADIGM FINANCIAL SERVICES	75/907,150	2/1/2000
PARADIGM GLOBAL ADVISERS	75/907,152	2/1/2000
PGAI	75/907,564	2/1/2000

Schedule B

Registrations

<u>Mark</u>	<u>Reg. No.</u>	<u>Reg. Date</u>
NEW PARADIGM CAPITAL	1,737,058	12/1/1992
PARADIGM	2,384,492	11/6/1997
SUMA	2,351,153	1/15/1998