



11-20-2001

Form PTO-1594 (Rev. 03/01) OMB No. 0651-0027 (Exp. 03/2002) Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼



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U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Video Network Communications, Inc.

- Individual(s)
- General Partnership
- Corporation-State Delaware
- Other
- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other ICC-1 Financing Statement
- Merger
- Change of Name

Execution Date: 10/26/01

2. Name and address of receiving party(ies)

Name: See attached Exhibit 1

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

- Individual(s) citizenship
- Association
- General Partnership
- Limited Partnership
- Corporation-State
- Other

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No (Designations must be a separate document from assignment) Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s) See attached Schedule 1

B. Trademark Registration No.(s) See attached Schedule 1

Additional number(s) attached  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffrey M. Gallant, Esq.

Internal Address: Graubard Miller

Street Address: 600 Third Avenue

City: New York State: NY Zip: 10016

6. Total number of applications and registrations involved: 9

7. Total fee (37 CFR 3.41) \$ 240

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert H. Emery, CFO Name of Person Signing

[Signature] Signature

11/12/01 Date

Total number of pages including cover sheet, attachments, and document: 14

Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments Washington, D.C. 20231

11/19/2001 TBIAZ1 00000023 75500166

01 FC:481 40.00 OP 02 FC:482 200.00 OP



**Exhibit 1 to Recordation Form Cover Sheet**  
**Concerning Video Network Communications, Inc.**

**Name and Address of Receiving Parties**

1. Name: Dalewood Associates, LP  
Internal Address: Attention to Dalewood Associates, Inc., Managing Partner, by David Nussbaum  
Street Address: One State Street Plaza, 24<sup>th</sup> Floor  
New York, New York 10004  
Type of Entity: Limited Partnership
2. Name: Seneca Ventures  
Internal Address: c/o Barry Rubenstein, G.P.  
Street Address: 68 Wheatley Road  
Brookville, New York 11545  
Type of Entity: Limited Partnership
3. Name: Woodland Partners  
Internal Address: c/o Barry Rubenstein, G.P.  
Street Address: 68 Wheatley Road  
Brookville, New York 11545  
Type of Entity: Partnership
4. Name: Woodland Venture Fund  
Internal Address: c/o Barry Rubenstein, G.P.  
Street Address: 68 Wheatley Road  
Brookville, New York 11545  
Type of Entity: Limited Partnership
5. Name: Stanley Blum  
Street Address: 2 Grenwolde Drive  
Great Neck, New York 11024  
Citizenship: USA
6. Name: Bruce Larson SEP IRA  
Street Address: 6900 Industrial Loop  
Greendale, Wisconsin 53129  
Type of Entity: Individual Retirement Account
7. Name: Jules H. Dreyfuss  
Street Address: 8331 E. Wilderness Way  
Shreveport, Louisiana 71106  
Citizenship: USA
8. Name: K.B.C.I. Nominees Limited  
Internal Address: c/o Steven A. Levine  
Street Address: One State Street Plaza, 24<sup>th</sup> Floor  
New York, New York 10004  
Type of Entity: Limited Liability Company
9. Name: Harry Sauer - Judy Sauer, JT TEN  
Street Address: 1079 Victor Lane  
Bryn Mawr, Pennsylvania 19010  
Citizenship: USA
10. Name: Robert Kahn  
Street Address: 1475 W. Cypress Creek Road, #204  
Ft. Lauderdale, Florida 33309  
Citizenship: USA



## Schedule 1

### **Video Network Communications, Inc. Trademarks**

#### **Trademark Rights Regarding Video Network Communications, Inc.**

	<b>Serial Number</b>	<b>Reg. Number</b>	<b>Word Mark</b>
1	75500166	2263052	TELESHARE
2	75290604	2241927	BEYOND VIDEO CONFERENCING
3	75272516	2197363	VIDMODEM
4	75074808	2038839	TELEDRAW
5	74451467	2000183	OBJECTIVE COMMUNICATIONS
6	74451464	2077801	VIDPHONE
7	73600850	1437145	VIDPHONE & DESIGN

#### **Trademark Applications Based Upon Intent-to-Use**

	<b>Serial Number</b>	<b>Filing Date</b>	<b>Word Mark</b>
8	75490802	May 26, 1998	VIDSERVER
9	75774978	August 13, 1999	VNCI

**UCC FINANCING STATEMENT**

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER (optional)  
**Jeffrey M. Gallant**

B. SEND ACKNOWLEDGMENT TO: (Name and Address)

Mr. Jeffrey M. Gallant  
 Craubard Miller  
 600 Third Avenue  
 New York, New York 10016

STATE OF DELAWARE  
 SECRETARY OF STATE  
 DIVISION OF CORPORATIONS  
 FILED 11:01 AM 10/26/2001  
 1132593 0 - 000000  
 SRV: 010537022

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

**1. DEBTOR'S EXACT FULL LEGAL NAME** - insert only one debtor name (1a or 1b) - do not abbreviate or combine names

1a. ORGANIZATION'S NAME <b>Video Network Communications, Inc.</b>					
OR	1b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
1c. MAILING ADDRESS <b>50 International Drive</b>			CITY <b>Borjtsmouth</b>	STATE <b>NH</b>	POSTAL CODE <b>03801</b>
14. TAX ID # ESN OR EIN <b>54-1707962</b>	ADDL INFO RE ORGANIZATION DEBTOR	1a. TYPE OF ORGANIZATION <b>Corporation</b>	1b. JURISDICTION OF ORGANIZATION <b>Delaware</b>	1g. ORGANIZATIONAL ID #, if any <input type="checkbox"/> NONE	

**2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME** - insert only one debtor name (2a or 2b) - do not abbreviate or combine names

2a. ORGANIZATION'S NAME <b>Objective Communications, Inc. (former name of Video Network Communications, Inc.)</b>					
OR	2b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
2c. MAILING ADDRESS <b>Same as above</b>			CITY	STATE	POSTAL CODE
24. TAX ID # ESN OR EIN	ADDL INFO RE ORGANIZATION DEBTOR	2a. TYPE OF ORGANIZATION	2b. JURISDICTION OF ORGANIZATION	2g. ORGANIZATIONAL ID #, if any <input type="checkbox"/> NONE	

**3. SECURED PARTY'S NAME** (or NAME of TOTAL ASSIGNEE of ASSIGNOR EIP) - insert only one secured party name (3a or 3b)

3a. ORGANIZATION'S NAME <b>See Exhibit 1 attached hereto</b>					
OR	3b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
3c. MAILING ADDRESS			CITY	STATE	POSTAL CODE

4. This FINANCING STATEMENT covers the following collateral:

All intellectual property rights of the Debtor and any registrations and applications for registration therefor, whether now existing or hereafter acquired or created, or whether pending and/or issued, including without limitation the intellectual property set forth on the attached Schedule A, as well as everything associated with the intellectual property including all contract rights, license rights, distribution rights, proceeds and income, rights to sue for infringement, goodwill and foreign rights, but excluding notwithstanding the foregoing, (i) pending foreign patent applications and (ii) intent-to-use United States trademark applications prior to their conversion into use-based trademark applications; provided, however, that once the pending foreign patent applications are issued and/or the intent-to-use trademark is used in interstate commerce or the intent-to-use trademark application is converted into a use-based application, such intellectual property shall additionally become part of the collateral secured by this financing statement.

5. ALTERNATIVE DESIGNATION (if applicable)	LESSEE/LESSOR	CONSIGNEE/CONSIGNOR	BAILEE/BAILOR	SELLER/PURCHASER	AG. LIEN	NON-UCC FILING
6. THIS FINANCING STATEMENT is to be filed for record (or recorded) in the REAL ESTATE RECORDS (Attach Address)	7. Check to REQUEST SEARCH REPORT(S) on Debtor(s)		All Debtors	Debtor 1	Debtor 2	
8. OPTIONAL FILER REFERENCE DATA						

FILING OFFICE COPY -- NATIONAL UCC FINANCING STATEMENT (FORM UCC1) (REV. 07/29/95)

37975

**Exhibit 1 to UCC Financing Statement**  
**Concerning Video Network Communications, Inc.**

**SECURED PARTYS' NAME**

- 3a. **Organization Name:** Dalewood Associates, LP  
3c. **Mailing Address:** One State Street Plaza, 24<sup>th</sup> Floor, New York, New York 10004, USA
- 3a. **Organization Name:** Seneca Ventures  
3c. **Mailing Address:** c/o Barry Rubenstein, G.P., 68 Wheatley Road, Brookville, New York 11545, USA
- 3a. **Organization Name:** Woodland Partners  
3c. **Mailing Address:** c/o Barry Rubenstein, G.P., 68 Wheatley Road, Brookville, New York 11545, USA
- 3a. **Organization Name:** Woodland Venture Fund  
3c. **Mailing Address:** c/o Barry Rubenstein, G.P., 68 Wheatley Road, Brookville, New York 11545, USA
- 3b. **Individual's Name:** Stanley Blum  
3c. **Mailing Address:** 2 Grenwolde Drive, Great Neck, New York 11024, USA
- 3a. **Organization Name:** Bruce Larson SEP IRA  
3c. **Mailing Address:** 6900 Industrial Loop, Greendale, Wisconsin 53129, USA
- 3b. **Individual's Name:** Jules H. Dreyfuss  
3c. **Mailing Address:** 8331 E. Wilderness Way, Shreveport, Louisiana 71106, USA
- 3a. **Organization Name:** K.B.C.I. Nominees Limited  
3c. **Mailing Address:** c/o Steven A. Levine, One State Street Plaza, 24<sup>th</sup> Floor, New York, New York 10004, USA
- 3a. **Individuals' Name:** Harry Sauer - Judy Sauer, JT TEN  
3c. **Mailing Address:** 1079 Victor Lane, Bryn Mawr, Pennsylvania 19010, USA
- 3a. **Individuals' Name:** Robert Kahn  
3c. **Mailing Address:** 1475 W. Cypress Creek Road, #204, Ft. Lauderdale, Florida 33309, USA

SCHEDULE A

INTELLECTUAL PROPERTY

STATUS OF PATENT RELATED MATTERS  
 VIDEO NETWORKS COMMUNICATIONS, INC.  
 (Status as of September 17, 2001)

NO.	Patent or Serial Number	PROJECT OR PATENT	COUNTRY	STATUS
1	U.S. Serial No. 08/852,112; U.S. Patent No. 5,621,455	Video Modem For Transmitting Video Data Over Ordinary Telephone Wires	US	CLOSED
2	International Serial No. PCT/US95/14946	Video Modem For Transmitting Video Data Over Ordinary Telephone Wires	PCT	CLOSED
3	Canadian Application Serial No. 2,206,521; Now Canadian Patent No. 2,206,521	Video Modem For Transmitting Video Data Over Ordinary Telephone Wires	CANADA	CLOSED
4	Mexican Application Serial No. 974045	Video Modem For Transmitting Video Data Over Ordinary Telephone Wires	MEXICO	PENDING

NO.	Patent or Serial Number	PROJECT OR PATENT	COUNTRY	STATUS
5	Chinese Application Serial No. 95197271.5	Video Modem For Transmitting Video Data Over Ordinary Telephone Wires	CHINA	PENDING
6	Japanese Application Serial No. 518858/96	Video Modem For Transmitting Video Data Over Ordinary Telephone Wires	JAPAN	PENDING
7	European application Serial No. 95942425.0	Video Modem For Transmitting Video Data Over Ordinary Telephone Wires	EPO	PENDING
8	Taiwan Serial No. 84112850; Now Taiwan Patent No. NI-098004 (issued on November 11, 1998)	Video Modem For Transmitting Video Data Over Ordinary Telephone Wires	TAIWAN	CLOSED
9	U.S. Serial No. 08/775,445; Now U.S. Patent No. 5,786,844	Video Modem For Transmitting Video Data Over Ordinary Telephone Wires.	US	CLOSED
10	U.S. Serial No. 08/777,881	Interoffice Broadband Communication System Using Twisted Pair Telephone Wires	US	PENDING

NO.	Patent or Serial Number	PROJECT OR PATENT	COUNTRY	STATUS
11	Taiwanese Application No. 86120103; Now Taiwan Patent No. NI-105797 issued on 12/20/99	Interoffice Broadband Communication System Using Twisted Pair Telephone Wires	TAIWAN	CLOSED 12/20/99
12	International Serial No. PCT/JUS97/237 90	Interoffice Broadband Communication System Using Twisted Pair Telephone Wires	PCT	CLOSED
13	European Serial No. 97953414.6	Interoffice Broadband Communication System Using Twisted Pair Telephone Wires	EPO	PENDING
14	Japanese Serial No. HEI 10-530178	Interoffice Broadband Communication System Using Twisted Pair Telephone Wires	JAPAN	PENDING
15	U.S. Serial No. 08/924,817; Continuation Patent Application filed on 7/5/00; Now U.S. Patent No. 6,147,991	Scalable High Speed Packet Switch Using Packet Diversion Through Dedicated Channels	US	CLOSED



NO.	Patent or Serial Number	PROJECT OR PATENT	COUNTRY	STATUS
16	International Serial No. PCT/US98/18582	Scalable High Speed Packet Switch Using Packet Diversion Through Dedicated Channels	PCT	CLOSED
17	European No. 98950617.5	Scalable High Speed Packet Switch Using Packet Diversion Through Dedicated Channels	EUROPE	PENDING
18	Canadian No. 2303296	Scalable High Speed Packet Switch Using Packet Diversion Through Dedicated Channels; Notice of Allowance Received	CANADA	PENDING
19	Taiwanese serial no. 87114747; Now Taiwanese Patent No. NT-122636	Scalable High Speed Packet Switch Using Packet Diversion Through Dedicated Channels	TAIWAN	CLOSED
20		Method of Sharing Application Programs Among Users		CLOSED
21	U.S. Serial No. 08/938,149; Now U.S. Patent No. 6,134,222	Multiple U Channel, ISDN Communications Interface	US	CLOSED
22	International application number PCT/US98/20093	Multiple U Channel, ISDN Communications Interface	PCT	CLOSED

NO.	Patent or Serial Number	PROJECT OR PATENT	COUNTRY	STATUS
23	Taiwanese application no. 87115975	Multiple U Channel, ISDN Communications Interface	TAIWAN	PENDING
24	European Serial No. 98949507.2	Multiple U Channel, ISDN Communications Interface	EUROPE	PENDING
25	Canadian Serial No. 2304717	Multiple U Channel, ISDN Communications Interface	CANADA	PENDING
26		Automatic Display of Multiple Video Formats		ON HOLD
27		Method and Apparatus for Transmitting Multiple Formats in Teleconferencing Systems		ON HOLD
28		Eye Contact Camera		CLOSED PER BOB EMERY 12/2/99.
29		Reverse Teleprompter Camera		CLOSED PER BOB EMERY 12/2/99.
30		Conference Bridge for Video Conferencing		CLOSED PER BOB EMERY 12/2/99
31		Navigation Techniques for Conference Bridge		ON HOLD
32		Electronic Marker Board On Flat Panel Display		CLOSED PER BOB EMERY 12/2/99

NO.	Patent or Serial Number	PROJECT OR PATENT	COUNTRY	STATUS
33		Possible use of "LightBoard" as trademark		CLOSED PER BOB EMERY 12/2/99.
34		Stereo Acoustic Echo Canceler		ON HOLD
35		3-Way Local Conference Bridge for Video Conferencing		ON HOLD
36		Switch Architecture for Video Conferencing		CLOSED
37		Laptop kit (mechanical)		CLOSED PER BOB EMERY 12/2/99.
38		Distributed Control System for Video Conferencing		ON HOLD
39		Study of U.S. Patent No. 5,617,539 & 5,689,641		CLOSED PER BOB EMERY 12/2/99.
40		Study of U.S. Patent Nos. 4,710,917 and 4,847,829		CLOSED
41		Software User Interface	US	CLOSED
42		Video Server Technology	US	PENDING
43		Scalable High Speed Packet Switch Using Packet Diversion Through Dedicated Channels	US	PENDING
44		Patent Infringement Study	US	PENDING
45	Serial No. 00108320.4	Scalable High Speed Packet Switch Using Packet Diversion Through Dedicated Channels; Based on National Stage European case 668.86826	HONG KONG	PENDING

NO.	Patent or Serial Number	PROJECT OR PATENT	COUNTRY	STATUS
46		Video Hunt Group project	US	PRE-FILING

Note: If the "Status" of an entry on the above chart is labeled "Closed" and a patent number has been provided for that entry, then a patent has been issued. In other circumstances, in which the term "Closed" appears, but no patent number has been provided, the Company has not obtained a patent registration and is not currently pursuing one at this time.

**Issued Patents:**

- U.S. 5,621,455
- U.S. 5,786,844
- U.S. 6,134,222
- U.S. 6,147,991
- Taiwanese Patent NI-098004
- Taiwanese Patent NI-105797
- Taiwanese Patent NI-122636
- Canadian Patent 2,206,521

**Allowed Applications (to be issued):**

Canadian Patent Application No. 2303296 (Awaiting receipt of Letters Patent)

**Trademark Rights Regarding Video Network Communications, Inc.**

Serial Number	Reg. Number	Word Mark
1	2263052	TELESHARE
2	2241927	BEYOND VIDEO CONFERENCING
3	2197363	VIDMODEM
4	2038839	TELEDRAW
5	2000183	OBJECTIVE COMMUNICATIONS
6	2077801	VIDPHONE
7	1437145	VIDPHONE & DESIGN

**Trademark Applications Based Upon Intent-to-Use**

Serial Number	Filing Date	Word Mark
8	May 26, 1998	VIDSERVER
9	August 13, 1999	VNCI

**UCC FINANCING STATEMENT**

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER (optional)  
**Jeffrey M. Gallant**

B. SEND ACKNOWLEDGMENT TO: (Name and Address)

Mr. Jeffrey M. Gallant  
 Graubard Miller  
 600 Third Avenue  
 New York, New York 10016

NH UCC OCT 26 '01

NH SEC STATE 590498

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

**1. DEBTOR'S EXACT FULL LEGAL NAME - Insert only one debtor name (1a or 1b) - do not abbreviate or combine names**

1a. ORGANIZATION'S NAME  
**Video Network Communications, Inc.**

OR  
 1b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

1c. MAILING ADDRESS  
**50 International Drive**  
 CITY: **Exeter** STATE: **NH** POSTAL CODE: **03801** COUNTRY: **USA**

1d. TAX ID # SSN OR EIN ADDL INFO RE ORGANIZATION DEBTOR 1e. TYPE OF ORGANIZATION 1f. JURISDICTION OF ORGANIZATION 1g. ORGANIZATIONAL ID #, if any

**54-1707962** **Corporation** **Delaware** **2353897**  NONE

**2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - Insert only one debtor name (2a or 2b) - do not abbreviate or combine names**

2a. ORGANIZATION'S NAME  
**Objective Communications, Inc. (former name of Video Network Communications, Inc.)**

OR  
 2b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

2c. MAILING ADDRESS  
**Same as above**  
 CITY: STATE: POSTAL CODE: COUNTRY:

2d. TAX ID # SSN OR EIN ADDL INFO RE ORGANIZATION DEBTOR 2e. TYPE OF ORGANIZATION 2f. JURISDICTION OF ORGANIZATION 2g. ORGANIZATIONAL ID #, if any

NONE

**3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P) - Insert only one secured party name (3a or 3b)**

3a. ORGANIZATION'S NAME  
**See Exhibit 1 attached hereto**

OR  
 3b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

3c. MAILING ADDRESS  
 CITY: STATE: POSTAL CODE: COUNTRY:

**4. This FINANCING STATEMENT covers the following collateral:**

All intellectual property rights of the Debtor and any registrations and applications for registration therefor, whether now existing or hereafter acquired or created, or whether pending and/or issued, including without limitation the intellectual property set forth on the attached Schedule A, as well as everything associated with the intellectual property including all contract rights, license rights, distribution rights, proceeds and income, rights to sue for infringement, goodwill and foreign rights, but excluding notwithstanding the foregoing, (i) pending foreign patent applications and (ii) intent-to-use United States trademark applications prior to their conversion into use-based trademark applications; provided, however, that once the pending foreign patent applications are issued and/or the intent-to-use trademark is used in interstate commerce or the intent-to-use trademark application is converted into a use-based application, such intellectual property shall additionally become part of the collateral secured by this financing statement.

*104B  
 82249  
 10/26/01*

5. ALTERNATIVE DESIGNATION (if applicable) LESSEE/LESSOR CONSIGNEE/CONSIGNOR BAILEE/BAILOR SELLER/BUYER AG. LIEN NON-UCC FILING

6. THIS FINANCING STATEMENT is to be filed (for record) (or recorded) in the REAL ESTATE RECORDS. Attach Addendum if applicable 7. Check to REQUEST SEARCH REPORT(S) on Debtor(s) (optional) All Debtors Debtor 1 Debtor 2

8. OPTIONAL FILER REFERENCE DATA

FILING OFFICE COPY — NATIONAL UCC FINANCING STATEMENT (FORM UCC1) (REV. 07/29/96)

**37975**

*William J. Gardner*  
 SECRETARY OF STATE

TRADEMARK  
 REEL: 002397 FRAME: 0247

