

04-18-2001

Form PTO-1594
1-31-92

RE

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101679030

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

UBS AG, A Swiss Corporation

4-4-01

- ☐ Individuals ☐ Association
☐ General Partnership - ☐ Limited Partnership
 Corporation - State:
☒ Other - Switzerland

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Interest ☐ Change of Name
☐ Other

Execution Date: 21 March 2001

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

75/558,845

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark N. Roeder, Legal Assistant

Internal Address: White & Case LLP

Street Address: 1155 Avenue of the Americas

City: New York State: NY ZIP: 10036

2. Name and address of receiving party(ies):

Name: Brinson Partners, Inc., A Delaware Corporation

Internal Address:

Street Address: 209 South LaSalle Street

City: Chicago, Illinois 60604 Country: USA

- ☐ Individual(s) citizenship
☐ Association
☐ General Partnership
☐ Limited Partnership -
☒ Corporation - Delaware
☐ Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No

(Designations must be a separate document from Assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Trademark Registration No.(s)

6. Total number of applications and registration involved

1

7. Total fee (37 CFR 3.41): \$ 40.00

- ☒ Enclosed
☒ Authorized to be charged to deposit account (in case of deficiency)

8. Deposit account number:

23-1705 (in case of deficiency)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark N. Roeder

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet:

1

OMB No. 0651-0011 (exp. 4/94)

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 Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

TRADEMARK ASSIGNMENT

WHEREAS, UBS AG, a Swiss corporation and the parent company of Assignee, having its principal offices at Bahnhofstrasse 45, 8098 Zurich, Switzerland ("Assignor") has adopted, used and is using the following trademark application, which was filed in the United States Patent and Trademark Office:

<u>Mark</u>	<u>Reg./Serial No.</u>	<u>Reg./Filing Date</u>	<u>Class</u>
BRINSON	75/558,845	September 24, 1998	9, 14, 35, 36, 38, 42

WHEREAS, Brinson Partners, Inc., a Delaware corporation, having its principal offices at 209 South LaSalle Street, Chicago, Illinois 60604 ("Assignee"), is desirous of acquiring said trademark application;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor does hereby assign unto the Assignee all right, title and interest in and to said trademark application, together with the good will of the business symbolized by the marks, and the above identified trademark application for registration thereof.

The Commissioner of Patents and Trademarks is requested to record this Assignment on the Principal Register or otherwise as appropriate.

Signed at Chicago, Illinois this 21st day of March, 2001.

UBS AG

By: 

Name: Benjamin F. Lenhardt, Jr.

Title: Managing Director

By: 

Name: Mario Cueni

Title: Managing Director