ΞΤ U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

| (Rev. 03/01)<br>OMB No. 0651-0027 (exp. 5/31/2002) 1019006   | 536 U.S. Patent and Trademark Office   |
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| Tab settings ⇔⇔ ♥ ▼ ▼  | <b>V V V</b>   |
| To the Honorable Commissioner of Patents and Trademarks: I   | Please record the attached original documents or copy thereof.   |
| 1. Name of conveying party(ies):   | Name and address of receiving party(ies)     Syngenta Participations AG     Name:  |
| Novartis AG  | Internal Address:  |
| ☐ Individual(s) ☐ Association ☐ General Partnership ☐ Limited Partnership  | Street Address: Schwarzwaldallee 215   |
| Corporation-State Other Corporation - Switzerland  | City: Basel State: Switzerland Zip: CH4058   |
| v  | Individual(s) citizenship  |
| Additional name(s) of conveying party(ies) attached? 📮 Yes 🖏 No  | General Partnership  |
| 3. Nature of conveyance:   | Limited Partnership  |
| Assignment 📮 Merger  | Corporation-State  |
| Security Agreement   | Other Corporation - Switzerland  |
| October 26, 2001  Execution Date:  | If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No (Designations must be a separate document from assignment) Additional name(s) & address( es) attached? Yes No |
|  | Additional name(s) & address( es) attached?  |
| <ul> <li>4. Application number(s) or registration number(s):</li> <li>A. Trademark Application No.(s) 75/700,440 75/755,050 75/845,559 78/029,210 Additional number(s) at</li> </ul> | B. Trademark Registration No.(s)  1 2 2 3 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4  |
| 5. Name and address of party to whom correspondence concerning document should be mailed:  | 6. Total number of applications and registrations involved:  |
| Name: <u>James A. Zellinger, Esq.</u>  |  |
| Internal Address: Syngenta Crop Protection, Inc.   | 7. Total fee (37 CFR 3.41)\$115.60   |
|  | ☑ Enclosed   |
|  | Authorized to be charged to deposit account  |
| Street Address:_ 410 Swing Road  | 8. Deposit account number:   |
| City: Greensboro State: NC Zip: 27409  | (Attach duplicate copy of this page if paying by deposit account)  |
|  | THIS SPACE   |
| Statement and signature.     To the best of my knowledge and belief, the foregoing inform copy of the original document.   | nation is true and correct and any attached copy is a true   |
| Keith E. Sharkin   | November 16, 2001  |
|  | ignature Date  |
| Total number of pages including cov  | ver sheet, attachments, and document:  |

11/23/20 01 FC:481 02 FC:482 Form **PTO-1594** 

Mail documents to be recorded with required cover sheet information to:

40.00 DP

Commissioner of Patent & Trademarks, Box Assignments

Washington, D.C. 20231

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mark:

POWERED BY HELIX & Design

Serial No.:

75/700,440

Filing Date:

June 3, 1999

Owner:

Syngenta Participations AG

## DESIGNATION OF DOMESTIC REPRESENTATIVE

Syngenta Crop Protection, Inc., Attn.: James A. Zellinger, Esq., whose postal address is 410 Swing Road, Greensboro, North Carolina 27409, is hereby designated applicant's domestic representative upon whom notices or process in proceedings affecting the mark may be served.

Syngenta Participations AG

Dated:

October 29, 2001

Ву

Name: Mike Dammann

Title:

Duly Authorized Officer

Syngenta Participations AG

Dated:

October 29, 2001

ву

Name: Agachim Hofmann

Title:

Buly Authorized Signatory

Syngenta Crop Protection, Inc. James A. Zellinger, Esq. 410 Swing Road Greensboro, NC 27409

## ASSIGNMENT OF TRADEMARKS

WHEREAS, NOVARTIS AG, a corporation organized and existing under the laws of Switzerland, having a place of business at CH-4002, Basel, Switzerland (hereinafter "Assignor"). owns and has a bona fide intent to use the marks shown on the attached Schedule A (the "Marks");

WHEREAS. Assignor has applied to register the Marks on the Principal Register of the United States Patent and Trademark Office based on Assignor's bona fide intent to use the Marks in commerce on or in connection with the goods identified in the respective applications (hereinafter the "Applications"); and

WHEREAS, SYNGENTA PARTICIPATIONS AG, a corporation organized and existing under the laws of Switzerland, having a place of business at Schwarzwaldallee 215, CH-4058, Basel, Switzerland (hereinafter "Assignee"), desires to acquire that portion of the business of Assignor on or in connection with which Assignor has a bona fide intent to use the Marks and the Applications therefor;

WHEREAS, the assignment of said Marks and the Applications therefor, be made of record in the United States Patent and Trademark Office and all other appropriate patent and trademark offices:

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and intending to be legally bound hereby, Assignor hereby assigns and transfers the Marks and the Applications therefor, together with that portion of Assignor's business on or in connection with which it has a bona fide intent to use the Marks, and all of Assignor's rights therein, to Assignee.

Dated: October 26, 2001

**NOVARTIS AG** 

Name: Sheila Bodmer

Title: Duly Authorized Officer

Georges Voirol

Duly Authorized Signatory

Dated: October 26, 2001

SYNGENTA PARTICIPATIONS AG

Title: Duly Authorized Officer

Valérie Kempf

Duly Authorized Signatory

#### SCHEDULE A

| MARK                      | SERIAL NO  |
|---------------------------|------------|
| POWERED BY HELIX & Design | 75/700,440 |
| POWERED BY HELIX & Design | 75/755,050 |
| SYNGENTA                  | 75/845,559 |
| SYNGENTA & Design         | 78/029.210 |

# **LEGALIZATION**

I, the undersigned Notary Public, residing in Basie (Switzerland), certify as true and authentic the signatures applied hereto by

1. Mrs. Shella Bodmer, Swiss citizen and citizen of Ireland, residing in Reinach/BL, and Mr. Georges Volrol. Swiss citizen, residing in Pratteln.

These two persons are entitled to represent as Authorized Signatories, the **Novartis AG**, a company limited by shares legally domiciled in Basle, with their joint signature by two;

2. Mr. Mike Dammann. German citizen, residing in Eimeldingen (Germany), and Mrs. Valérie Kempf. French citizen, residing in Ensisheim (France).

These two persons are entitled to represent as Authorized Signatories, the Syngenta Participations AG, a company limited by shares legally domiciled in Basle, with their joint signature by two.

I know all the named and their signatures personally.

Basie, this 30th (thirtieth) day of Octobe 2001 (two thousand-one)

Prot. Nr. 2401/2001

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## CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. 1.10

Express Mail Mailing Label Number: EV001940509US

Date of Deposit: November 19, 2001

I hereby certify that the attached correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Commissioner of Patents, Box Assignments, 2900 Crystal Drive, Arlington, Virginia 22202.

Dated: November 19, 2001

**RECORDED: 11/20/2001** 

Keith E. Sharkin