



101623361

Documents or copy thereof.

Tab settings

To the Honorable Commissioner of Patents and Trademarks

1. Name of conveying party(ies):

KENNETH VOLK, III

- Individual(s), General Partnership, Corporation-State, Other, Association, Limited Partnership

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment, Security Agreement, Other, Merger, Change of Name

Execution Date: January 26, 2001

2. Name and address of receiving party(ies)

Name: SANTA LUCIA WINERY, INC.

Internal Address:

Street Address: 1437 Wild Horse Winery Ct.

City: Templeton State: CA ZIP: 93465

- Individual(s) citizenship, Association, General Partnership, Limited Partnership, Corporation-State, Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No (Designations must be a separate document from assignment) Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

A. Trademark Application No.(s)

- 76/146,346, 76/141,486, 76/052,997, 76/100,560

B. Trademark Registration No.(s)

- 1,946,604, 2,064,185, 1,980,582

Additional numbers attached? Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE J. NETTER, Esq.

Internal Address:

Street Address: 260 S. Los Robles Ave.

Suite 216

City: Pasadena State: CA ZIP: 91101

6. Total number of applications and registrations involved: 7

7. Total fee (37 CFR 3.41) \$ 190.00

- Enclosed, Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

03/01/2001 DBYRNE 00000115 76146346 FC:481 40.00 OP 150.00 OP

Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

GEORGE J. NETTER Name of Person Signing

Signature of George J. Netter

Jan. 30, 2001 Date

Total number of pages including cover sheet, attachments, and document: 4

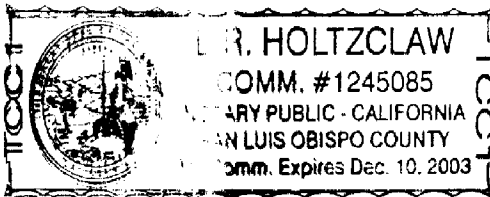
ASSIGNMENT

WHEREAS, KENNETH VOLK, III of 1437 Wild Horse Winery Court, Templeton, CA 93465, (hereinafter "Assignor") is the owner of all right, title and interest in and to United States Registrations and applications as more fully identified on Exhibit A attached hereto; and

WHEREAS, SANTA LUCIA WINERY, INC., a California corporation (hereinafter "Assignee") having a place of business at 1437 Wild Horse Winery Court, Templeton, California 93465 is desirous of acquiring ownership of the said trademarks, registrations, and applications therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is acknowledged, the Assignor does hereby assign to the Assignee all right, title and interest in and to United States trademark registrations and applications identified on Exhibit A hereto, together with that part of the good will of the business connected with the use of and symbolized by the trademark in the United States, the registration therefor, and including the right to bring actions for infringement that occurred prior to this assignment.

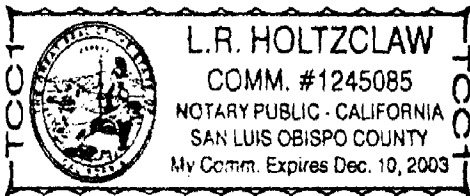
Dated this 26 day of January, 2001
at Templeton, California



Kenneth Volk III
KENNETH VOLK, III

EXHIBIT A

<u>Trademark</u>	<u>Filed</u>	<u>Serial #</u>	<u>Regis. #</u>	<u>Date issued</u>
Aqua Pumpkin	10-16-00	76/146,346		
Cheval Sauvage	10-06-00	76/141,486		
Cheval Sauvage	05-22-00	76/052,997		
EQUUS	07-28-00	76/100,560		
EQUUS	11-14-94	74/598,522		
Bella Notte	11-14-94	74/598,524	1,980,582	06-18-96
Rain Forest Red	06-05-95	75/684,561	1,946,604	01-09-96
			2,064,185	05-20-97



CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

STATE OF CALIFORNIA

COUNTY OF SAN LUIS OBISPO

ON 1-26- ²⁰⁰¹ ~~2000~~ BEFORE ME, L.R. HOLTZCLAW,

NOTARY PUBLIC, PERSONALLY APPEARED Kenneth Volk III

PERSONALLY KNOWN TO ME (OR PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE) TO BE THE PERSON WHOSE NAME IS

SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME

THAT HE/SHE EXECUTED THE SAME IN HIS/HER AUTHORIZED CAPACITY

AND THAT BY HIS/HER SIGNATURE ON THE INSTRUMENT THE PERSON,

OR THE ENTITY UPON BEHALF OF WHICH THE PERSON ACTED, EXECUTED

THE INSTRUMENT.

WITNESS MY HAND AND OFFICIAL SEAL

SIGNATURE L.R. Holtzclaw

