

12-07-2001

Form PTO-1594  
(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)



U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

Tab settings → → →

101910355

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
ARIEL GROWTH FUND *12-4-01*

Individual(s)                       Association  
 General Partnership               Limited Partnership  
 Corporation-State  
 Other Business Trust (list of trustees attached)

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

Assignment                               Merger  
 Security Agreement                       Change of Name  
 Other

Execution Date: December 4, 2001

2. Name and address of receiving party(ies)  
Name: ARIEL CAPITAL MANAGEMENT, INC.  
Internal Address: Suite 2900  
Street Address: 200 E. Randolph Drive  
City: Chicago                      State: IL                      Zip: 60601

Individual(s) citizenship  
 Association  
 General Partnership  
 Limited Partnership  
 Corporation-State Illinois  
 Other

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)  
Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)  
76/228021 76/228020 76/228019 76/228015

B. Trademark Registration No.(s)      DEC - 4

Additional number(s) attached  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffrey H. Brown

Internal Address: \_\_\_\_\_

D'Ancona & Pflaum LLC

Street Address: 111 E. Wacker Drive, Suite 2800

City: Chicago                      State: IL                      Zip: 60601

6. Total number of applications and registrations involved: 4

7. Total fee (37 CFR 3.41)..... \$ 115.00

Enclosed  
 Authorized to be charged to deposit account

8. Deposit account number:

500387

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Jeffrey H. Brown  
Name of Person Signing

Signature

December 4, 2001  
Date

Total number of pages including cover sheet, attachments, and document; 5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patent & Trademarks Box Assignments  
Washington, D.C. 20231

12/07/2001 6TON11 00000011 500387 76228021

01 FC:481                      40.00 CH *bc*  
02 FC:482                      75.00 CH

TRADEMARK  
REEL: 002404 FRAME: 0125

ADDITION TO RECORDATION FORM COVER SHEET  
Ariel Growth Fund to Ariel Capital Management, Inc.

TRUSTEES OF ARIEL GROWTH FUND:

Mario L. Baeza, Esq.

James W. Compton

William C. Dietrich

Royce N. Flippin, Jr.

John G. Guffey, Jr.

Christopher G. Kennedy

Bert N. Mitchell

John W. Rogers, Jr.

Mellody L. Hobson

Eric T. McKissack

**TRADEMARK ASSIGNMENT**

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, Ariel Growth Fund ("Assignor") hereby assigns to Ariel Capital Management, Inc. ("Assignee") its entire right, title, interest in and to the marks set forth on the attached Exhibit (the "Marks") and good will of the business connected with the use of the marks and symbolized by the Marks and all registrations and trademark applications therefor. Assignor covenants that it has full rights to convey the entire interest assigned in this Agreement and that it has not executed and will not execute any agreements inconsistent with this Agreement.

Dated: December 4, 2001

Assignor: Ariel Growth Fund

By: *Eric T. McKissack*  
(Eric McKissack, President)

STATE OF Illinois )  
 ) SS.  
COUNTY OF Cook )

On this 4<sup>th</sup> day of December, 2001, there appeared before me Eric T. McKissack, personally known to me, who acknowledged that he/she signed the foregoing Assignment as his/her voluntary act and deed.

*Deborah A. Dunston*  
Notary Public

My Commission Expires: 9/16/2002



EXHIBIT

TRADEMARK

USPTO Pending Application Serial No.

ARIEL FUND

76/228021

ARIEL GROWTH FUND

76/228020

ARIEL APPRECIATION FUND

76/228019

ARIEL PREMIER BOND FUND

76/228015