Form **PTO-1594** 

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)



09-19-2001

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U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

Tab settings ⇒⇒⇒ ▼ 101049	<u> </u>	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.		
1. Name of conveying party(ies): Solus Micro Technologies, Inc.  Westlake Holding Company, Inc.  Individual(s) General Partnership Corporation-State Delaware Other  Additional name(s) of conveying party(ies) attached?  Assignment Security Agreement Other  Change of Name Other	2. Name and address of receiving party(ies)  Name: Silicon Valley Bank  Internal Address: Street Address: 3003 Tasman Drive  City: Santa Clara State: CA zip: 95054  Individual(s) citizenship  Association General Partnership Limited Partnership Corporation-State California Other If assignee is not domiciled in the United States, a domestic	
Execution Date: August 3, 2001	representative designation is attached: 🔩 Yes 🔩 No (Designations must be a separate document from assignment) Additional name(s) & address( es) attached? 😡 Yes 😡 No	
A. Trademark Application No.(s) 75/916,265 75/916,264 75/838,759  Additional number(s) att  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Levy, Small & Lallas  Internal Address: Attn: Sebastian Camua	B. Trademark Registration No.(s)  ached Yes No  6. Total number of applications and registrations involved:  7. Total fee (37 CFR 3.41)	
Street Address: 815 Moraga Drive	8. Deposit account number:	
City:Los Angeles State: CA Zip: 90049	(Attach duplicate copy of this page if paying by deposit account)	
DO NOT USE THIS SPACE		
Name of Person Signing	August 31, 2001  Signature  Date  Date	
Mail documents to be recorded with required cover sheet information to:		

of Patent & Trademarks, Washington, D.C. 20231

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### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement is entered into as of August 3, 2001 by and between, on the one hand, SILICON VALLEY BANK ("Bank"), whose address is 3003 Tasman Drive, Santa Clara, California 95054, and, on the other hand, SOLUS MICRO TECHNOLOGIES, INC., a Delaware corporation (Delaware corporation number 3288118) formerly known as Etalon Technologies, Inc. ("Solus"), whose address is 5706 Corsa Avenue, Suite 100, Westlake Village, California 91362 WESTLAKE HOLDING COMPANY, INC., a Delaware corporation (Delaware corporation number 3288051) ("Westlake"), whose address is 5706 Corsa Avenue, Suite 100, Westlake Village, California 91362

As used herein, the term "Grantor" means Solus, Westlake individually and collectively, and jointly and severally.

#### <u>RECITALS</u>

A. Bank has agreed to make certain advances of money and to extend certain financial accommodation to Grantor (the "Loans") in the respective amounts and manner set forth in that certain Loan and Security Agreement by and between Bank and Grantor dated of even date herewith (as the same may be amended, modified or supplemented from time to time, the "Line B Loan Agreement") and that certain Amended and Restated Loan and Security Agreement by and among Bank, Grantor, and MEMSolutions, Inc., a Delaware corporation (Delaware corporation number 2836513) formerly known as Solus Micro Technologies, Inc. dated of even date herewith (as the same may be amended, modified or supplemented from time to time, the "Line A Loan Agreement"). As used herein, the term "Loan Agreement" means the Line A Loan Agreement and the Line B Loan Agreement, individually and collectively. Capitalized terms used herein but not otherwise defined herein have the meanings ascribed in the Loan Agreement. Bank is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Bank security interests in Grantor's Copyrights, Trademarks, Patents, and Mask Works to secure the obligations of Grantor under the Loan Agreement and the other Loan Documents.

B. Pursuant to the terms of the Loan Agreement, Grantor has granted to Bank security interests in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Agreement, Grantor hereby represents, warrants, covenants and agrees as follows:

## **AGREEMENT**

To secure its obligations under the Loan Agreement and the other Loan Documents, Grantor grants and pledges to Bank a security interest in all of Grantor's right, title and interest in, to and under its Intellectual Property Collateral (including without limitation those Copyrights, Patents, Trademarks and Mask Works listed on Exhibits A, B, C, and D hereto), and including without limitation all proceeds thereof (such as, by way of example but not by way of limitation, license royalties and proceeds of infringement suits), the right to sue for past, present and future infringements, all rights corresponding thereto throughout the world and all re-issues, divisions continuations, renewals, extensions and continuations-in-part thereof.

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This security interest is granted in conjunction with the security interests granted to Bank under the Loan Agreement. The rights and remedies of Bank with respect to the security interest granted hereby are in addition to those set forth in the Loan Agreement and the other Loan Documents, and those which are now or hereafter available to Bank as a matter of law or equity. Each right, power and remedy of Bank provided for herein or in the Loan Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by Bank of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Loan Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including Bank, of any or all other rights, powers or remedies.

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IN WITNESS WHEREOF, the parties have cause this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

Address of Grantor:

c/o Westlake Holding Company, Inc. 5706 Corsa Avenue, Suite 100 Westlake Village, California 91362 Attention: President

**GRANTOR:** 

WESTLAKE HOLDING COMPANY, INC., a Delaware corporation (Delaware corporation number 3288051)

By: Jay Sach
Title:

SOLUS MICRO TECHNOLOGIES, INC., a Delaware corporation (Delaware corporation number 3288118) formerly known as Etalon Technologies, Inc.

By: Sale



Address of Bank:

340 N. Westlake Village Blvd. Westlake Village, California 91362

Attn: Manager

BANK:

SILICON VALLEY BANK

By: Title:

## EXHIBIT A

Copyrights

Grantor Description Registration/ Application Application Number Date

All NONE

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SVB/Solus/IP Schedule.DOC

### **EXHIBIT B**

### **Patents**

<u>Grantor</u>

**Description** 

Registration/ Application Number Registration/ Application <u>Date</u>

Westlake

**NONE** 

Holdings Company,

Inc.

Solus Micro

See attached one page list

Technologies,

Inc.

## CASE LIST-Patents Grantor: Solus Micro Technologies, Inc.

SERIAL NO./	TITLE
FILING DATE	
U.S. Serial No. 09/550,077	MONOLITHIC 2D
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Filed: April 14, 2000 U.S. Serial No.	WAVEGUIDE TUNABLE
09/832,102	WAVEGUIDE TUNABLE
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Filed: April 11, 2001	
US Serial No.	SINGLE-WAVELENGTH ADD/DROP
09/810,169	
Filed: March 19, 2001	
US. Serial No.	WAVEGUIDE SWITCH
09/810,170	
Filed: March 19, 2001	
US. Serial No.	ELECTROSTATICALLY-ACTUATED
09/810,168	
577 1 24 2004	
Filed: March 19, 2001	
U.S. Serial No.	TUNABLE FABRY-PEROT
09/766,687	
Filed: January 19, 2001	
	ELECTROSTATICALLY-ACTUATED
U.S. Serial No. 09/811,612	Linethooti
07/011,012	
Filed: March 20, 2001	
Clad day	
Note PCT Application was filed also claiming priority to this applications l	
US: Waiting for S/N	ELECTROSTATICALLY-ACTUATED TUNABLE
Filed: March 20, 2001	SURFACE MICROMACHINING
Serial No. 09/756,860	SURFACE INICROMITION
El-1. January 10, 2001	
Filed: January 10, 2001 Provisional Application	COMPLIANT MEMS FABRY-PEROT
Serial No. 60/284,943	
Filed: April 20, 2001	USE OF CAPACITANCE
Provisional Application Serial No. 60/303,772	
Gerral 140. 00, 200,	
Filed: July 10, 2001	

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### **EXHIBIT C**

### Trademarks

**Grantor** 

**Description** 

**NONE** 

Registration/

Application Number

Registration/ Application Date

Westlake

Holdings Company,

Inc.

Solus Micro

See attached two page list

Technologies,

Inc.

# CASE LIST – Trademarks Grantor: Solus Micro Technologies, Inc.

SERIAL NO./	TITLE
FILING DATE	M. L. COLLIC MCDO TECHNIOLOGIES
US Serial No. 75/916,265	Mark:: SOLUS MICRO TECHNOLOGIES
Filed: 2/10/00	Based on Intent to Use
US Serial No. 75/916,264	Mark:: SOLUS Logo
Filed: 2/10/00	Based on Intent to Use
Australian Trademark Application No. 843,743	Mark:: SOLUS (Logo)
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Filed July 26, 2000  Canadian Trademark Application No.	Mark: SOLUS Logo
1,068,589	Walk. Solles Logo
Filed: 7/26/00 Chinese Trademark Application No.	Mark: SOLUS Logo
2000114846	Walk. SOLICS Logo
Filed: 8/1/00  Community Trademark Application No. 001787944	Mark:: SOLUS (Logo)
Community Trademark Application No. 001787944	Mark SOLUS (Lugo)
Filed: 8/2/00	
Japanese Trademark Application No. 2000-83364	Mark:: SOLUS (Logo)
Filed: 7/27/00	
Korean Trademark Application No.	Mark:: SOLUS (Logo)
40-2000-0038392	
F1. 4. 9/0/00	
Filed: 8/9/00 Taiwanese Trademark Application No. 89043472	Mark:: SOLUS (Logo)
Talwanese Transfer	
Filed: 7/28/00	Mark: SOLUS
Serial No. 75/838,759	Wark. Solles
Filed: 11/3/99	
Australian Trademark	Mark:: SOLUS
Application No.	
833,776	
Filed: 5/1/00	Mark:: SOLUS
Canadian Trademark	Water. Solds
Application No.	
1,057,387	
Filed: 5/2/00	Mark:: SOLUS
Chinese Application No.	
2000061083	
Filed:5/8/00	

# CASE LIST – Trademarks Grantor: Solus Micro Technologies, Inc.

SERIAL NO./ FILING DATE	TITLE
Community Trademark Application No. 001634849	Mark: SOLUS
Filed: 5/2/00	
Japanese Trademark Application No. 2000-48738	Mark:: SOLUS
Filed: 5/1/00	
Korean Trademark Application No. 40-2000-0020957	Mark:: SOLUS
Filed: 5/2/00	
Taiwanese Trademark Application No. 89024193	Mark:: SOLUS
Filed: 5/3/00	
Australian Trademark No. 866,791	Mark:: SOLUS (Logo)
Filed: February 15, 2001	
Australian Trademark No. 866,792	Mark:: SOLUS
Filed: February 15, 2001	

### **EXHIBIT D**

Mask Works

Grantor Description Registration/ Application Application Number Date

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**RECORDED: 09/19/2001**