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10-30-2001



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### RECORDATION FORM COVER SHEET TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

#### Submission Type

- New
- Resubmission (Non-Recordation)  
Document ID #
- Correction of PTO Error  
Reel #  Frame #
- Corrective Document  
Reel #  Frame #

#### Conveyance Type

- Assignment  License
- Security Agreement  Nunc Pro Tunc Assignment
- Merger  
Effective Date  
Month Day Year
- Change of Name
- Other

#### Conveying Party

Mark if additional names of conveying parties attached

Name

Execution Date  
Month Day Year

Formerly

- Individual  General Partnership  Limited Partnership  Corporation  Association
- Other

Citizenship/State of Incorporation/Organization

#### Receiving Party

Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

- Individual  General Partnership  Limited Partnership
- Corporation  Association
- Other

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

Citizenship/State of Incorporation/Organization

10/29/2001 AHMED1 00000118 501290 76175559

FOR OFFICE USE ONLY

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Mail documents to be recorded with required cover sheet(s) information to:  
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TRADEMARK  
REEL: 002391 FRAME: 0284

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Correspondent Name and Address**

Area Code and Telephone Number

(212)940-8800

Name

Rosenman & Colin LLP

Address (line 1)

575 Madison Avenue

Address (line 2)

New York, New York 10022-2585

Address (line 3)

Address (line 4)

Docket No.: SPOR 17.590 (100511-11262)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

#

3

**Trademark Application Number(s) or Registration Number(s)**

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

76175559		


**Number of Properties**

Enter the total number of properties involved.

#

1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$

40

Method of Payment:

Enclosed

Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

50-1290

Authorization to charge additional fees:

Yes

No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Linda S. Chan

10/18/01

Name of Person Signing

Signature

Date Signed

State of New York }  
Department of State } ss:

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.

Witness my hand and seal of the Department of State on **MAR 28 2001**



A handwritten signature in black ink, appearing to read "J. Fleck", written over a horizontal line.

*Special Deputy Secretary of State*

1-1266 (7/00)

F010308000623

DRAWDOWN

UNI-37

CERTIFICATE OF AMENDMENT

OF

AMBI INC.

Under and Pursuant to Section 805 of the Business Corporation Law  
of the State of New York

lee  
STATE OF NEW YORK  
DEPARTMENT OF STATE

FILED MAR 08 2008

AMBI Inc.  
4 Manhattanville Road  
Purchase, NY 10577

TAXES  
LIT: ~~WAL~~  
NY

Customer Ref. #: NUTRI01673

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0888 507 0000 0000 0000

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CERTIFICATE OF AMENDMENT  
OF THE CERTIFICATE OF INCORPORATION  
OF  
AMBI INC.

UNI-37

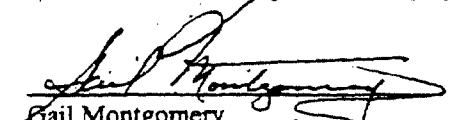
Under Section 805 of the Business Corporation Law

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WE, THE UNDERSIGNED, Gail Montgomery and Benjamin T. Sporn, being respectively the President and Chief Executive Officer, and the Secretary of AMBI Inc., hereby certify:

1. The name of the corporation is AMBI Inc.
2. The certificate of incorporation of said corporation was filed with the Department of State on June 29, 1983. The name under which the corporation was formed was APPLIED MICRO BIOLOGY, INC.
3. (a) The certificate of incorporation is amended to change the name of the corporation from AMBI Inc. to Nutrition 21, Inc.  
(b) To effect the foregoing, Article FIRST is amended to read as follows: "The name of the corporation is Nutrition 21, Inc."
4. The amendment to the Certificate of Incorporation was authorized by vote of the holders of a majority of all outstanding shares entitled to vote at a meeting held on the 8th day of March, 2001, subsequent to the affirmative vote of the Board of Directors.

IN WITNESS WHEREOF, we have signed this certificate this 8th day of March, 2001, and we affirm the statements contained therein as true under penalties of perjury.

  
Gail Montgomery  
President and Chief Executive Officer

  
Benjamin T. Sporn, Secretary

No. 6760

Mar. 8. 2001 10:32AM UCS 9640