FORM PTO-1618A
Expires 06/30/99
OMB 0651-0027

U.S. Department of Commerce
Patent and Trademark Office
TRADEMARK

03-19-2002

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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

FINANCE SECTION
2-27-02

**Submission Type**

- [x] New
- [ ] Resubmission (Non-Recordation) Document ID #
- [ ] Correction of PTO Error Reel # Frame #
- [ ] Corrective Document Reel # Frame #

**Conveyance Type**

- [ ] Assignment
- [ ] License
- [ ] Security Agreement
- [ ] Nunc Pro Tunc Assignment
- [ ] Merger
- [x] Change of Name
- [ ] Other

Effective Date Month Day Year

**Conveying Party** [ ] Mark if additional names of conveying parties attached

Name: Westinghouse Air Brake Company

Execution Date Month Day Year: 12 23 99

Formerly:

[ ] Individual [ ] General Partnership [ ] Limited Partnership [x] Corporation [ ] Association

[ ] Other

[x] Citizenship/State of Incorporation/Organization: Delaware

**Receiving Party** [ ] Mark if additional names of receiving parties attached

Name: Westinghouse Air Brake Technologies Corporation

DBA/AKA/TA:

Composed of:

Address (line 1): 1001 Air Brake Avenue

Address (line 2):

Address (line 3): Wilmerding (City) Pennsylvania (State/Country) 15148 (Zip Code)

[ ] Individual [ ] General Partnership [ ] Limited Partnership

[x] Corporation [ ] Association

[ ] Other

[ ] If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. *(Designation must be a separate document from Assignment.)*

[x] Citizenship/State of Incorporation/Organization: Delaware

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

**Mail documents to be recorded with required cover sheet(s) information to:**
**Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231**

03/18/2002 GTON11 00000038 396576

01 FC:481 40.00 OP
02 FC:482 100.00 OP

## Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

## Correspondent Name and Address

Area Code and Telephone Number 412-562-1635

Name: George Patrick Baier

Address (line 1): Buchanan Ingersoll, P.C.

Address (line 2): 301 Grant Street, 20th Floor

Address (line 3): Pittsburgh, PA 15219

Address (line 4)

## Pages

Enter the total number of pages of the attached conveyance document including any attachments. # 6

## Trademark Application Number(s) or Registration Number(s)

☐ Mark if additional numbers attached

*Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).*

| Trademark Application Number(s) | | | Registration Number(s) | | |
|---|---|---|---|---|---|
| | | | 396,576 | 394,042 | |
| | | | 394,545 | 394,041 | |
| | | | 394,438 | | |

## Number of Properties

Enter the total number of properties involved. # 5

## Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): $ 140.00

Method of Payment: Enclosed [x] Deposit Account [ ]

Deposit Account
(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 02-4553

Authorization to charge additional fees: Yes [x] No [ ]

## Statement and Signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

| George Patrick Baier | | 01/24/02 |
|---|---|---|
| Name of Person Signing | Signature | Date Signed |

# State of Delaware

## Office of the Secretary of State

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"WABCO MERGER SUBSIDIARY, INC.", A DELAWARE CORPORATION,

WITH AND INTO "WESTINGHOUSE AIR BRAKE COMPANY" UNDER THE NAME OF "WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORPORATION", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-THIRD DAY OF DECEMBER, A.D. 1999, AT 10:31 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

*Edward J. Freel, Secretary of State*

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AUTHENTICATION: 0164549

DATE: 12-27-99

# CERTIFICATE OF OWNERSHIP AND MERGER
# MERGING
# WABCO MERGER SUBSIDIARY, INC.
# INTO
# WESTINGHOUSE AIR BRAKE COMPANY

Westinghouse Air Brake Company ("WABCO"), a corporation organized and existing under the laws of Delaware, does hereby certify:

**FIRST**: That WABCO was incorporated on the 19th day of October, 1989, pursuant to the General Corporation Law of the State of Delaware.

**SECOND**: That WABCO owns all of the outstanding shares of the stock of WABCO Merger Subsidiary, Inc. , a corporation incorporated on the 23rd day of December, 1999, pursuant to the General Corporation Law of the State of Delaware.

**THIRD**: That WABCO, by the following resolutions of its Board of Directors, duly adopted at a meeting held on November 11, 1999, filed with the minutes of the Board, determined to merge into itself said WABCO Merger Subsidiary, Inc.:

RESOLVED, that WABCO Merger Subsidiary, Inc. be incorporated as a Delaware corporation and wholly-owned subsidiary of WABCO (the "Subsidiary"), and that Subsidiary be merged with and into WABCO and that WABCO assume all of its obligations;

RESOLVED FURTHER, that the merger shall be effective upon filing with the Secretary of State of Delaware;

RESOLVED FURTHER, that the proper officers of WABCO be and they are hereby directed to make and execute a Certificate of Ownership and Merger setting forth a copy of the resolutions to merge said Subsidiary and assume its liabilities and obligations, and the date of adoption thereof, and to cause the same to be filed with the Secretary of State of Delaware and to do all such other acts and things and to execute, sign, verify, acknowledge, deliver, file and record all such other instruments, returns and documents, in the name and on behalf of WABCO and under its corporate seal or otherwise, as they in their judgment shall deem necessary, proper or advisable in order to effect said merger;

RESOLVED FURTHER, that WABCO change its corporate name by changing Article FIRST of its Restated Certificate of Incorporation to read as follows:

Article FIRST: The name of the Corporation is Westinghouse Air Brake Technologies Corporation.

RESOLVED FURTHER, that if in connection with the merger and action referred to above, any specific form of resolution is required to be adopted, such resolution be and the same hereby is adopted, and the Secretary be, and he hereby is, authorized and directed to certify such resolution as having been adopted and to attach a copy thereof hereto.

IN WITNESS WHEREOF, said Westinghouse Air Brake Company has caused this Certificate of Ownership and Merger to be signed by its duly authorized officer this 23rd day of December, 1999.

WESTINGHOUSE AIR BRAKE COMPANY

By _____
Name Robert J. Brooks
Title VP & Sec.



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REEL: 002463 FRAME: 0391

# CERTIFICATION

I hereby certify that the Certificate of Ownership and Merger Merging Wabco Merger Subsidiary, Inc. into Westinghouse Air Brake Company is a true and exact copy of the original Certificate of Ownership and Merger.

1/24/02
Date

Vicki Cremonese
Notary Public

Notarial Seal
Vicki Cremonese, Notary Public
Pittsburgh, Allegheny County
My Commission Expires Feb. 8, 2003
Member, Pennsylvania Association of Notaries