

10-12-2001

18-1-21



RECOR

101871646

TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or cop(ies).

Submission Type

New  
 Resubmission (Non-Recordation)  
Document ID # \_\_\_\_\_

Conveyance Type

Assignment  License  
 Security Agreement  Nunc Pro Tunc Assignment  
 Merger Effective Date \_\_\_\_\_  
 Change of Name Month Day Year \_\_\_\_\_  
 Other 05/09/2001  
 Other

Correction of PTO Error  
Reel # \_\_\_\_\_ Frame# \_\_\_\_\_  
 Corrective Document  
Reel # \_\_\_\_\_ Frame# \_\_\_\_\_

Conveying Party

Mark if additional names of conveying parties attached

Name Advanced Polymer Systems, Inc.

Execution Date  
Month Day Year  
05/09/2001

Formerly \_\_\_\_\_

Individual  General Partnership  Limited Partnership  Corporation  Association  
 Other  
 Citizenship/State of Incorporation/Organization Delaware

Receiving Party

Mark if additional names of conveying parties attached

Name AP Pharma, Inc.

DBA/AKA/TA \_\_\_\_\_

Composed of \_\_\_\_\_

Address (line 1) 123 Saginaw Drive

Address (line 2) \_\_\_\_\_

Address (line 3) Redwood City California 94063

Individual  General Partnership  Limited Partnership  If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached.  
 Corporation  Association (Designation must be a separate document from Assignment.)  
 Other

Citizenship/State of Incorporation/Organization Delaware

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT REQUEST TO RECORD ASSIGNMENT DOCUMENT TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

RETAIN THIS NUMBER-CUSTOMER RECEIPT WILL BE MAILED TO YOU.

TB773974872US

**Domestic Representative Name and Address**      **Enter for the first Receiving Party Only**

**Name \***

**Address (line 1)**

**Address (line 2)**

**Address (line 3)**

**Address (line 4)**

**Correspondent Name and Address**      **Area Code and Telephone Number**

**Name** Beth M. Goldman

**Address (line 1)** Heller Ehrman White & Mc Auliffe L.L.P.

**Address (line 2)** 333 Bush Street

**Address (line 3)** San Francisco, California 94104-2878

**Address (line 4)**

**Pages**      Enter the total number of pages of the attached conveyance document including any attachments      # 2

**Trademark Application Number(s) or Registration Numbers(s)**       Mark if additional numbers attached

*Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).*

Trademark Application Number(s)			Registration Number(s)		
			1,304,541		

**Number of Properties**      Enter the total number of properties involved.      #1

**Fee Amount**      Fee Amount for Properties Listed (37 CFR 3.41):      # \$40.00

**Method of Payment:**      Enclosed [ X ]      Deposit Account [ ]

**Deposit Account**

(Enter for payment by deposit account or if additional fees can be charged to the account.)

**Deposit Account Number:** # 08-1645

**Authorization to charge additional fees:**      Yes [ X ]      No [ ]

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Hollis B. Arnold

  
Signature

10/01/2001

Name of Person Signing

Date Signed

State of Delaware

Office of the Secretary of State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ADVANCED POLYMER SYSTEMS, INC.", CHANGING ITS NAME FROM "ADVANCED POLYMER SYSTEMS, INC." TO "AP PHARMA, INC.", FILED IN THIS OFFICE ON THE NINTH DAY OF MAY, A.D. 2001, AT 4:30 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



*Harriet Smith Windsor*  
Harriet Smith Windsor, Secretary of State

2116801 8100

AUTHENTICATION: 1125909

010224616

DATE: 05-10-01

TRADEMARK

**CERTIFICATE OF AMENDMENT OF  
CERTIFICATE OF INCORPORATION OF  
ADVANCED POLYMER SYSTEMS, INC.**

Advanced Polymer Systems, Inc., a corporation duly organized and existing under the General Corporation Law of the State of Delaware (the "Corporation"), does hereby certify:

**FIRST:** That Article I of the Certificate of Incorporation of the Corporation is hereby amended to read in its entirety as follows:

"I. Name. The name of the corporation is AP Pharma, Inc."

**SECOND:** That said amendment was duly adopted in accordance with the provisions of Section 242 of the Delaware General Corporation Law.

In witness whereof, the Corporation has caused this Certificate of Amendment to be executed by its duly authorized person this 9th day of May, 2001.



Michael O'Connell, President and Chief  
Executive Officer