

2/20/02

03-08-2002

Form PTO-1594 (Rev. 03/01) MRP RE  
OMB No. 0651-0027 (exp. 5/31/2002)  
Tab settings



U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

102010291

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

The Carson Group, Inc.

- Individual(s)
- Association
- General Partnership
- Limited Partnership
- Corporation-State of New York
- Other

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)

Name: Thomson Financial Inc.

Internal

Address:

Street Address: 22 Thomson Place

City: Boston State: MA Zip: 02210

- Individual(s) citizenship
- Association
- General Partnership
- Limited Partnership
- Corporation-State of New York
- Other

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)  
Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment
- Merger
- Security Agreement
- Change of Name
- Other

Execution Date: 3/27/01

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

75/413214 75/413213

B. Trademark Registration No.(s)

1,984,835 1,225,358  
2,403,951

Additional number(s) attached  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paula Upson

Internal Address: Legal Department

The Thomson Corporation

Street Address: 1 Station Place

City: Stamford State: CT Zip: 06902

6. Total number of applications and registrations involved:

5

7. Total fee (37 CFR 3.41) \$ 140

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

20-0866

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paula K. Upson  
Name of Person Signing

Signature

Date

1/21/02

Total number of pages including cover sheet, attachments, and document



Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patent & Trademarks, Box Assignments  
Washington, D.C. 20231

03/08/2002 LHMELLER 00000038 200866 75413214

01 FC:481 40.00 CH  
02 FC:482 100.00 CH

TRADEMARK  
REEL: 002457 FRAME: 0016

State of New York }  
Department of State } ss:

*I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.*

Witness my hand and seal of the Department of State on **JAN 16 2002**



A handwritten signature in black ink, appearing to read "J. Heub", followed by a long horizontal line extending to the right.

*Special Deputy Secretary of State*

DOS-1266 (7/00)

F010329000 942

CERTIFICATE OF MERGER

of

CSC 45

THE CARSON GROUP, INC.

and

THOMSON FINANCIAL INC.

into

THOMSON FINANCIAL INC.

Under Section 904 of the Business Corporation Law

It is hereby certified upon behalf of each of the constituent corporations herein named, as follows:

FIRST: The Board of Directors of each of the constituent corporations has duly adopted a plan of merger setting forth the terms and conditions of the merger of said corporations.

SECOND: The name of the constituent corporation which is to be the surviving corporation, and which is hereinafter sometimes referred to as the "surviving constituent corporation", is THOMSON FINANCIAL INC. The date upon which its certificate of incorporation was filed by the Department of State is December 9, 1993. the name under which it was formed is MTE (NEW YORK) INC.

THIRD: The name of the other constituent corporation, which is being merged into the surviving constituent corporation, and which is hereinafter sometimes referred to as the "merged constituent corporation", is THE CARSON GROUP, INC. The name under which it was formed is Harvard Capital Group, Inc.

The date upon which its certificate of incorporation was filed by the Department of State is November 23, 1982.

FOURTH: As to each constituent corporation, the plan of merger sets forth the designation and number of outstanding shares of each class and series, the specification of the classes and series entitled to vote on the plan of merger, and the specification of each class and series entitled to vote as a class on the plan of merger, as follows:

**THOMSON FINANCIAL INC.**

Designation of each outstanding class and series of shares	Number of outstanding shares of each class	Designation of class and series entitled to vote	Classes and series entitled to vote as a class
Common	3,754	Common	n/a

**THE CARSON GROUP, INC.**

Designation of each outstanding class and series of shares	Number of outstanding shares of each class	Designation of class and series entitled to vote	Classes and series entitled to vote as a class
Common Class A	100	Common Class A	Class A

FIFTH: The merger herein certified was authorized in respect of the surviving constituent corporation by the written consent of holders of outstanding shares of the corporation entitled to vote on the plan of merger, having not less than the minimum requisite proportion of votes, which has been given in accordance with section 615 of the Business Corporation Law of the State of New York. Written notice has been given as and to the extent required by the said section 615.

SIXTH: The merger herein certified was authorized in respect of the merged constituent corporation by the written consent of holders of outstanding shares of the corporation entitled to vote on the plan of merger, having not less than the minimum requisite proportion of votes, which has been given in accordance with section 615 of the Business Corporation Law of the State of New York. Written notice has been given as and to the extent required by the said section 615.

SEVENTH: Each of the constituent domestic corporations hereby certifies that all fees and taxes (including penalties and interest) administered by the Department of Taxation and Finance of the State of New York which are now due and payable by each constituent domestic corporation have been paid and a cessation of franchise tax report (estimated or final) through the anticipated date of the merger has been filed by each constituent domestic corporation.

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NY 66-D-CERTIFICATE OF MERGER BY DND 06/11/74 (1966)

**EIGHTH:** The effective date of the merger herein certified shall be the 3<sup>rd</sup> day of April, 2001.

Signed on March 27, 2001

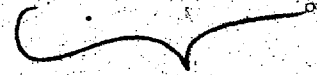
THE CARSON GROUP, INC.



Michael S. Harris, Vice President

Signed on March 27, 2001

THOMSON FINANCIAL INC.



Michael S. Harris, Vice President

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CERTIFICATE OF MERGER

OF

THE CARSON GROUP, INC.

INTO

THOMSON FINANCIAL INC.

Section 904 of the Business Corporation Law

CSC 45  
5010329000 942

1cc

STATE OF NEW YORK  
DEPARTMENT OF STATE

MAR 29 2001

FILED  
TAXS  
BY:

*[Signature]*

*[Handwritten mark]*

Filer: The Thomson Corporation  
One Station Place  
Metro Center  
Stamford, CT 06902  
Cust. Ref#095955MPJ

*[Handwritten mark]*

DRAWDOWN

*[Handwritten mark]*

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