

05-16-2002



102091278  
TRADEMARKS ONLY

Form PTO-1594  
(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)

RECORD

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): 5-10-02  
Interstate/Johnson Lane Corporation

- Individual(s)
- Association
- General Partnership
- Limited Partnership
- Corporation-State North Carolina
- Other \_\_\_\_\_

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment
- Merger
- Security Agreement
- Change of Name
- Other \_\_\_\_\_

Execution Date: April 1, 1999

2. Name and address of receiving party(ies)

Name: Wachovia Securities, Inc.

Internal

Address: One Wachovia Center

Street Address: 301 South College Street

City: Charlotte State: NC Zip: 28201

- Individual(s) citizenship \_\_\_\_\_
- Association \_\_\_\_\_
- General Partnership \_\_\_\_\_
- Limited Partnership \_\_\_\_\_
- Corporation-State North Carolina
- Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)  
Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

SEE ATTACHED SCHEDULE "A"

Additional number(s) attached  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John L. Sullivan, Jr., Esq.

Internal Address: Bank of America Plaza

Street Address: 101 S. Tryon Street  
Suite 4000

City: Charlotte State: NC Zip: 28280

6. Total number of applications and registrations involved: 4

7. Total fee (37 CFR 3.41).....\$ 115.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

16-0605

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John L. Sullivan, Jr.

Name of Person Signing

Signature

May 3, 2002

Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patent & Trademarks, Box Assignments  
Washington, D.C. 20231

05/15/2002 LNUELLER 00000077 1218596

01 FC:481  
02 KC:482

40.00 DP  
75.00 DP

## SCHEDULE "A"

<u>Mark</u>	<u>Registration No.</u>	<u>Registered</u>
JOHNSON LANE	1,218,596	November 30, 1982
WE BRING WALL STREET TO YOUR STREET	1,357,172	August 27, 1985
MODEL STOCK PORTFOLIO	1,398,168	June 17, 1986
Tree Design	1,602,810	June 19, 1990

# STATE OF NORTH CAROLINA



Department of The  
Secretary of State

I, **ELAINE F. MARSHALL**, Secretary of State of the State of North Carolina, do hereby certify that the following is a listing of all changes in the corporate name of the corporation named below, insofar as disclosed by the records of this office:

Original name at date of incorporation or authorization:

**INTERSTATE SECURITIES CORPORATION**

State of Incorporation: NC  
Date of Incorporation or Authorization: 03 Mar 32

### Name Changes

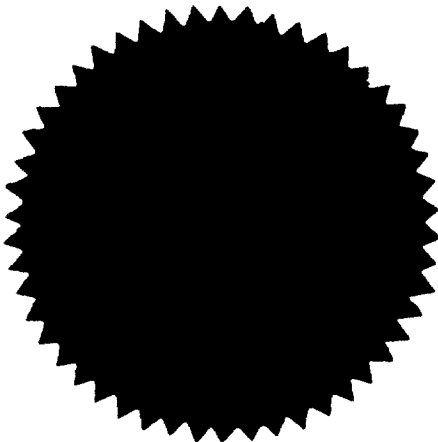
Name Change was effected by

<u>Document and date filed or issued:</u>	<u>Name changed to:</u>
Articles of Amendment filed 17 Oct 1988	INTERSTATE/JOHNSON LANE CORPORATION

Article of Amendment Filed 1 Apr 1999	WACHOVIA SECURITIES, INC.
--	---------------------------

I **FURTHER CERTIFY** that this certificate is in compliance with North Carolina General Statutes 55-4-05 and may be recorded in the office of the Register of Deeds in the same manner as deeds, the former name of the corporation appearing in the "Grantor" index and the amended name of the corporation appearing in the "Grantee" index.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at the City of Raleigh, this 16<sup>th</sup> day of December, 1999.



*Elaine F. Marshall*

Secretary of State