

12/18/01

12-27-2001

Form PTO-1594 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings ⇌ ⇌ ⇌ ▼



U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

101,926206 ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Katadyn North America, Inc.

- Individual(s)
- General Partnership
- Corporation-State (Minnesota)
- Other
- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other
- Merger
- Change of Name

Execution Date: 11/15/2001

2. Name and address of receiving party(ies)

Name: Katadyn Products, Inc.

Internal Address: Birkenweg 4

Street Address: CH-8304 Wallisellen

Switzerland

City: State: Zip:

- Individual(s) citizenship
- Association
- General Partnership
- Limited Partnership
- Corporation-State Switzerland
- Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No (Designations must be a separate document from assignment) Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s) 2331924:

2386518

Additional number(s) attached Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Rachel Spector, Esq.

Internal Address: Leonard, Street and Deinard Suite 2300

Street Address: 150 South Fifth Street

City: Minneapolis State: MN Zip: 55402

6. Total number of applications and registrations involved:

2

7. Total fee (37 CFR 3.41).....\$ 65.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Signature.

Rachel Spector, Esq.

Name of Person Signing

Signature

12/18/01

Date

Total number of pages including cover sheet, attachments, and document: 6

12/27/2001 LMJELLER 00000052 2331924

Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments Washington, D.C. 20231

01 FC:481 02 FC:482

40.00 OP 25.00 OP

TRADEMARK REEL: 002413 FRAME: 0268

ASSIGNMENT

This Assignment, dated November 15, 2001, is issued by Katadyn North America, a Minnesota corporation ("Assignor") in favor of Katadyn, Products, Inc, a Swiss corporation ("Assignee").

WITNESSETH:

That Assignor, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does by this instrument hereby grant, convey, transfer, and assign unto Assignee, its successors and assigns, all right, title and interest in and to the following intangible assets which Assignor acquired pursuant to its merger with Exstream Water Technologies, a Wisconsin corporation ("Exstream"), effective November 1, 2001: the trademarks and copyrights (the "Intellectual Property") set forth on Exhibit A attached hereto and other intangible assets formerly owned by Exstream, including trade dress, methods, plans, designs, trade secrets, know-how, international distributor list and the goodwill associated therewith (collectively, the "Assets").

Such Assets shall be free and clear of any liens or encumbrances; provided, however, that, to the extent the assignment hereunder of any of such Assets requires the consent of any other person or governmental authority, this instrument shall not constitute an assignment or an agreement to assign if such assignment or agreement to assign would constitute a breach of any contract, agreement, plan, lease or license or would violate any governmental law or regulation. Assignor will cooperate with Assignee's efforts to obtain the consent of any such other person or government to the assignment of each such item of property to Assignee in each case where any required consent has not been obtained as of the date hereof, and Assignor agrees to provide Assignee the benefits of such property prior to the receipt of all required consents.

Promptly upon execution of this instrument and from time to time thereafter as appropriate, Assignor will deliver to Assignee all documentation in its possession embodying or relating to the Assets. Assignor also agrees to assist Assignee in every legal way to evidence, record and perfect the assignment and transfer of the Assets and to apply for and obtain recordation of and from time to time enforce, maintain and defend the assigned rights. Assignor hereby irrevocably designates and appoints Assignee and its duly authorized officers and agents, as its agents and attorneys-in-fact with full power of substitution to act for and on its behalf and instead of Assignor, to execute and file any such document or documents and to do all other lawfully permitted acts which Assignee may deem proper in order to collect or reduce to possession any and all Assets hereby conveyed, and/or to collect or enforce any claim or right of any kind hereby conveyed, or intended to be conveyed, and to do all acts and things in relation to the purposes of the foregoing with the same legal force and effect as if executed by Assignor.

IN WITNESS WHEREOF, Assignor has duly executed this instrument effective as of the date first above written.

ASSIGNOR:

KATADYN NORTH AMERICA, INC.

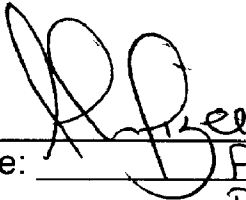
By:  _____
Name: Alan Lizee
Its: President

EXHIBIT A
INTELLECTUAL PROPERTY

Trademarks

1. Exstream® registered as U.S.Trademark No. 2,331,924
2. ViruStat® registered as U.S. Trademark No. 2,386,518
3. Orinoco™ unregistered trademark
4. Mackenzie™ unregistered trademark
5. Sungari™ unregistered trademark
6. BactaCell™ unregistered trademark
7. Deschutes™ unregistered trademark
8. Yukon™ unregistered trademark
9. SorbCell™ unregistered trademark
10. Zambezi™ unregistered trademark

Copyrights

Copyright protection in all packaging, manuals and sales materials, including advertising, acquired by Katadyn North America, Inc. as a result of its merger with Exstream Water Technologies, Inc.

DESIGNATION OF DOMESTIC REPRESENTATIVE BY FOREIGN APPLICANT	MARK: EXSTREAM Registration No. 2,331,924	CLASS NO: International 11
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TO THE ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS:

APPLICANT'S NAME: **Katadyn Products, Inc.**
Switzerland, a Swiss corporation

APPLICANT'S BUSINESS ADDRESS: **Birkenweg 4**
CH-8304 Wallisellen
SWITZERLAND

DESIGNATION OF DOMESTIC REPRESENTATIVE BY FOREIGN APPLICANT:

Applicant hereby appoints Rachel Spector, a member of the Bar of the State of Minnesota, and a resident of the United States, to the designation of a domestic representative on whom may be served notices or process in proceedings affecting the mark. 15 U.S.C. §1051(e); 37 C.F.R. §2.24; TMEP §604. In accordance with the appointment of domestic representative, please direct all correspondence to:

Rachel Spector
Leonard, Street and Deinard
150 South Fifth Street, Suite 2300
Minneapolis, MN 55402
(612) 335-7201

KATADYN PRODUCTS, INC.

10/16/2001
Date

[Signature]
Signature
Name: SCHWASSMAN
Title: CEO

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" mailing label number _____

I hereby certify that this correspondence is addressed to the Commissioner for Trademarks, 2900 Crystal Drive, Arlington, Virginia 22202-3513 and is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" served on _____.

(Printed or typed name of the person mailing the paper or fee)

(Signature of the person mailing the paper or fee)

DESIGNATION OF DOMESTIC REPRESENTATIVE BY FOREIGN APPLICANT	MARK: VIRUSTAT Registration No. 2,386,518	CLASS NO: International 11
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TO THE ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS:

APPLICANT'S NAME: **Katadyn Products, Inc.**
Switzerland, a Swiss corporation

APPLICANT'S BUSINESS ADDRESS: **Birkenweg 4**
CH-8304 Wallisellen
SWITZERLAND

DESIGNATION OF DOMESTIC REPRESENTATIVE BY FOREIGN APPLICANT

Applicant hereby appoints Rachel Spector, a member of the Bar of the State of Minnesota, and a resident of the United States, to the designation of a domestic representative on whom may be served notices or process in proceedings affecting the mark. 15 U.S.C. §1051(e); 37 C.F.R. §2.24; TMEP §604. In accordance with the appointment of domestic representative, please direct all correspondence to:

Rachel Spector
Leonard, Street and Deinard
150 South Fifth Street, Suite 2300
Minneapolis, MN 55402
(612) 335-7201

KATADYN PRODUCTS, INC.

11/10/2001

Date

[Handwritten Signature]

Signature

Name: SCHMIDT

Title: CEO

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" mailing label number _____

I hereby certify that this correspondence is addressed to the Commissioner for Trademarks, 2900 Crystal Drive, Arlington, Virginia 22202-3513 and is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" served on _____.

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