FORM PTO-1594 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp.4/94)
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TM05/REV03

7.10.01

07-19-2001

101782931

Docket No.: 014951/0279

Tab settings

To the Honorable Commissioner of Pa ET .Y attached original documents or copy thereof.

1. Name of conveying party(ies):

ARTHUR D. LITTLE, INC.

- ☐ Individual(s)
- ☐ Association
- ☐ General Partnership
- ☐ Limited Partnership
- ☒ Corporation-State MASSACHUSETTS
- ☐ Other

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: ABLECO FINANCE LLC, As Agent

Internal Address:

Street Address: 450 PARK AVENUE

City: NEW YORK State: NY ZIP: 10022

- ☐ Individual(s) citizenship
- ☐ Association
- ☐ General Partnership
- ☐ Limited Partnership
- ☐ Corporation-State
- ☒ Other DELAWARE LIMITED LIABILITY CO.

JUL 10 2001

If assignee is not domiciled in the United States, a domestic designation is ☐ Yes ☒ N
(Designations must be a separate document from
Additional name(s) & address(es) ☐ Yes ☒ N

3. Nature of conveyance:

- ☐ Assignment
- ☐ Merger
- ☒ Security Agreement
- ☐ Change of Name
- ☐ Other

Execution Date: JUNE 11, 2001

4. Application number(s) or registration numbers(s):

A. Trademark Application No.(s)

SEE ATTACHED SCHEDULE

B. Trademark Registration No.(s)

SEE ATTACHED SCHEDULE

Additional numbers ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: PAUL A. JUERGENSEN

Internal Address: SCHULTE ROTH & ZABEL LLP

Street Address: 919 THIRD AVENUE

City: NEW YORK State: NY ZIP: 10022

6. Total number of applications and registrations involved:..... 19

7. Total fee (37 CFR 3.41):.....$ $490.00

- ☐ Enclosed
- ☒ Authorized to be charged to deposit account

8. Deposit account number:

SCHULTE ROTH & ZABEL LLP - 500675

DO NOT USE THIS SPACE

07/19/2001 AWNED1 00000028 500675 2394803

01 FC:481 40.00 CH
02 FC:482 450.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

PAUL A. JUERGENSEN		JULY 10, 2001
Name of Person Signing	Signature	Date

Total number of pages including cover sheet, attachments, and 11

TRADEMARK
REEL: 002331 FRAME: 0723

ADL Trademark Schedule

Name	Status	Country 1	Registration number	Serial Number	Claimant/Assignee/Owner	Is this asset registered
ACUREX	Active	US	2394803	75594579	ARCADIS Geraghty & Miller, Inc. Assigned to ADL	Yes
Acurex Energy	Active	US		75879947	ARCADIS Geraghty & Miller, Inc. Assigned to ADL	Yes
Acurex Environmental	Active	US		75879943	ARCADIS Geraghty & Miller, Inc. Assigned to ADL	Yes
ADL [Service Mark]	Active	US	755,687	72143645	Arthur D. Little, Inc.	Yes
ARTHUR D LITTLE	Active	US	1610039	73790376	Arthur D. Little, Inc.	Yes
ARTHUR D. LITTLE	Active	US	1617200	74006194	Arthur D. Little, Inc.	Yes
ARTHUR D. LITTLE	Active	US	1601347	73790390	Arthur D. Little, Inc.	Yes
ARTHUR D. LITTLE	Active	US	1599955	73786897	Arthur D. Little, Inc.	Yes
ARTHUR D. LITTLE	Active	US	1610038	73786817	Arthur D. Little, Inc.	Yes
Arthur D. Little w/ Chipped D [Service Mark]	Active	US	1610039		Arthur D. Little, Inc.	
Beyond Compliance	Active	US	1776802	74151703	Arthur D. Little, Inc.	Yes
CHEMEST	Active	US	1363101	73531558	Arthur D. Little, Inc.	Yes
Innovation Assoc.--Leadership & Mastery	Active	US	1278270	73412945	Arthur D. Little, Inc.	Yes
Innovation Assoc.--Visionary Leadership and Planning	Active	US	2,002,897	74730149	Arthur D. Little, Inc.	Yes
Innovation Associates	Active	US	1140068		Arthur D. Little, Inc.	Yes
Innovation Associates--System Thinking: A Language for Learning & Action	Active	US	1995633	74731204	Arthur D. Little, Inc.	Yes
The Joyce Institute	Active	US	2,125,130	75150907	Arthur D. Little, Inc.	Yes
The Virtual Oil Company	Active	US	1943994	75615581	Arthur D. Little, Inc.	Yes
Winged Acorn	Active	US	735,804	72134890	Arthur D. Little, Inc.	Yes

ASSIGNMENT FOR SECURITY

(TRADEMARKS)

WHEREAS, ARTHUR D. LITTLE, INC. (the "Assignor") has adopted, used and is using the trademarks and service marks listed on the annexed Schedule 1A, which trademarks and service marks are registered or applied for in the United States Patent and Trademark Office (the "Trademarks");

WHEREAS, the Assignor, has entered into a Security Agreement dated June 11, 2001 (the "Security Agreement") in favor of ABLECO FINANCE LLC, as agent for certain lenders (the "Assignee");

WHEREAS, pursuant to the Security Agreement, the Assignor has pledged and assigned to the Assignee and granted to the Assignee for the benefit of the lenders a continuing security interest in all right, title and interest of the Assignor in, to and under the Trademarks together with, among other things, the good-will of the business symbolized by the Trademarks and the applications and registrations thereof, and all proceeds thereof, including, without limitation, any and all causes of action which may exist by reason of infringement thereof (the "Collateral"), to secure the payment, performance and observance of the Obligations (as defined in the Security Agreement);

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, the Assignor does hereby pledge and assign unto the Assignee and grant to the Assignee for the benefit of the lenders a continuing security interest in the Collateral to secure the prompt payment, performance and observance of the Obligations.

The Assignor does hereby further acknowledge and affirm that the rights and remedies of the Assignee with respect to the Collateral are more fully set forth in the Security Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

IN WITNESS WHEREOF, the Assignor has caused this Assignment to be duly executed by its officer thereunto duly authorized as of June 11, 2001.

ARTHUR D. LITTLE, INC.

By: F. T. McElligott
Name: Frederick T. McElligott
Title: Treasurer

9056069.1

STATE OF NEW YORK

ss.:

COUNTY OF NEW YORK

On this 8th day of June, 2001, before me personally came Frederick T. Mc Elligott, to me known to be the person who executed the foregoing instrument, and who, being duly sworn by me, did depose and say that he is the Treasurer of Arthur D. Little, Inc., a Massachusetts corporation, and that he executed the foregoing instrument in the firm name of Arthur D. Little, Inc., and that he had authority to sign the same, and he acknowledged to me that he executed the same as the act and deed of said firm for the uses and purposes therein mentioned.

Denise Maria Mc Dermott

DENISE MARIA McDERMOTT
Notary Public, State of New York
No. 01MC5018503
Qualified in New York County
Commission Expires Sept. 27, 2001

9056069.1

SCHEDULE 1A TO ASSIGNMENT FOR SECURITY

(TRADEMARKS AND TRADEMARK APPLICATIONS)

ADL Trademark Schedule

Name	Status	Country 1	Registration number	Serial Number	Claimant/Assignee/Owner	Is this asset registered
ACUREX	Active	US	2394803	75594579	ARCADIS Geraghty & Miller, Inc. Assigned to ADL	Yes
Acurex Energy	Active	US		75879947	ARCADIS Geraghty & Miller, Inc. Assigned to ADL	Yes
Acurex Environmental	Active	US		75879943	ARCADIS Geraghty & Miller, Inc. Assigned to ADL	Yes
"The Unwritten Rules of the Game"	Active	US			Arthur D. Little, Inc.	No
ADL	Active	African Union	6752		Arthur D. Little, Inc.	Yes
ADL	Active	DZ	53,403		Arthur D. Little, Inc.	Yes
ADL	Active	AR	1,400,525		Arthur D. Little, Inc.	Yes
ADL	Active	AR	1,345,162		Arthur D. Little, Inc.	Yes
ADL	Active	AR	1,433,137		Arthur D. Little, Inc.	Yes
ADL	Active	AU	590537		Arthur D. Little, Inc.	Yes
ADL	Active	AU	590538		Arthur D. Little, Inc.	Yes
ADL	Active	AU	590540		Arthur D. Little, Inc.	Yes
ADL	Active	AT	154 566		Arthur D. Little, Inc.	Yes
ADL	Active	BE	441,902		Arthur D. Little, Inc.	Yes
ADL	Active	BO	54750-A		Arthur D. Little, Inc.	Yes
ADL	Active	BO	54749-A		Arthur D. Little, Inc.	Yes
ADL	Active	BO	54748-A		Arthur D. Little, Inc.	Yes
ADL	Active	BO	54747-A		Arthur D. Little, Inc.	Yes
ADL	Active	BR	720,118,476		Arthur D. Little, Inc.	Yes
ADL	Active	BR	817866604		Arthur D. Little, Inc.	Yes
ADL	Active	CL	524,702		Arthur D. Little, Inc.	Yes
ADL	Active	Colombia	94,268		Arthur D. Little, Inc.	Yes
ADL	Active	Colombia	94,266		Arthur D. Little, Inc.	Yes
ADL	Active	Colombia	94,267		Arthur D. Little, Inc.	
ADL	Active	CZ	191832		Arthur D. Little, Inc.	Yes
ADL	Active	FR	1,373,299		Arthur D. Little, Inc.	Yes
ADL	Active	DE	843 598/16		Arthur D. Little, Inc.	Yes
ADL	Active	DE	39510605.2		Arthur D. Little, Inc.	Yes
ADL	Active	GR	137,775		Arthur D. Little, Inc.	Yes

ADL Trademark Schedule

ADL	Active	GB	2007971		Arthur D. Little, Inc.	Yes
ADL	Active	Hong Kong	B4201/97		Arthur D. Little, Inc.	Yes
ADL	Active	Hong Kong	B4202/97		Arthur D. Little, Inc.	Yes
ADL	Active	IT	35956C/86		Arthur D. Little, Inc.	Yes
ADL	Active	JP	3017589		Arthur D. Little, Inc.	Yes
ADL	Active	JP	3065494		Arthur D. Little, Inc.	Yes
ADL	Active	JP	3065495		Arthur D. Little, Inc.	Yes
ADL	Active	JP	3226190 Reg. 11/29/96		Arthur D. Little, Inc.	Yes
ADL	Active	Korea	59935		Arthur D. Little, Inc.	Yes
ADL	Active	KW	32018		Arthur D. Little, Inc.	Yes
ADL	Active	MA	64,224		Arthur D. Little, Inc.	Yes
ADL	Active	NO	72972		Arthur D. Little, Inc.	Yes
ADL	Active	RU	137712		Arthur D. Little, Inc.	Yes
ADL	Active	SA	343/25		Arthur D. Little, Inc.	Yes
ADL	Active	SA	343/26		Arthur D. Little, Inc.	Yes
ADL	Active	Slovak Rep.	178372		Arthur D. Little, Inc.	Yes
ADL	Active	ZA	B77/4373-4		Arthur D. Little, Inc.	Yes
ADL	Active	SE	337 000		Arthur D. Little, Inc.	Yes
ADL	Active	CH	432087		Arthur D. Little, Inc.	Yes
ADL	Active	AE	App 20432		Arthur D. Little, Inc.	Yes
ADL	Active	AE	App 20433		Arthur D. Little, Inc.	Yes
ADL	Active	UA	17437		Arthur D. Little, Inc.	Yes
ADL	Active	VN	21107		Arthur D. Little, Inc.	Yes
ADL	Pending	IN	App. pending		Arthur D. Little, Inc.	Yes
ADL	Pending	KW	App.Pending		Arthur D. Little, Inc.	Yes
ADL	Pending	KW	App.Pending		Arthur D. Little, Inc.	Yes
ADL	Pending	MY	App. Pending		Arthur D. Little, Inc.	Yes
ADL & Device	Active	SE	161 973		Arthur D. Little, Inc.	Yes
ADL [Service Mark]	Active	US	755,687	72143645	Arthur D. Little, Inc.	Yes
ADL w/ "Flying Acorn" - will not be renewed	Active	AU	A206117		Arthur D. Little, Inc.	Yes

ADL w/ "Geometric Design"	Active	BR	006619371		Arthur D. Little, Inc.	Yes
ADL w/ "Geometric Design"	Active	EG	53,692		Arthur D. Little, Inc.	Yes
ADL w/ "Geometric Design"	Active	EG	53,694		Arthur D. Little, Inc.	Yes
ADL w/ "Geometric Design"	Active	EG	53,693		Arthur D. Little, Inc.	Yes
ADL w/ "Geometric Design"	Active	FI	82 009		Arthur D. Little, Inc.	Yes
ADL/Track™	Active	US			Arthur D. Little, Inc.	No
Ambition Driven Strategy	Active	US			Arthur D. Little, Inc.	No
APTAC	Active	US			Arthur D. Little, Inc.	No
ARC 2000™	Active	US			Arthur D. Little, Inc.	No
ARC®	Active	US			Arthur D. Little, Inc.	Yes
ARTHUR D LITTLE	Active	US	1610039	73790376	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	AR	1744995		Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	CZ	180866		Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	Slovak Rep.	178372		Arthur D. Little, Inc.	Yes
ARTHUR D. LITTLE	Active	US	1617200	74006194	Arthur D. Little, Inc.	Yes
ARTHUR D. LITTLE	Active	US	1601347	73790390	Arthur D. Little, Inc.	Yes
ARTHUR D. LITTLE	Active	US	1599955	73786897	Arthur D. Little, Inc.	Yes
ARTHUR D. LITTLE	Active	US	1610038	73786817	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	African Union	6753		Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	DZ	53,402		Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	AR	1,261,421		Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	AR	1,744,992		Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	AR	1,744,993		Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	AR	1,744,994		Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	AU	590533		Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	AU	590534		Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	Australia			Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	AU	590536		Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	AT	154 539		Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	BE	439,471		Arthur D. Little, Inc.	Yes

Arthur D. Little	Active	BO	54743-A	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	BO	54744-A	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	BO	54745-A	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	BO	54746-A	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	BR	006064205	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	BR	007564198	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	BR	817866590	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	CA	403,985	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	CL	524,703	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	CZ	188184	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	DK	2435/80	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	EG	53.697	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	EG	53.696	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	EG	53.695	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	FI	81 173	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	FR	1,373,298	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	DE	844 264/16	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	DE	395 10 606	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	GR	137,776	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	GB	1292883	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	GB	1292884	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	Hong Kong	BO5922	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	IT	35957C/86	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	JP	3017588	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	JP	3065492	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	JP	3065493	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	JP	3226189 Reg. 11/29/95	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	Korea	59934	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	KW	32431	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	KW	34734	Arthur D. Little, Inc.	Yes

Arthur D. Little	Active	MX	415155	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	MX	415156	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	MX	415157	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	MX	419048	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	MX	12971(old mark)	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	MA	64,223	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	Russian Fed.	137711	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	SA	343/27	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	SA	343/28	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	Slovak Rep.	180185	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	ZA	B77/4371-2	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	ES	1620241	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	ES	1620242	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	ES	1620243	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	ES	1620244	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	CH	433340	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	AE	App. 20434	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	AE	App. 20435	Arthur D. Little, Inc.	Yes
Arthur D. Little	Active	VN	11822	Arthur D. Little, Inc.	Yes
Arthur D. Little	Pending	IN	App. pending	Arthur D. Little, Inc.	Yes
Arthur D. Little	Pending	KW	App. Pending	Arthur D. Little, Inc.	Yes
Arthur D. Little	Pending	MY	App. Pending	Arthur D. Little, Inc.	Yes
Arthur D. Little	Pending	UA	Active	Arthur D. Little, Inc.	Yes
Arthur D. Little (stylized)	Active	Hong Kong	B05121	Arthur D. Little, Inc.	Yes
Arthur D. Little w/ "Chipped D"	Pending	SG	Applic pending	Arthur D. Little, Inc.	Yes
Arthur D. Little w/ "Chipped D"	Pending	SG	Applic pending	Arthur D. Little, Inc.	Yes
Arthur D. Little w/ "Flying Acorn" no renewal	Active	AU	A205995	Arthur D. Little, Inc.	Yes
Arthur D. Little w/ "Geometric Design"	Active	CO	94,264	Arthur D. Little, Inc.	Yes
Arthur D. Little w/ "Geometric Design"	Active	CO	94,263	Arthur D. Little, Inc.	Yes
Arthur D. Little w/ "Geometric Design"	Active	CO	94,265	Arthur D. Little, Inc.	Yes

ADL Trademark Schedule

Arthur D. Little w/ "Geometric Design"	Active	SE	161 191		Arthur D. Little, Inc	Yes
Arthur D. Little w/ Chipped D [Service Mark]	Active	US	1610039		Arthur D. Little, Inc.	
AuditPro™	Active	US			Arthur D. Little, Inc.	No
Beyond Compliance	Active	US	1776802	74151703	Arthur D. Little, Inc.	Yes
CHEMEST	Active	US	1363101	73531558	Arthur D. Little, Inc.	Yes
eauditSKIL™	Active	US			Arthur D. Little, Inc.	No
FaultrEASE	Active	GER-EUR			Arthur D. Little, Inc.	No
Fluid Network Organization	Active	US			Arthur D. Little, Inc.	No
HAZOPtimizer	Active	US			Arthur D. Little, Inc.	No
Innovation Assoc.--Foundations for Organizational Learning - Strategies and	Active	US			Arthur D. Little, Inc.	No
Innovation Assoc --Leadership & Mastery	Active	US	1278270	73412945	Arthur D. Little, Inc.	Yes
Innovation Assoc.--Visionary Leadership and Planning	Active	US	2,002,897	74730149	Arthur D. Little, Inc	Yes
Innovation Associates	Active	US	1140068		Arthur D. Little, Inc.	Yes
Innovation Associates--System Thinking: A Language for Learning & Action	Active	US	1995633	74731204	Arthur D. Little, Inc.	Yes
SuperChems™	Active	US			Arthur D. Little, Inc.	No
TechFactor	Active	US			Arthur D. Little, Inc.	No
The Joyce Institute	Active	US	2,125,130	75150907	Arthur D. Little, Inc.	Yes
The Virtual Oil Company	Active	US	1943994	75615581	Arthur D. Little, Inc.	Yes
ThinkTools	Active	US			Arthur D. Little, Inc.	No
ValuGrid	Active	US			Arthur D. Little, Inc.	No
Winged Acorn	Active	US	735,804	72134890	Arthur D. Little, Inc.	Yes