

05-16-2002
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Form PTO-1594
(Rev. 03/01)
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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): Wachovia Securities, Inc. 5-10-02</p> <p><input type="checkbox"/> Individual(s) <input type="checkbox"/> Association <input type="checkbox"/> General Partnership <input type="checkbox"/> Limited Partnership <input checked="" type="checkbox"/> Corporation-State North Carolina <input type="checkbox"/> Other _____</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies) Name: <u>Wachovia Corporation</u> Internal Address: <u>NC 0630, One Wachovia Center,</u> <u>30th Floor</u> Street Address: <u>301 S. College Street</u> City: <u>Charlotte</u> State: <u>NC</u> Zip: <u>28288</u></p> <p><input type="checkbox"/> Individual(s) citizenship _____ <input type="checkbox"/> Association _____ <input type="checkbox"/> General Partnership _____ <input type="checkbox"/> Limited Partnership _____ <input checked="" type="checkbox"/> Corporation-State <u>North Carolina</u> <input type="checkbox"/> Other _____</p> <p>If assignee is not domiciled in the United States, a domestic representative designation is attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (Designations must be a separate document from assignment) Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>Execution Date: <u>April 20, 2002</u></p>	

<p>4. Application number(s) or registration number(s): A. Trademark Application No.(s) B. Trademark Registration No.(s) <u>SEE ATTACHED SCHEDULE "A"</u></p> <p>Additional number(s) attached <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	
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<p>5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>John L. Sullivan, Jr., Esq.</u> Internal Address: <u>Bank of America Plaza</u> Street Address: <u>101 S. Tryon Street</u> <u>Suite 4000</u> City: <u>Charlotte</u> State: <u>NC</u> Zip: <u>28280</u></p>	<p>6. Total number of applications and registrations involved: 4</p> <p>7. Total fee (37 CFR 3.41).....\$ <u>115.00</u> <input checked="" type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>16-0605</u> (Attach duplicate copy of this page if paying by deposit account)</p>
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DO NOT USE THIS SPACE

<p>9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. John L. Sullivan, Jr. <u>May 3, 2002</u> Name of Person Signing Signature Date</p> <p style="text-align: right;">Total number of pages including cover sheet, attachments, and documents: 7</p>

05/15/2002 INUELLER 00000076 1218596
01 FC:481 40.00 OP
02 FC:482 75.00 OP

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patent & Trademarks, Box Assignments
Washington, D.C. 20231

SCHEDULE "A"

<u>Mark</u>	<u>Registration No.</u>	<u>Registered</u>
JOHNSON LANE	1,218,596	November 30, 1982
WE BRING WALL STREET TO YOUR STREET	1,357,172	August 27, 1985
MODEL STOCK PORTFOLIO	1,398,168	June 17, 1986
Tree Design	1,602,810	June 19, 1990

U.S. SERVICE MARK ASSIGNMENT

WHEREAS, *Wachovia Securities, Inc.*, a North Carolina corporation, having a principal place of business at One Wachovia Center, 301 South College Street, Charlotte, North Carolina 28201 (hereinafter referred to as "ASSIGNOR"), is the exclusive and record owner of the entire right, title and interest in and to the following Service Marks and the corresponding United States Service Mark Registrations therefor:

Mark: **JOHNSON LANE**
Registration No.: 1,218,596
Registered: November 30, 1982
Int. Class: 36

Mark: **WE BRING WALL STREET TO YOUR STREET**
Registration No.: 1,357,172
Registered: August 27, 1985
Int. Class: 36

Mark: **MODEL STOCK PORTFOLIO**
Registration No.: 1,398,168
Registered: June 17, 1986
Int. Class: 36

Mark: **Tree Design**
Registration No.: 1,602,810
Registered: June 19, 1990
Int. Class: 36

WHEREAS, *Wachovia Corporation*, a North Carolina corporation, having a principal place of business at NC 0630, One Wachovia Center, 30th Floor, 301

South College Street, Charlotte, North Carolina 28288-0630, (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the above-identified service marks and the corresponding United States Service Mark Registrations therefor;

NOW THEREFORE, FOR GOOD AND VALUABLE CONSIDERATION, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR does hereby assign, transfer and sell unto said ASSIGNEE the entire right, title and interest in and to the above-identified service marks and the corresponding United States service mark Registrations therefor, together with the goodwill of the business appurtenant to and symbolized by said service marks, and all rights of action against third parties for past infringement thereof, the same to be held and enjoyed by said ASSIGNEE as fully and entirely as the same would have been held by ASSIGNOR had this transfer, assignment and sale not been made.

ASSIGNOR hereby warrants and covenants that it has the full power and authority to convey the rights, title and interests herein assigned, transferred and sold to ASSIGNEE, that it has not executed and will not execute any agreement in conflict herewith, and that it will execute any and all other instruments which may be necessary to perfect and evidence ASSIGNEE's ownership of the property and rights herein conveyed.

IN WITNESS WHEREOF, ASSIGNOR, intending to be legally bound, has

caused this Assignment to be executed by its duly authorized officer as of the

20th day of April, 2002.

WACHOVIA SECURITIES, INC.

Melissa Hankins

By: Melissa Hankins

Title: Asst. Secretary

CL.T01/4518822v1