

04-23-2001



101684781

RECORDATION FORM COVER SHEET
TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☐ New
- ☒ Resubmission (Non-Recordation)
Document ID # 101567731
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☐ Assignment ☐ License
- ☐ Security Agreement ☐ Nunc Pro Tunc Assignment
Effective Date
Month Day Year
- ☐ Merger
- ☐ Change of Name
- ☒ Other Release of Security Interest

Conveying Party

☐ Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name Avalon, Inc.

04 06 2000

Formerly

- ☐ Individual ☐ General Partnership ☐ Limited Partnership ☒ Corporation ☐ Association
- ☐ Other
- ☒ Citizenship/State of Incorporation/Organization Delaware

Receiving Party

☐ Mark if additional names of receiving parties attached

Name Rykodisc, Inc.

DBA/AKATA

Composed of

Address (line 1) 530 North Third Street

Address (line 2)

Address (line 3) Minneapolis
City

MN

State/Country

55401

Zip Code

- ☐ Individual ☐ General Partnership ☐ Limited Partnership
- ☒ Corporation ☐ Association
- ☐ Other

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

- ☒ Citizenship/State of Incorporation/Organization Minnesota

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK
REEL: 002277 FRAME: 0419

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Correspondent Name and Address

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

Trademark Application Number(s) or Registration Number(s)



Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

<input type="text" value="1721483"/>	<input type="text" value="1711845"/>	<input type="text" value="1700253"/>
<input type="text" value="1713154"/>	<input type="text" value="1711893"/>	<input type="text" value="1521609"/>
<input type="text" value="1837746"/>	<input type="text" value="1711260"/>	<input type="text" value="1847242"/>

Number of Properties

Enter the total number of properties involved.

#

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$

Method of Payment:

Enclosed ☐

Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Jennifer Shmulewitz

Name of Person Signing

J Shmulewitz

Signature

4/5/01

Date Signed

RECORDATION FORM COVER SHEET
CONTINUATION
TRADEMARKS ONLY

U.S. Department of Commerce
Patent and Trademark Office
TRADEMARK

Conveying Party

Enter Additional Conveying Party

☐ Mark if additional names of conveying parties attached

Execution Date

Month Day Year

Name

Formerly

☐ Individual ☐ General Partnership ☐ Limited Partnership ☐ Corporation ☐ Association

☐ Other

☐ Citizenship State of Incorporation/Organization

Receiving Party

Enter Additional Receiving Party

☒ Mark if additional names of receiving parties attached

Name Ryko Corporation

DBA/AKA/TA

Composed of

Address (line 1) 9 East Lockerman Street

Address (line 2)

Address (line 3) Dover

City

DE

State/Country

19901

Zip Code

☐ Individual ☐ General Partnership ☐ Limited Partnership

☒ Corporation ☐ Association

☐ Other

☒ Citizenship/State of Incorporation/Organization

Delaware

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached (Designation must be a separate document from the Assignment.)

Trademark Application Number(s) or Registration Number(s)

☐ Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

<u>1841192</u>		
<u>1740989</u>		
<u>1521408</u>		
<u>1959494</u>		
<u>1963617</u>		
<u>1886548</u>		

RECORDATION FORM COVER SHEET
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U.S. Department of Commerce
Patent and Trademark Office
TRADEMARK

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Execution Date
Month Day Year

Name

Formerly

☐ Individual ☐ General Partnership ☐ Limited Partnership ☐ Corporation ☐ Association

☐ Other

☐ Citizenship State of Incorporation/Organization

Receiving Party

Enter Additional Receiving Party

☒ Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ Individual ☐ General Partnership ☐ Limited Partnership

☒ Corporation ☐ Association

☐ Other

☒ Citizenship/State of Incorporation/Organization

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Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

- ☐ Individual ☐ General Partnership ☐ Limited Partnership
☒ Corporation ☐ Association

☐ Other

☒ Citizenship/State of Incorporation/Organization

MN

State/Country

55430

Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached (Designation must be a separate document from the Assignment.)

Trademark Application Number(s) or Registration Number(s)

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☐ Mark if additional numbers attached

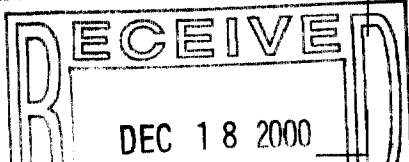
Trademark Application Number(s)

Registration Number(s)

01-02-2001



101567731

U.S. Department of Commerce
Patent and Trademark Office
TRADEMARK

12-18-00

RECORDATION FORM COVER SHEET
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☒ New☐ Resubmission (Non-Recordation)
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Conveyance Type

☐ Assignment☐ License☐ Security Agreement☐ Nunc Pro Tunc Assignment☐ MergerEffective Date
Month Day Year
☐ Change of Name☒ Other Release of Security Interest

Conveying Party

☐ Mark if additional names of conveying parties attachedName Avalon, Inc.Execution Date
Month Day Year

04062000

Formerly

1721483

☐ Individual ☐ General Partnership ☐ Limited Partnership ☒ Corporation ☐ Association☐ Other ☒ Citizenship/State of Incorporation/Organization Delaware

Receiving Party

☐ Mark if additional names of receiving parties attachedName Rykodisc, Inc.DBA/AKA/TA Composed of 530 North Third StreetAddress (line 1) Address (line 2) Address (line 3) Minneapolis

City

 MN

State/Country

Zip Code

☐ Individual ☐ General Partnership ☐ Limited Partnership

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

☒ Corporation ☐ Association☐ Other ☒ Citizenship/State of Incorporation/Organization Minnesota

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01/02/2001 DMGUYEN 00000302 1721483

01 FC:481
02 FC:48240.00 DP
350.00 OP

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TRADEMARK
REEL: 002277 FRAME: 0424

TRADEMARK

Domestic Representative Name and Address

Enter for the first Receiving Party only

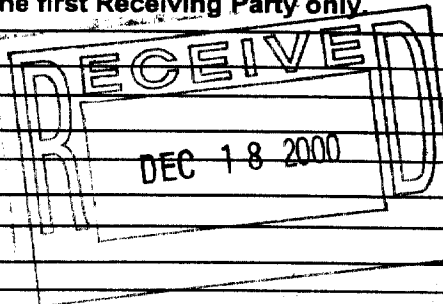
Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)



Correspondent Name and Address

Area Code and Telephone Number 212-318-6000

Name

Jennifer Shmulewitz, Esq.

Address (line 1)

75 E. 55th Street

Address (line 2)

New York, NY 10022

Address (line 3)

Address (line 4)

Pages

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Trademark Application Number(s) or Registration Number(s)



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Registration Number(s)

1721483 1711895 1700253

1713154 1711893 1521609

1837746 1711260 1847242

Number of Properties

Enter the total number of properties involved.

15

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 390

Method of Payment:

Enclosed ☒Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

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Authorization to charge additional fees:

Yes ☐No ☐

Statement and Signature

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Jennifer Shmulewitz

Name of Person Signing

Signature

Date Signed

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TRADEMARK

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Execution Date
Month Day Year

DEC 18 2000

Name

Formerly

☐ Individual ☐ General Partnership ☐ Limited Partnership ☐ Corporation ☐ Association

☐ Other

☐ Citizenship State of Incorporation/Organization

Receiving Party

Enter Additional Receiving Party

☒ Mark If additional names of receiving parties attached

Name

Ryko Corporation

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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Receiving Party

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☒

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DBA/AKA/TA

Composed of

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<input type="text"/>	<input type="text"/>	<input type="text"/>

Registration Number(s)

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RECORDATION FORM COVER SHEET
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TRADEMARK

Conveying Party

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Execution Date
Month Day Year

Name

Formerly

☐ Individual ☐ General Partnership ☐ Limited Partnership ☐ Corporation ☐ Association

☐ Other

☐ Citizenship State of Incorporation/Organization

Receiving Party

Enter Additional Receiving Party

☐ Mark if additional names of receiving parties attached

Name

Rep Sales, Inc.

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ Individual ☐ General Partnership ☐ Limited Partnership

☒ Corporation ☐ Association

☐ Other

☐ Citizenship/State of Incorporation/Organization

Minnesota

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☐ Mark if additional numbers attached

Trademark Application Number(s)

Registration Number(s)

RELEASE

This Release is made this 7th day of April, 2000 by and between Avalon, Inc. ("Avalon"), on the one hand, and Rykodisc, Inc., Ryko Corporation, Rykomusic, Inc., Rep Sales, Inc. and their affiliates (collectively, "Rykodisc"), on the other hand.

WHEREAS, Avalon has held security interests in several trademark applications and/or registrations of Rykodisc (the "Trademarks"), including without limitation those described on Schedule A hereto, and Rykodisc has satisfied all secured obligations and Avalon is now releasing its security interests in the Trademarks;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Avalon does hereby release all security interests it now has or may ever have had in the Trademarks and hereby assigns unto Rykodisc all of its right, title and interest in and to the Trademarks.

Signed as a sealed instrument.
AVALON, INC.

By: Timothy C. Ward
Name:
Title:

Dated: 4-6-2000

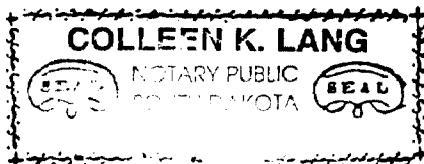
State of South Dakota
County of Union) ss.
)

Subscribed to and sworn before me this 6th day of April, 2000.

Colleen K. Lang
Notary Public

expires
5/6/05

Seal



SCHEDULE A

<u>MARK</u>	<u>REG. NO.</u>	<u>COUNTRY</u>
CDQ	1,721,483	United States
CDQ and Design	1,713,154	United States
Design Only	1,837,746	United States
PLAY IT BY EAR	1,711,895	United States
PLAY IT BY EAR	1,711,893	United States
RYKO	1,711,260	United States
RYKO and Design	1,700,253	United States
RYKO and Design	1,521,609	United States
RYKO VISION	1,847,242	United States
RYKO VISION and Design	1,841,192	United States
RYKODISC	1,740,989	United States
RYKODISC	1,521,608	United States
HANNIBAL	1,959,494	United States
HANNIBAL RECORDS and Design	1,963,617	United States
PLAY IT BY EAR	1,886,548	United States
RYKO and Design	A536535	Australia
RYKODISC	A536536	Australia
PLAY IT BY EAR	511832	Benelux
RYKO and Design	483907	Benelux
RYKODISC	480854	Benelux
RYKO and Design	816247471	Brazil
RYKODISC	816247471	Brazil
HANNIBAL	IMA414918	Canada
HANNIBAL RECORDS and Design	IMA416099	Canada
PLAY IT BY EAR	IMA415581	Canada

<u>MARK</u>	<u>REG. NO.</u>	<u>COUNTRY</u>
RYKO and Design	401688	Canada
RYKODISC	401433	Canada
HANNIBAL	TMA414918	Canada
HANNIBAL RECORDS and Design	TMA416099	Canada
PLAY IT BY EAR	TMA415501	Canada
RYKO and Design	TMA401688	Canada
RYKODISC	TMA401433	Canada
RYKO and Design	1679191	France
RYKODISC	1679192	France
RYKO and Design	2024594	Germany
RYKODISC	2024595	Germany
RYKODISC	2587493	Japan
HANNIBAL	1503715	United Kingdom
HANNIBAL	1503713	United Kingdom
HANNIBAL and Design	1503496	United Kingdom
PLAY IT BY EAR	1492110	United Kingdom
PLAY IT BY EAR	B1492110	United Kingdom
RYKO and Design	1452841	United Kingdom
RYKO and Design	1425841	United Kingdom
RYKODISC	1425656	United Kingdom

<u>MARK</u>	<u>APPLICATION NO.</u>	<u>COUNTRY</u>
RYKO and Design	49551/1991	Japan