Form PTO-1594

(Rev. 03/01)

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U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

E JC153	(Rev. 03/01)  OMB No. 0651-0027 (exp. 5/31/2002)  101756224		
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.			
JUN .	Name of conveying party(ies):	Name and address of receiving party(ies)	
PATENT & TRADE	/ Smith & Noble LLC 1801 California Avenue	Name: Fleet National Bank	
CHIB	Riverside, CA	Internal Address:	
	Individual(s)		
	General Partnership Limited Partnership	Street Address: 100 Federal Street	
}	Corporation-State  Other a Delaware limited liability company	City: Boston State: MA Zip: 02110	
	Other a bestandie limited liability company	Individual(s) citizenship	
	Additional name(s) of conveying party(ies) attached? Tyes A No	Association National Banking Association	
-	3. Nature of conveyance:	General Partnership	
	Assignment	Limited Partnership	
}	Security Agreement	Corporation-State	
	Other	Other If assignee is not domiciled in the United States, a domestic	
	Execution Date: May 30, 2001	representative designation is attached:	
}-	Application number(s) or registration number(s):	/ Collection in the collection of the collection	
	A. Trademark Application No.(s)	B. Trademark Registration No.(s)	
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	Additional number(s) at	tropod Mr Ves Dr No	
<u></u>	Name and address of party to whom correspondence	6. Total number of applications and	
i	concerning document should be mailed:	registrations involved:	
	Name: John Siciliano, Esq.		
	Internal Address:	7. Total fee (37 CFR 3.41)\$_480.00	
		🛂 Enclosed	
		Authorized to be charged to deposit account	
	Street Address: Bingham Dana LLP	8. Deposit account number:	
ļ	150 Federal Street	165.(	
		\$ 808	
-	City: Boston State: MA Zip: 02110	(Attach duplicate copy of this page if paying by deposit scount)	
	DO NOT USE	Total number of applications and registrations involved:  Total fee (37 CFR 3.41)	
}	9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a telescopy of the original document.		
	copy of the original document.	Refu Re	
	John J. Siciliano	) rut (//3/06 5	
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	lotal number of pages including cov.  Mail documents to be recorded with		

Commissioner of Patent & Trademarks, Box Assignments Washington, D.C. 20231

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**TRADEMARK** 

**REEL: 002317 FRAME: 0149** 

# **SCHEDULE 1**

MARK	REGISTRATION NO.	REGISTRATION DATE
Elements	75-348,574	August 28, 1998
Ultrawood	76-150,110	October 17, 2000
Window Elements	76-039,923	May 3, 2000

BUSDOCS:999522.1 TRADEMARK
REEL: 002317 FRAME: 0150

# NOTICE OF SECURITY INTEREST

## (IN U.S. TRADEMARKS)

WHEREAS, SMITH & NOBLE, LLC, a Delaware limited liability company (herein referred to as "Assignor"), has adopted, used and is using the trademarks listed on the annexed Schedule 1, which trademarks are registered in the United States Patent and Trademark Office, or are applications pending in the United States Patent and Trademark Office (the "Trademarks");

WHEREAS, Assignor is obligated to Fleet National Bank (herein referred to as "Assignee"), as agent for itself and for the ratable benefit of the financial institutions party from time to time to the Third Amended and Restated Loan Agreement dated as of August 24, 1998 (as amended, the "Loan Agreement") and has entered into a Trademark, Patent and Copyright Security Agreement dated July 27, 2000, (the "Agreement") with Assignee; and

WHEREAS, pursuant to the Agreement, Assignor has granted to Assignee a security interest in, and mortgage on, all right, title and interest of Assignor in and to the Trademarks, together with the goodwill of the business symbolized by the Trademarks and the applications and registrations thereof, and all proceeds thereof, including, without limitation, any and all causes of action which may exist by reason of infringement thereof for the full term of the Trademarks (the "Collateral"), to secure the payment, performance and observance of the Obligations, as defined in the Loan Agreement (or any guaranty thereof), now or hereafter owing by the Assignor.

NOW THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor does hereby grant to Assignee a

BUSDOCS:999522.1

TRADEMARK REEL: 002317 FRAME: 0151 security interest in, and mortgage on, the Collateral to secure the prompt payment, performance and observance of the Obligations (or any guaranty thereof) now or hereafter owing by the Assignor.

Assignor does hereby further acknowledge and affirm that the rights and remedies of Assignee with respect to the grant of security interest in and mortgage on the Collateral made and granted hereby are more fully set forth in the Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

Assignee's address is 100 Federal Street, Boston, Massachusetts 02110.

IN WITNESS WHEREOF, Assignor has caused this Notice of Security Interest to be duly executed by its officer thereunto duly authorized as of the 30th day of May, 2001.

**SMITH & NOBLE, LLC** 

Name: John Schaefer

Title: Vice President

#### STATE OF OHIO)

### COUNTY OF BUTLER)

On this 30th day of May, 2001, before me appeared John Schaefer to me personally known, who, being by me duly sworn, did depose and say that he is the Vice President of Smith & Noble, LLC, the limited liability company named in and which executed the foregoing instrument; that being duly authorized he did execute the foregoing instrument on behalf of the limited liability company therein named; and that the foregoing instrument is the free and authorized act and deed of said corporation.

Notary Public Lake

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My commission expires:

(Seal)

DIANE M. FOLEY

Notary Public, State of Ohio My Commission Expires Jan. 29, 2002

RECORDED: 06/15/2001

**TRADEMARK** REEL: 002317 FRAME: 0153