| Form PTO-1594 | U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office |
|--|---|
| 1. Name of conveying party(ies): Grace-Lee Products, Inc. | 2. Name and address of receiving party(ies) Name: _ Ecolab Inc. Internal Address: |
| 4. Application number(s) or registration number(s): A. Trademark Application No.(s) | B. Trademark Registration No.(s) 576197, 1009374 1381989, 1501826, 1533862, 1992439 |
| Additional number(s) at | |
| 5. Name and address of party to whom correspondence concerning document should be mailed: Name: Edward R. Courtney, Esq. Internal Address: Law Department Ecolab Inc. | 6. Total number of applications and registrations involved: 7. Total fee (37 CFR 3.41) |
| Street Address:370 Wabasha Street North | Authorized to be charged to deposit account 8. Deposit account number: 05450 |

DO NOT USE THIS SPACE

Zip:_55102

State: MN_

Edward R. Courtney

City: St. Paul

9. Signature.

Name of Person Signing

Signature Total number of pages including cover sheet, attachments, and document

January 31, 2002

Date

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patent & Trademarks, Box Assignments

Washington, D.C. 20231

21/2002 JJALLAH2 00000042 050450 576197

ADDENDUM TO RECORDATION FORM COVER SHEET

TRADEMARKS ONLY

The following trademark Registration Numbers are a continuation of the numbers identified in Section 4 of the attached Recordation Form Cover Sheet.

ITEM 4. Application Number(s) or Registration Number(s)

A. Application No(s):

B. Registration No(s): 2033918, 2033919 and 2365520

ASSIGNMENT OF TRADEMARKS

This Assignment of Trademarks and Trade Names ("Assignment") is made this 22 day of December, 1997 by and between Grace-Lee Products, Incorporated a Minnesota corporation ("Assignor") and Ecolab Inc., a Delaware corporation ("Assignee").

WHEREAS, Assignor and Assignee have entered into an Asset Purchase Agreement dated December 8, 1997 (the "Agreement"), providing for, among other things, the sale by Assignor and purchase by Assignee of the Purchased Assets;

WHEREAS, unless otherwise defined herein, capitalized words and phrases used herein shall have the same meaning as they have in the Agreement;

WHEREAS, Assignor is the owner of the entire right, title, and interest in and to all common law, registered and unregistered trademarks, logos, service marks, trade dress, trade names and brand and product names, including all rights to the name "Grace-Lee," all derivatives thereof and all applications, registration certificates, Section 8 affidavits, renewals, investigations, search reports, histories and other documents or files pertaining thereto (the "Trademarks"); and

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest in and to the Trademarks and the common law rights in the Trademarks.

NOW, THEREFORE, for good and valuable consideration, Assignor does hereby sell, transfer, convey, assign, and deliver unto Assignee the entire right, title and interest in and to the Trademarks including all common law rights, connected therein together with the goodwill of the business symbolized by the Trademarks. Assignor agrees to immediately terminate and refrain from any further use of the Trademarks for any purpose and to execute any other documents necessary to effectuate and record this Assignment. Assignor further assigns to Assignee all right to sue for and receive all damages accruing from past infringements of the Trademarks herein assigned.

This Assignment shall be subject to the Agreement and in the event of any inconsistency or conflict between this Assignment and the Agreement, the terms of the Agreement shall prevail.

GRACE-LEE PRODUCTS, INCORPORATED

on behalf of Assignor and pursuant to authority duly received.

| a Minnesota corporation |
|---|
| By Day Jan |
| Its Pres |
| CITY OF <u>St. Paul</u>) ss. |
| STATE OF <u>Minnesota</u>) |
| On this 22 day of <u>December</u> , 1997 before me personally came <u>Barry J. Graceman</u> to me known to be the <u>President</u> of <u>Grace-Lee</u> , a(n) <u>MN</u> corporation the Assignor above-named, and |
| acknowledged that he executed the foregoing instrument and he did swear that he executed this assignment as his free act |

REEL: 002467 FRAME: 0112

Schedule A to Assignment of Trademarks

1. Registered Marks

Mark:

ACCU-FILL

Reg. No.

2033919

Reg. Date:

1/28/97

Country:

U.S.

Mark:

FANCIFUL SUN WITH SUNGLASSES (design)

Reg. No.:

371494

Reg. Date:

8/3/90

Country:

Canada

Mark:

FANCIFUL SUN WITH SUNGLASSES (design)

Reg. No.:

1992439

Reg. Date:

8/13/96

Country:

U.S.

Mark:

FANCIFUL SUN WITH GLASSES (design)

Reg. No.:

1533862

Reg. Date:

4/11/89

Country:

U.S.

Mark: **GLASBRITE** Reg. No.: 1009374 Reg. Date: 4 22/75 Country: U.S. Mark: LAUNDEX Reg. No.: 2033918 Reg. Date: 1/28/97 Country: U.S. Mark: **POLYBOND** Reg. No.: 341992 Reg. Date: 6/23/88 Country: Canada Mark: **POLYBOND** Reg. No.: 1381989 Reg. Date: 2/11/86 Country: U.S. Mark: SYNETIC SUDS Reg. No. 576197 Reg. Date: 6/23/53 Country: U.S.

| Mark: | ULTRA SHINE | | |
|---------------------|------------------------|--|--|
| Reg: No.: | 1501826 | | |
| Reg. Date: | 8 30/88 | | |
| Country: | U.S. | | |
| | Trademarks/Trade Names | | |
| Pending Application | <u>15</u> | | |
| Mark: | PRESTIGE | | |
| Serial No.: | 75/070633 | | |
| Filing Date: | 3/11/96 | | |
| Country: | U.S. | | |
| Mark: | SUNSHINE EXPRESS | | |
| Serial No.: | 75/097152 | | |
| Filing Date: | 5/01/96 | | |
| Country: | U.S. | | |
| | 26. | | |

Other Unregistered Marks Mark: TRIPLE THE SHINE Use: Ongoing Mark: TRIPLE THE PROTECTION Ongoing Use:

| Mark: | 7 |
|-------|---|
|-------|---|

TRIPLE COAT

Use:

Ongoing

3. Unregistered Logos

Mark:

FANCIFUL SUNFACE WITH HANDS AND FEET (design)

Serial No.:

75/397807

Filing Date:

12/01/97

Country:

U.S.

4. Unregistered Brand and Product Names

None.

state of Minnesota

SECRETARY OF STATE

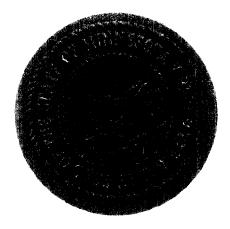
NOTARY COMMISSION CERTIFICATE

I, Mary Kiffmeyer, Secretary of State of Minnesota, keeper of the Great Seal of the State, do hereby certify that a due and diligent search has been made of the notary public records of the Minnesota Department of Commerce and that the person listed below is a duly commissioned Notary Public in the State of Minnesota whose commission expires on the dated listed below.

NAME: Leigh Rand

DATE COMMISSION EXPIRES: January 31, 2005

This certificate has been issued on January 24, 2002.



Mary Biffneyer Secretary of State.

REEL: 002467 FRAME: 0117

PERFORMANCE APPRAISAL FORM NON-EXEMPT EMPLOYEES

Employee Name: Thaeon Diaz Employee # 8056

Performance Review Period: 2/7/02

Type of Review:

(Probation, Annual, Promotion, Special)

INSTRUCTIONS:

- 1. Please complete form by typing or printing in black ink.
- 2. The "Employee's Input To Performance Review" form, Section IV, should be given to the employee at least two weeks prior to the formal performance appraisal interview and should be returned to the rating supervisor at least one week prior to the appraisal interview.
- 3. Section I of the review form, "Individual Performance Ratings", is a listing of major tasks and position responsibilities that were established by the supervisor and the employee at the beginning of the rating period. Any major changes in duties during the rating period must be addressed. Mark with an "X" after the level at which you are rating the employee.
- 4. In Section II, "General Rating Factors", please rate the employee on all rating factors by placing an "X" in the appropriate box that best indicates where the employee stands. Each rating should be supported by objective examples.
- 5. The best thing that a manager can do for an employee is to be open and honest. Providing a superficial rating that does not provide adequate feedback to the employee will not allow the employee to improve his/her skills in the future.
- 6. The "Overall Rating", Section III, need not be an average of Section I and II. The "Overall Rating" is to be weighted heavily on those items that are most important to the individual employee's job.
- 7. The completed package should be forwarded to the reviewer for action. The reviewer will either forward the form to Human Resources if he/she concurs, or return it to the supervisor if there is a disagreement in the rating.
- 8. The completed package must be accompanied by the employee's updated resume.

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PERFORMANCE LEVEL DEFINITIONS

Exceptional (EX)

Clearly and extraordinarily demonstrates mastery of job and interpersonal skills. Exceptional contribution in functional area. Results show achievements of extremely high value to organizational goals. Recognized by all as an expert.

Superior (SU)

Consistently exceeds job requirements. Continually performs beyond expected results. This employee does own advance planning, anticipates problems and takes appropriate actions. Displays above average leadership and teamwork abilities.

Proficient (PRO)

Meets all requirements and frequently exceeds requirements. This employee is fully proficient. Meets high level of expectation. Is relied on for ideas and results. Takes on special assignments. Puts forth extra effort.

Acceptable (ACC)

Meets most job requirements. An acceptable performer. Needs additional skill or knowledge to meet all job responsibilities. Lacks appropriate behavior traits and/or motivation to meet requirements effectively. Generally requires close supervision.

Unacceptable (UNA)

Does not meet job requirements. Fails to complete assignments in an acceptable manner. Definite lack of some combination of required knowledge, skill, or behavior necessary to perform the job. Use of this category in a performance appraisal requires documentation regarding previous counseling sessions conducted with employee.

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SECTION I. INDIVIDUAL PERFORMANCE RATINGS

| Please check box if objective is critical to overall performance. | | | | | | |
|---|--|--|--|--|--|--|
| 1. Knowledge of the job: Technical knowledge of the job and related work. Versatility. | | | | | | |
| EX SU PRO ACC UNA Critical? Yes No V | | | | | | |
| Specific Examples to Support Rating: Thaeon is knowledgeable with some of the duties and responsibilities of the position. The position entails posting, reconciling batches, adjusting entries and overall requirements meets the standard. | | | | | | |
| 2. Initiative: Self-starting. Minimal supervision needed. Initiates new and improved methods for mission accomplishment. | | | | | | |
| EX SU PRO ACC UNA Critical? Yes No | | | | | | |
| Specific Examples to Support Rating: The employee is an effective worker. However, he cannot be counted upon to solve job problems when they arise. Theon does not also take the initiative to begin a new task after his assigned work is complete. This is an area Thaeon must improve, especially during the absence of his supervisor. | | | | | | |
| 3. Dependability: Is at work regularly and on time. Completes tasks as directed. Goes beyond limits of tasks with minimal supervision needed. | | | | | | |
| EX SU PRO ACC UNA Critical? | | | | | | |
| Yes No V | | | | | | |
| Specific Examples to Support Rating: The employee cannot be relied upon to work independently. The reason being that he has not fully understands his responsibilities. Dependability also means being counted on to follow guidelines and procedures. Thaeon has been tardy on several occasions and has had few unscheduled leave. His supervisor has addressed the issue of his tardiness. However, he has improved in this important area. Thaeon has also been dependable by working overtime in order to reduce the workload. | | | | | | |

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| Criteria established at the beginning of period. Attach additional sheets if necessary. Please check box if objective is critical to overall performance. |
|---|
| 4. Team Player: As a member of the staff, be responsive to the day-to-day needs of his/her supervisor, participate in activities related to his/her various responsibilities and interact with other members of the staff. |
| EX SU PRO ACC UNA Critical? Yes No V |
| Specific Examples to Support Rating: Thaeon co-operates with his peers, supervisor and co-workers, both Kathpal and government employees. |
| 5. OBJECTIVE: The objective of a team player is working as group to meet the organization needs. The employee works with co-workers and supervisor to meet that objective. |
| EX SU PRO ACC UNA Critical? Yes No 1/ |
| Specific Examples to Support Rating: Team player is part of the job for every employee in an organization. Thaeon works with co-workers and supervisor to meet that objective. |
| 6. OBJECTIVE: |
| EX SU PRO ACC UNA Critical? Yes No |
| Specific Examples to Support Rating: |

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SECTION II. GENERAL RATING FACTORS

| prot opei | olems/e rational | rrors. T | akes ear ent work | k: Regularly reviews all phases of work to identify or avoid ly corrective action to produce complete, accurate, and that meets both time and specification requirements. Displays |
|------------------|---|--|-------------------------------------|--|
| EX | SU | PRO | ACC | UNA |
| | | | <i>i</i> | |
| not payisame tin | sor has ing atte me prod ng erro | correcte ntion to cessing. rs while | ed his err detail. T His supe | Rating: The quality of Thaeon' work output is good. However, his ors on numerous occasions. These errors are due to the employee the employee has the tendency of being on the phone and at the ervisor has warned him on several occasions about the possibility phone. The employee has shown little improvement in this |
| | | | | ves goals and agreed-upon objectives within established time ough on commitments/tasks. |
| EX | SU | PRO | ACC | UNA |
| | • | V | | |
| the requ | aired pr | oductio | n standar | Rating: During the employee's 90-day probation, he was belowed. After the employee has more knowledgeable of his duties, he he required production standard. |
| duti on j | ies, pur | sues nev Il activit | w busine: | isiness: Participates in marketing activities outside of normal jobses opportunities, and identifies marketing leads. Actively works rforms other actions which enhance KATHPAL's reputation in the |
| EX | SU | PRO | ACC | UNA |
| | | | | |
| Specifi | c Exam | ples to | Support | Rating: N/A |
| | | | | |
| | | | | |
| | | | | |

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| | pos | itive, a | nd effect | tive man | Responds to customers' needs (internal and external) in a timely, ner with the goal of generating new business for KATHPAL. client and builds confidence in KATHPAL's work and people. |
|-------------|----------------------|--|-------------------------------|-------------------------------------|---|
| EX |] | SU V | PRO | ACC | UNA |
| inst | anc | e, the c | <u>ustomer</u> | s' may re | Rating: The employee responds to customers' needs internally. For equest an overtime when there is a backlog in the department. The ne initiative to work overtime in order to reduce the workload. |
| | obje Fac | ectives ilitates | and to the | he resolu | es productive contributions to the achievement of peer/work group tion of problems. Shares information, input, and assistance. up interaction and information exchange. Considers suggestions |
| EX | | SU | PRO | ACC | UNA |
| | | | V | | |
| | | | | | Rating: Theon co-operates with coworkers and supervisor. The ers in solving data entry problems. |
| | | | | | ses thoughts and ideas clearly. Utilizes the English language written presentations. |
| EX | | SU | PRO | ACC | UNA |
| |] | | | | |
| con on o | tten ipre deta | community commun | unication the detace eading t | n. Howev ails that a he docun | Rating: This position does not involve a great deal of oral and ver, the position simply requires details. Thaeon has not fully are involved in this position. Theon needs to more closely focus ments that are being processed. This will assist the employee in olems without the assistance of his supervisor. |
| | | | | | on: Ability to systematically provide for both long and short range ctive work schedules. |
| EX | | SU | PRO | ACC | UNA |
| |] | | | | |
| nee doc | ded um | to perf ents we | form the ere place | job are a d in a dra | Rating: The employee's workstation is always neat and materials lways accessible. However, on two different occasions, awer for over a month that was supposed to be processed. Thaeon ors and they have not re-occurred. |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

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SECTION III. OVERALL RATING (Consider both Section I and Section II)

| Exceptional | Superior | Proficient | Acceptable | Unacceptable |
|---------------------------------|-------------------------------|-----------------------------|----------------------|--------------------|
| | | | > | |
| Updated Resume | e is Attached | 7. D Employee's Initials | Supervis | sor's Initials |
| Date of Perform | ance Review 3/ ignature Date | 4102 SI | Employee's Signature | 3 - Ý - ジス Date |
| Note: An employ performance rev | yee's signature ac | knowledges that th | ie employee has been | given a formal |
| Employee Com | | | | |
| • | | • • | | |
| • | | • • | | |

(Attach additional sheets if more space is needed)

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SECTION III. OVERALL RATING

(Consider both Section I and Section II)

| Exceptional | Superior | Proficient | Acceptable | Unacceptable |
|---------------------|------------------------|---------------------|----------------------|-----------------|
| | | | | |
| Updated Resum | e is Attached | Employee's Initials | Superv | isor's Initials |
| Date of Perform | ance Review | | | |
| Threen D | ignature Date | 4-02 - | Thoun Di | 3-4-03 |
| Reviewer's S | ignature Date | | Employee's Signature | Date |
| Employee Comi | Hems | | | |
| | | | | |
| | | | | |
| 7A44-1-1122-1 | sheets if more space i | a nooded) | | |
| (Attach additional) | succes it more space i | a necucuj | | |

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RECORDED: 02/11/2002

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