-2002
ET U.S. DEPARTMENT OF COMMER LESULA Patent and Trademark Of
2714 iginal documents or copy thereof.
2. Name and address of receiving party(ies):
Name: Windward Ventures 2000, L.P.
Internal Address:
Street Address: 550 West "C" Street, Suite 2030
City: San Diego State: CA ZIP 92101
☐ Individual(s) citizenship
General Partnership
<ul><li>☑ Limited Partnership <u>Delaware</u></li><li>☐ Corporation-State</li></ul>
Other
If assignee is not domiciled in the United States, a domestic represents designation is attached:
(Designation must be a separate document from Assignment).  Additional name(s) & address(es) attached?  El Yes
B. Trademark Registration No.(s)
None
attached?  Yes  No
6. Total number of applications and registration involved:
7. Total fee (37 CFR 3.41):\$65.00
国 Enclosed □ Authorized to be charged to deposit account
Authorized to be charged to deposit account
8. Deposit account number: 03-3115
8. Deposit account number: 03-3115  (Attach duplicate copy of this page if paying by deposit account)
8. Deposit account number: 03-3115  (Attach duplicate copy of this page if paying by deposit account the second se
8. Deposit account number: 03-3115  (Attach duplicate copy of this page if paying by deposit account

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

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## SCHEDULE A TO TRADEMARKS COVER SHEET

Additional receiving parties are as follows:

Organization's Name: Windward Ventures 2000-A, L.P.

Mailing Address: 550 West "C" Street, Suite 2030, San Diego, CA 92101

Type of Organization: Delaware limited partnership

Organization's Name: ZVR Associates, LLC

Mailing Address: 9454 Wilshire Blvd., Penthouse 29, Beverly Hills, CA 90212

Type of Organization: California limited liability company

Individual(s)   Individual(s	Patent and Trademark Offinginal documents or copy thereof.  Ind address of receiving party(ies): Indward Ventures 2000, L.P. Iddress: Iddre
1. Name of conveying party(ies):  ZONU INC. 18 700 Street Additional number sattached? Individual(s)  General Partnership Limited Partnership Corporation-State Delaware Other Other Security Agreement Change of Name Security Agreement Change of Name Other Intellectual Property Security Agreement Execution Date: July 25, 2001  4. Application number(s) or registration number(s): A. Trademark Application No.(s)  None  Additional numbers attached? Intellectual Property Security Agreement  Security Agreement Change of Name Additional number of Security Agreement  Execution Date: July 25, 2001  Additional number sattached? Intellectual Property Security Agreement  Additional number sattached? Intellectual Property Security Agreement  Additional numbers attached? Intellectual Property Security Agreement  Security Agreement Change of Name Additional number of Security Agreement  Execution Date: July 25, 2001  Additional number sattached? Intellectual Property Security Agreement  Security Agreement Security Agreement  Execution Date: July 25, 2001  Additional number sattached? Intellectual Property Security Agreement  Execution Date: July 25, 2001  Additional numbers attached? Intellectual Property Security Agreement  Security Agreement  Additional Security Agreement  Execution Date: July 25, 2001  Additional numbers attached? Intellectual Property Security Agreement  Additional No.(s)  None  Additional numbers attached? Intellectual Property Security Agreement  Security Agreement  Additional No.(s)  None  Additional No.(s)  None  Additional No. (s)  None  Additional numbers attached? Intellectual Property Security Agreement  Additional No. (s)  None  Additional N	nd address of receiving party(ies):  ndward Ventures 2000, L.P.  ddress:  ress: 550 West "C" Street, Suite 2030  an Diego State: CA ZIP 92101  ual(s) citizenship
Individual(s)   Street Add	ndward Ventures 2000, L.P.  ddress:  ress:
Internal //    Individual(s)	ress:
Internal //    Individual(s)	ress: 550 West "C" Street, Suite 2030  an Diego State: CA ZIP 92101  ual(s) citizenship tion   Partnership Partnership Delaware ation-State
□ Individual(s)  □ General Partnership □ Limited Partnership □ Corporation-State Delaware □ Other Additional name(s) of conveying party(ies) attached? □Yes ☒ No □ Assignment □ Merger □ Security Agreement □ Change of Name ☑ Other Intellectual Property Security Agreement □ Execution Date: July 25, 2001  4. Application number(s) or registration number(s): A. Trademark Application No.(s)  76/222,762 76/931,135  Additional numbers attached? □  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Cooley Godward LLP  Street Address: Attn: Ankey To □ Internal Address: Attn: Ankey To □ City: San Francisco State: CA ZIP 94111  DO NOT USE THIS SPA	ress: 550 West "C" Street, Suite 2030  an Diego State: CA ZIP 92101  ual(s) citizenship
☐ Corporation-State Delaware ☐ Other	ual(s) citizenship
☐ Corporation-State Delaware ☐ Other	ual(s) citizenship
Additional name(s) of conveying party(ies) attached?	tion   Partnership   Delaware     Attorion   Delaware     Partnership   Delaware     Attorion   Delawa
3. Nature of conveyance:    Gener	Partnership Partnership Delaware ation-State s not domiciled in the United States, a domestic representation attached:
□ Assignment □ Merger □ Security Agreement □ Change of Name □ Other Intellectual Property Security Agreement  Execution Date: July 25, 2001  4. Application number(s) or registration number(s): A. Trademark Application No.(s)  76/222,762 76/931,135  Additional numbers attached? If assigned designation designation number(s): A. Trademark Application No.(s)  None  Cooley Godward LLP  Internal Address: Attn: Ankey To  Street Address: One Maritime Plaza, 20th Floor  City: San Francisco State: CA ZIP 94111  DO NOT USE THIS SPA	s not domiciled in the United States, a domestic representatis attached:
□ Assignment □ Merger □ Security Agreement □ Change of Name □ Other Intellectual Property Security Agreement □ Security Agreement □ Change of Name □ Other Intellectual Property Security Agreement □ Security Agreement □ Change of Name □ Other Intellectual Property Security Agreement □ Security Agreement □ Change of Name □ Execution Date: July 25, 2001 □ Asplication number(s): □ A. Trademark Application number(s): □ A. Trademark Application No.(s) □ Additional numbers attached? □ Security Agreement □ Other □ If assigner designation (Designation Additional Additional Additional Additional Additional No.(s) □ None □ Security Agreement □ Change of Name □ Additional No.(s) □ None □ Assignment □ Change of Name □ Security Agreement □ If assignation designation (Designation Additional No.(s) □ None □ Assignment □ Change of Name □ Additional No.(s) □ None □ Assigner designation No.(s) □ None □ Additional numbers attached? □ Security Additional No.(s) □ None □ Assigner designation No.(s) □ None □ Assigner designation No.(s) □ None □ Additional No.(s) □ None □ Assigner designation No.(s) □ None □ Assigner designation No.(s) □ None □ Assigner designation No.(s) □ None □ Additional numbers attached? □ Additional No.(s) □ None □ Assigner designation No.(s) □ None □ Assigner designation No.(s) □ None □ Additional numbers attached? □ Additional No.(s) □ None □ Assignation No.(s) □ None □ Assignation No.(s) □ None □ Additional numbers attached? □ Additional No.(s) □ None □ Assignation No.(s) □ None □ Assignation No.(s) □ None □ Additional numbers attached? □ Additional No.(s) □ None □ Assignation No.(s) □ None □ Additional numbers attached? □ Additional No.(s) □ None □ Assignation No.(s) □ Additional numbers attached? □ Additional No.(s) □ Additional numbers attached? □ Additional No.(s) □ Ad	s not domiciled in the United States, a domestic representalis attached:
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Execution Date: July 25, 2001  4. Application number(s) or registration number(s): A. Trademark Application No.(s)  76/222,762 76/931,135  Additional numbers attached? If the state of party to whom correspondence concerning document should be mailed:  Name: Cooley Godward LLP  Internal Address: Attn: Ankey To  Street Address: One Maritime Plaza, 20th Floor  City: San Francisco  State: CA ZIP 94111  DO NOT USE THIS SPA	
4. Application number(s) or registration number(s): A. Trademark Application No.(s)  76/222,762 76/931,135  Additional numbers attached? [5]  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Cooley Godward LLP  7. Total  Internal Address: Attn: Ankey To  Street Address: One Maritime Plaza, 20th Floor  City: San Francisco  State: CA ZIP 94111  DO NOT USE THIS SPA	must be a separate document from Assignment).
A. Trademark Application No.(s)  76/222,762 76/931,135  Additional numbers attached? [6]  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Cooley Godward LLP  7. Total  Internal Address: Attn: Ankey To  Street Address: One Maritime Plaza, 20th Floor  City: San Francisco  State: CA ZIP 94111  DO NOT USE THIS SPA	ame(s) & address(es) attached?
Additional numbers attached? [5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Cooley Godward LLP 7. Total  Internal Address: Attn: Ankey To  Street Address: One Maritime Plaza, 20th Floor  City: San Francisco  State: CA ZIP 94111  DO NOT USE THIS SPA	
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Internal Address: Attn: Ankey To  Street Address: One Maritime Plaza, 20th Floor  City: San Francisco State: CA ZIP 94111  DO NOT USE THIS SPA	umber of applications and registration involved: 2
Street Address: One Maritime Plaza, 20 <sup>th</sup> Floor  City: San Francisco State: CA ZIP 94111  DO NOT USE THIS SPA	e (37 CFR 3.41): \$ <u>65.00</u>
Street Address: One Maritime Plaza, 20 <sup>th</sup> Floor  (Attach d)  City: San Francisco State: CA ZIP 94111  DO NOT USE THIS SPA	Enclosed Authorized to be charged to deposit account
City: San Francisco State: CA ZIP 94111  DO NOT USE THIS SPA	account number: 03-3115
DO NOT USE THIS SPA	plicate copy of this page if paying by deposit account)
9. Statement and signature.	E
>- Sentential and different of	
To the best of my knowledge and belief, the foregoing information is true a original document.	
A second	d correct and any attached copy is a true copy of
Ankey To	
Total number of pages including cover sheet, atta	August 8, 2001  Date

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40.00 OP 25.00 OP

THIS INTELLECTUAL PROPERTY SECURITY AGREEMENT is entered into as of July 25, 2001 by and between ZONU INC., a Delaware corporation ("Grantor") and WINDWARD VENTURES 2000, L.P., a Delaware limited partnership, WINDWARD VENTURES 2000-A, L.P., a Delaware limited partnership, and ZVR ASSOCIATES, LLC, a California limited liability company, (each, a "Secured Party" and together "Secured Parties").

### RECITALS

- A. Each Secured Party has agreed to make certain advances of money and to extend certain financial accommodation to Grantor (the "Loans") in the amounts and manner set forth in that certain Note and Warrant Purchase Agreement by and between Secured Parties and Grantor dated as of July 25, 2001 (as the same may be amended, modified or supplemented from time to time, the "Purchase Agreement"; capitalized terms used herein and not otherwise defined herein shall have the meanings given to such terms in the Purchase Agreement).
- B. Each Secured Party is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to such Secured Party a security interest in certain Copyrights, Trademarks and Patents to secure the obligations of Grantor under the Purchase Agreement.
- C. Pursuant to the terms of the Purchase Agreement and that certain Security Agreement by and between Grantor and Secured Parties dated as of July 25, 2001 (the "Security Agreement"), Grantor has granted to each Secured Party a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Purchase Agreement and all other agreements now existing or hereafter arising between Grantor and each Secured Party, Grantor hereby represents, warrants, covenants and agrees as follows:

### **AGREEMENT**

To secure its obligations under the Purchase Agreement and under any other agreement now existing or hereafter arising between each Secured Party and Grantor, Grantor grants and pledges to each Secured Party a security interest in all of Grantor's right, title and interest in, to and under its intellectual property Collateral (the "Intellectual Property Collateral") (including without limitation those Copyrights, Patents and Trademarks listed on Exhibits A, B and C hereto), and including without limitation all proceeds thereof (such as, by way of example but not by way of limitation, license royalties and proceeds of infringement suits), the right to sue for past, present and future infringements, all rights corresponding thereto throughout the world and all re-issues, divisions continuations, renewals, extensions and continuations-in-part thereof.

This security interest is granted in conjunction with the security interest granted to each Secured Party under the Purchase Agreement and Security Agreement. The rights and remedies of each Secured Party with respect to the security interest granted hereby are in addition to those set forth in the Purchase Agreement, the Security Agreement and the other Loan documents, including the Subordinated Convertible Secured Promissory Notes made by Grantor in favor of each Secured Party pursuant to the Purchase Agreement (the "Notes"), and those which are now or hereafter available to each Secured Party

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as a matter of law or equity. Each right, power and remedy of each Secured Party provided for herein or in the Purchase Agreement, the Security Agreement or any of the Loan documents, including the Notes, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by each Secured Party of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Purchase Agreement, the Security Agreement or any of the other Loan documents, including the Notes, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including each Secured Party, of any or all other rights, powers or remedies.

Grantor represents and warrants that *Exhibits A*, *B*, and *C* attached hereto set forth any and all intellectual property rights in connection to which Grantor has registered or filed an application with either the United States Patent and Trademark Office or the United States Copyright Office, as applicable.

The Secured Parties agree that the Notes and the security interest granted herein are expressly subordinated in right to the prior rights set forth in the SV Bank Agreement as set forth in that certain Subordination Agreement, dated July 25, 2001, by and among Silicon Valley Bank and the Secured Parties.

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AGREEMENT to be duly executed by its officers to	caused this INTELLECTUAL PROPERTY SECURITY hereunto duly authorized as of the first date written
above.	mercunto duty audiorized as of the first date written
	GRANTOR:
Address of Grantor:	ZONU INC.
6005 Yolanda Avenue	Ву:
Tarzana, California 91356	Name: FLIE BALAS
Fax: (818) 342-6861	Title: $SR. V. P.$
	SECURED PARTIES:
Address of Secured Party:	WINDWARD VENTURES 2000, L.P., a Delaware limited partnership
550 West "C" Street, Suite 2030	a belawate milited partnership
San Diego, CA 92101	By: WINDWARD 2000, LLC
Fax: (619) 234-6886	Its: General Partner
	By:
	Name:
	Title:
Address of Secured Party:	WINDWARD VENTURES 2000-A, L.P.,
550 West "C" Street Switz 2020	a Delaware limited partnership
550 West "C" Street, Suite 2030 San Diego, CA 92101	D. Warning 2000 I I G
Fax: (619) 234-6886	By: WINDWARD 2000, LLC Its: General Partner
Tun. (017) 25 1 0000	its. General Partner
	Ву:
	Name:
	Title:
Address of Secured Party:	ZVR ASSOCIATES, LLC,
•	a California limited liability company
9454 Wilshire Blvd., Penthouse 29	.o.mpany
Beverly Hills, CA 90212	By:

Name: Title:

Fax: (310) 248-2878

	es have caused this INTELLECTUAL PROPERTY SECURITY fficers thereunto duly authorized as of the first date written
	GRANTOR:
Address of Grantor:	ZONU INC.
6005 Yolanda Avenue	By:
Tarzana, California 91356	Name:
Fax: (818) 342-6861	Title:
	SECURED PARTIES:
Address of Secured Party:	WINDWARD VENTURES 2000, L.P., a Delaware limited partnership
550 West "C" Street, Suite 2030	•
San Diego, CA 92101	By: WINDWARD 2000, LLC
Fax: (619) 234-6886	Its: General Partner
	By:
	Name:
	Title:
Address of Secured Party:	WINDWARD VENTURES 2000-A, L.P.,
	a Delaware limited partnership
550 West "C" Street, Suite 2030	
San Diego, CA 92101	By: WINDWARD 2000, LLC
Fax: (619) 234-6886	Its: General Partner
	Ву:
	Name:
	Title:
Address of Secured Party:	ZVR ASSOCIATES, LLC,
	a California limited liability company

9454 Wilshire Blvd., Penthouse 29 Beverly Hills, CA 90212 Fax: (310) 248-2878

By: \_\_\_\_\_

Name: Title:

IN WITNESS WHEREOF, the parties have caused this INTELLECTUAL PROPERTY SECURITY AGREEMENT to be duly executed by its officers thereunto duly authorized as of the first date written above. **GRANTOR:** ZONU INC. Address of Grantor: By: 6005 Yolanda Avenue Name: Tarzana, California 91356 Fax: (818) 342-6861 Title: **SECURED PARTIES:** WINDWARD VENTURES 2000, L.P., Address of Secured Party: a Delaware limited partnership -550 West "C" Street, Suite 2030 San Diego, CA 92101 By: WINDWARD 2000, LLC General Partner Fax: (619) 234-6886 Its: By: \_\_\_\_\_ Name: Title: WINDWARD VENTURES 2000-A, L.P., Address of Secured Party: a Delaware limited partnership 550 West "C" Street, Suite 2030 WINDWARD 2000, LLC San Diego, CA 92101 By: Fax: (619) 234-6886 General Partner Its: By: \_\_\_\_\_ Name: Title: ZVR ASSOCIATES, LLC, Address of Secured Party: a California limited liability company

9454 Wilshire Blvd., Penthouse 29 Beverly Hills, CA 90212

Fax: (310) 248-2878

By:

Name:

Title:

### EXHIBIT A

# **COPYRIGHTS**

DescriptionRegistration<br/>NumberRegistration<br/>Date

None.

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## Ехнівіт В

# **PATENTS**

Description	Registration/ Application Number	Registration/ Application Date
Continuous or Burst-Mode Digital Fiber Optic Transceiver with Dual or Single Fiber and Analog Dual Loop Compensation	60/230,134	09/05/00
Continuous or Burst-Mode Digital Fiber Optic Transceiver with Dual or Single Fiber and Digital Compensation	60/230,130	09/05/00
Continuous or Burst-Mode Digital Fiber Optic Transceiver with Dual or Single Fiber and Front End Control	60/230,133	09/05/00
Continuous or Burst-Mode Digital Fiber Optic Transceiver with Dual or Signal and Clock/data Phase Aligner	60/230,571	09/05/00
Optical Packaging	09/836,500	04/17/01

# EXHIBIT C

# **TRADEMARKS**

Description	Registration/ Application Number	Registration/ Application Date
Zonu with a slated oval	76/222,762	03/09/01
Zonu (and Design)	75/931,135	02/29/00

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**RECORDED: 08/09/2001**