

FORM PTO-1618A  
Expires 06/30/99  
OMB 0651-0027

FEB 16 2001

02-28-2001



101623057

2-16-01

**RECORDATION FORM COVER SHEET  
TRADEMARKS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

☒

New

☐

Resubmission (Non-Recordation)

Document ID #

☐

Correction of PTO Error

Reel #

Frame #

☐

Corrective Document

Reel #

Frame #

**Conveyance Type**

☒

Assignment

☐

License

☐

Security Agreement

☐

Nunc Pro Tunc Assignment

☐

Merger

Effective Date  
Month Day Year

☐

Change of Name

☐

Other

**Conveying Party**

☐

Mark if additional names of conveying parties attached

Name Novartis AG

Execution Date  
Month Day Year

11022000

Formerly

☐

Individual

☐

General Partnership

☐

Limited Partnership

☒

Corporation

☐

Association

☐

Other

☐

Citizenship/State of Incorporation/Organization

Switzerland

**Receiving Party**

☐

Mark if additional names of receiving parties attached

Name Syngenta Participations AG

DBA/AKA/TA

Composed of

Address (line 1) Schwarzwaldallee 215

Address (line 2)

Address (line 3) Basel

Switzerland

4058

City

State/Country

Zip Code

☐

Individual

☐

General Partnership

☐

Limited Partnership

☒

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

☒

Corporation

☐

Association

☐

Other

☐

Citizenship/State of Incorporation/Organization

Switzerland

02/27/2001 DBYRHE 00000193 75565256

FOR OFFICE USE ONLY

01 FC:481  
02 FC:482

40.00 DP  
100.00 DP

140E

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**TRADEMARK**  
**REEL: 002241 FRAME: 0354**

TRADEMARK

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name Nims, Howes, Collison, Hansen & Lackert  
Address (line 1) 605 Third Avenue  
Address (line 2) Suite 3500  
Address (line 3) New York, New York 10158  
Address (line 4)

**Correspondent Name and Address**

Area Code and Telephone Number 212-661-9700

Name Keith E. Sharkin  
Address (line 1) Nims, Howes, Collison, Hansen & Lackert  
Address (line 2) 605 Third Avenue  
Address (line 3) Suite 3500  
Address (line 4) New York, New York 10158

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 2

**Trademark Application Number(s) or Registration Number(s)**

☐ Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

**Trademark Application Number(s)**

**Registration Number(s)**

75565256    
75343458

2337688    
2260933    
2303972

**Number of Properties**

Enter the total number of properties involved.

# 5

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 140.00

Method of Payment:

Enclosed ☒

Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Keith E. Sharkin

Name of Person Signing

Keith E. Sharkin

Signature

Feb. 15, 2001

Date Signed

TRADEMARK

REEL: 002241 FRAME: 0355

RECORDATION FORM COVER SHEET  
CONTINUATION  
TRADEMARKS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
TRADEMARK

Conveying Party

Enter Additional Conveying Party

☐ Mark if additional names of conveying parties attached

Execution Date

Month Day Year

Name

FEB 16 2001

Formerly

☐ Individual ☐ General Partnership ☐ Limited Partnership ☐ Corporation ☐ Association

☐ Other

☐ Citizenship State of Incorporation/Organization

Receiving Party

Enter Additional Receiving Party

☐ Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ Individual ☐ General Partnership ☐ Limited Partnership

☐ Corporation ☐ Association

☐ Other

☐ Citizenship/State of Incorporation/Organization

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached (Designation must be a separate document from the Assignment.)

Trademark Application Number(s) or Registration Number(s)

☐ Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

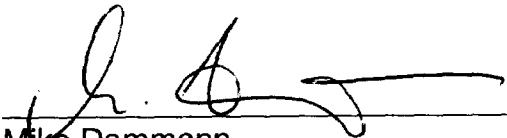
Mark: COMPASS  
Ser. No.: 75/565,256  
Filing Date: October 13, 1998  
Owner: Syngenta Participations AG

DESIGNATION OF DOMESTIC REPRESENTATIVE

NIMS, HOWES, COLLISON, HANSEN & LACKERT, whose postal address is 605 Third Avenue, New York, New York 10158, is hereby designated applicant's representative upon whom notices or process in proceedings affecting the mark may be served.

Syngenta Participations AG

Date : February 12, 2001

By   
Name: Mike Dammann  
Title: Deputy Head of Trademarks

By   
Name: Valérie Kempf  
Title: Trademark Specialist

## ASSIGNMENT OF TRADEMARKS

WHEREAS, NOVARTIS AG, a corporation organized and existing under the laws of Switzerland, having a business address of CH-4002, Basel, Switzerland (hereinafter "Assignor"), has used and is using in its business the following trademarks set forth on the attached Schedule (hereinafter the "Marks");

WHEREAS, SYNGENTA PARTICIPATIONS AG, a corporation organized and existing under the laws of Switzerland, having a business address of Schwarzwaldallee 215, CH-4058, Basel, Switzerland (hereinafter "Assignee"), is desirous of acquiring all right, title and interest in and to the Marks, together with the goodwill of the business symbolized by the Marks and the registrations thereof and applications therefor;


WHEREAS, the assignment of said Marks, and registrations thereof and applications therefor, be made of record in the United States Patent and Trademark Office and other appropriate patent and trademark offices;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, and intending to be legally bound hereby, Assignor assigns to Assignee all right, title and interest in and to the Marks, together with the goodwill of the business symbolized by the Marks, and the registrations thereof and applications therefor, and all rights to damages and profits, due or accrued, arising out of past infringements of said trademarks, and the right to sue for and recover the same.

Date: November 2, 2000

NOVARTIS AG

By:   
Name: Dr. Heinz Hammann  
Title: Duly Authorized Officer

By:   
Name: Mike Dammann  
Title: Duly Authorized Signatory

**SCHEDULE**

Mark	App. Ser. No.	Reg. No.
COMPASS	75/565,256	
FLINT	75/343,458	
Miscellaneous Design		2,337,688
ROMBUS		2,260,933
STRATEGO		2,303,972