

09-10-2001

U.S. Department of Commerce
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TRADEMARK



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Submission Type

☒ New

☐ Resubmission
Document ID#

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☐ Correction of PTO Error

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Reel #

Frame #

Conveyance Type

☐ Assignment

☐ License

☐ Security

☐ Nunc Pro Tunc Assignment

☐ Merger

☐ Change of Name

☒ Other

Notice of Grant of Security Interest

Effective Date

Month Day Year

08/17/01

Conveying Party

☐ Mark if additional names of conveying parties attached

Name

aaiPharma Inc.

Execution Date
Month Day Year

08/17/01

Formerly

☐ Individual

☐ General Partnership

☐ Limited Partnership

☒ Corporation

☐ Association

☐ Other

☒ Citizenship/State of Incorporation/Organization

Delaware

Receiving Party

☐ Mark if additional names of receiving parties attached

Name

Bank of America, N.A., as Agent

DBA/AKA/TA

Composed of

Address (line 1)

101 N. Tryon Street

Address (line 2)

15th Floor, Agency Services

Address (line 3)

Charlotte

North Carolina

28255

City

State/Country

Zip Code

☐ Individual

☐ General Partnership

☐ Limited Partnership

☐ Corporation

☐ Association

☒ Other

National Banking Association

☐ Citizenship/State of
Incorporation/Organization

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

09/07/2001 T01A21 00000174 78052057

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01 FC:481
02 FC:482
Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

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TRADEMARK
REEL: 002363 FRAME: 0964

Domestic Representative Name and Address

Enter for the first Receiving Party Only.

Name N/AAddress (line 1) Address (line 2) Address (line 3) Address (line 4) **Correspondent Name and Address**Area Code and Telephone Number (919) 286-8000Name Steven D. ThomasAddress (line 1) Moore & Van Allen, PLLCAddress (line 2) 2200 West Main StreetAddress (line 3) Suite 800Address (line 4) Durham, North Carolina 27705**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

3**Trademark Application Number(s) or Registration Number(s)**

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

78/052,05776/185,12076/184,8672,346,5731,942,88576/186,02076/185,11976/184,8662,136,8321,647,66976/185,12376/185,11876/184,8501,946,8291,622,884**Number of Properties**

Enter the total number of properties involved.

16**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 415.00

Method of Payment:

Enclosed ☒Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

13-4365

Authorization to charge additional fees:

Yes ☒No ☐**Statement and Signature***To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized as indicated herein.*Steven D. Thomas

Name of Person Signing

Steven D. Thomas
SignatureAugust 29, 2001
Date Signed

**RECORDATION FORM COVER SHEET
CONTINUATION
TRADEMARKS ONLY****Conveying Party**☐

Mark if additional names of conveying parties attached

Enter Additional Conveying Party

Name

Execution Date
Month Day Year

Formerly

☐ Individual ☐ General Partnership ☐ Limited Partnership ☐ Corporation ☐ Association☐ Other☐ Citizenship/State of Incorporation/Organizaiton**Receiving Party**☐

Mark if additional names of receiving parties attached

Enter Additional Receiving Party

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ Individual ☐ General Partnership ☐ Limited Partnership ☐☐ Corporation ☐ Association☐ Other☐ Citizenship/State of

Incorporation/Organization

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Trademark Application Number(s) or Registration Number(s)

☐

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

75/487,117

NOTICE
OF
GRANT OF SECURITY INTEREST
IN
TRADEMARKS

United States Patent and Trademark Office

Gentlemen:

Please be advised that pursuant to the Security Agreement dated as of August 17, 2001 (the "Security Agreement") by and among the Obligors party thereto (each an "Obligor" and collectively, the "Obligors") and Bank of America, N.A., as Agent (the "Agent") for the Lenders referenced therein (the "Lenders"), the undersigned Obligor has granted a continuing security interest in and continuing lien upon, the trademarks and trademark applications shown below to the Agent for the ratable benefit of the Lenders:

TRADEMARKS

<u>Trademark No.</u>	Description of Trademark <u>Item</u>	Date of <u>Trademark</u>
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See Exhibit A attached hereto and made a part hereof.

Trademark Applications

<u>Trademark Applications No.</u>	Description of Trademark <u>Applied For</u>	Date of Trademark <u>Applications</u>
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See Exhibit A attached hereto and made a part hereof.

The Obligors and the Agent, on behalf of the Lenders, hereby acknowledge and agree that the security interest in the foregoing patents and patent applications (i) may only be terminated in accordance with the terms of the Security Agreement and (ii) is not to be construed as an assignment of any patent or patent application.

Very truly yours,

aaiPharma Inc., a Delaware corporation
2320 Scientific Park Drive
Wilmington, NC 28405

By: William L. Ginn
Name: William L. Ginn
Title: EVP

Acknowledged and Accepted:

BANK OF AMERICA, N.A., as Agent
101 N. Tryon Street, 15th Floor, Agency Services
Charlotte, NC 28255

By: J. Stephen Merick
Name: J. Stephen Merick
Title: Managing Director



EXHIBIT A

aaiPharma Inc.
(Delaware Corporation)

U.S. Trademarks

Registered Marks

Mark	Registration No.	Registration Date
PROSORB	2,346,573	5/2/00
WE DEVELOP SCIENCE INTO BUSINESS	2,136,832	2/17/98
AAI and Design	1,946,829	1/9/96
AAI and Design	1,942,885	12/19/95
AAI	1,647,669	6/11/91
APPLIED ANALYTICAL INDUSTRIES	1,622,884	11/13/90

Pending Applications

Mark	Appl. Ser. No.	Filing Date
AAICALC (Stylized)	78-052,057	3/8/01
NEOSAN PHARMACEUTICALS	76-186,020	12/20/00
GLOBAL CMC SOLUTIONS	76-185,123	12/20/00
GLOBAL REGULATORY SOLUTIONS	76-185,120	12/20/00
GLOBAL CLINICAL SOLUTIONS	76-185,119	12/20/00
GLOBAL BIOANALYTICAL SOLUTIONS	76-185,118	12/20/00
NEOSAN	76-184,867	12/20/00
GLOBAL PHARMACEUTIC SOLUTIONS	76-184,866	12/20/00
AZASAN	76-184,850	12/20/00
PROCORE	75-487,117	5/18/98