

MRD 4/2/01

FORM PCT-1618A
Expires 6/30/99
OMB 0651-0027

04-17-2001

U.S. Department of Commerce
Patent and Trademark Office
TRADEMARK



101665798
RECORDATION FORM COVER SHEET
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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non Recordation)
Document ID#
- Correction of PTO Error
Reel # Frame #
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Reel # Frame #

Conveyance Type

- Assignment
- License
- Security Agreement
- Nunc Pro Tunc Assignment
Effective Date
Month Day Year
- Merger
- Change of Name
- Other

Conveying Party

Mark if additional names of conveying parties attached

Name

Execution Date
Month Day Year

Formerly

- Individual
- General Partnership
- Limited Partnership
- Corporation
- Association
- Other

Citizenship/State of Incorporation/Organization

Receiving Party

Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

City

State/County

Zip Code

- Individual
 - General Partnership
 - Limited Partnership
 - Corporation
 - Association
 - Other
 - Citizenship/State of Incorporation/Organization
- If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

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04/16/2001 MUELLER 06000154 2272712

01 FC:481
02 FC:482

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TRADEMARK
REEL: 002272 FRAME: 0364

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Correspondent Name and Address

Area Code and Telephone Number **312-321-4200**

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments. **3**

Trademark Application Number(s) or Registration Number(s)

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

<input type="text" value="2,272,712"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="2,272,717"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="2,352,231"/>	<input type="text"/>	<input type="text"/>

Number of Properties

Enter the total number of properties involved.

#3

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41):

\$90.00

Method of Payment: Enclosed Deposit Account

Deposit Account

(enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#23-1925

Authorization to charge additional fees:

Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposition account are authorized, as indicated herein.

Scott J. Slavick

Name of Person Signing

Signature

4/2/01

Date Signed

TRADEMARK ASSIGNMENT

WHEREAS, The Northern Trust Company, an Illinois banking corporation, with its principal place of business at 50 South LaSalle Street, Chicago, IL 60675 (“Assignor”), has adopted, owns and is using the trademarks identified on the attached Schedule A, for which Assignor owns federal trademark registrations or has applied for federal trademark registration as identified on the attached Schedule A (the marks identified on Schedule A, collectively, the “Marks”); and

WHEREAS, Northern Trust Corporation, a Delaware corporation, with its principal place of business at 50 South LaSalle Street, Chicago, IL 60675 (“Assignee”), desires to acquire the Marks, the goodwill appurtenant thereto, and the registrations and applications therefor; and

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby assigns and transfers to Assignee the Marks, together with the goodwill associated therewith, the registrations and applications therefor, and all of Assignor's rights therein.

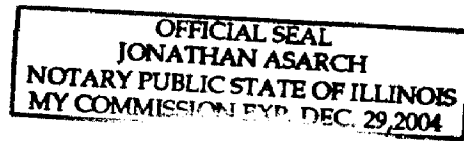
[Assignor]

By: Kelly R Welsh
Name: Kelly R Welsh
Title: Executive Vice President
and General Counsel

Dated as of 3-29-01

Subscribed and Sworn to before me
this 29th day of March, 2001.

Jonathan Asarch
Notary Public



My Commission expires: Dec 29 2004

SCHEDULE A

MARK	REGISTRATION NO.	REGISTRATION DATE
NORTHERN TRUST RETIREMENT EFFICIENCY INDEX	2,272,712	August 24, 1999
NORTHERN TRUST RETIREMENT ADEQUACY INDEX	2,272,717	August 24, 1999
NORTHERN TRUST: THE RETIREMENT ADVISOR	2,352,231	May 23, 2000