

06-28-2002

SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



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Tab settings

To the Honorable Commissione

102138605

attached original documents or copy thereof.

1. Name of conveying party(ies):

WEBSTER INVESTMENT MANAGEMENT CO., LLC

- Individual(s)
- General Partnership
- Corporation
- Other
- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of Conveyance:

- Assignment
- Security Agreement
- Other
- Merger
- Change of Name

Execution Date: August 2, 2001

2. Name and address of receiving party(ies):

FORWARD MANAGEMENT, LLC  
433 California Street, Suite 1010  
San Francisco, California 94104

- Individual(s) citizenship
- Association
- General Partnership
- Limited Partnership
- Corporation - Delaware limited liability company
- Other

If assignee is not domiciled in the United States, a domestic representative designator is attached:  Yes  No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s) listed below

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

2,425,488      2,417,962

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GLENN A. GUNDERSEN  
DECHERT  
4000 BELL ATLANTIC TOWER  
1717 ARCH STREET  
PHILADELPHIA, PA. 19103-2793  
TEL. NO. (215) 994-2183

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 3.41) .....\$65.00

- Enclosed
- Authorized to be charged to deposit account  
(Including any underpayment)

8. Deposit account number: 04-0475

(Attach duplicate copy of this page if paying by deposit account)

06/27/2002 TDIAZ1 00000165 040475 2425488

DO NOT USE THIS SPACE

01 FC:481 40.00 CH  
02 FC:482 25.00 CH

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Terence A. Dixon  
Name of Person Signing

Signature

June 4, 2002

Date

Total number of pages including cover sheet, attachments, and document: [3]

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

TRADEMARK  
REEL: 002533 FRAME: 0122

State of Delaware  
Office of the Secretary of State

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PAGE 1

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "WEBSTER INVESTMENT MANAGEMENT COMPANY LLC", CHANGING ITS NAME FROM "WEBSTER INVESTMENT MANAGEMENT COMPANY LLC" TO "FORWARD MANAGEMENT, LLC", FILED IN THIS OFFICE ON THE SECOND DAY OF AUGUST, A.D. 2001, AT 9 O' CLOCK A.M.



*Harriet Smith Windsor*  
Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 1277141

DATE: 08-02-01

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TRADEMARK TOTAL P.03  
REEL: 002533 FRAME: 0123

**AMENDMENT TO CERTIFICATE OF FORMATION  
OF  
WEBSTER INVESTMENT MANAGEMENT COMPANY, LLC  
(NAME CHANGE TO FORWARD MANAGEMENT, LLC)**

This Amendment to Certificate of Formation of Webster Investment Management Company, LLC (the "Company") is being executed by the undersigned for the purpose of effectuating a name change pursuant to §18-202 of the Delaware Limited Liability Company Act.

1. The former name of the limited liability company is Webster Investment Management Company, LLC.
2. The amended name of the limited liability company is Forward Management, LLC.
3. The amendment shall be effective as of August 2, 2001.

IN WITNESS WHEREOF, the undersigned has executed this Amendment to Certificate of Formation this 2nd day of August, 2001.

By: 

J. Alan Reid, Jr.  
President

STATE OF DELAWARE  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
FILED 09:00 AM 08/02/2001  
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