

07-09-2002



FORM PTO-1594

RECOR  
TRA

102148627

U.S. DEPARTMENT OF COMMERCE

1-31-92

Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying Party(ies):  
CIBC Oppenheimer Corp. 6-24-02

Individual(s)       Association  
 General Partnership       Limited Partnership  
 Corporation-State  
 Other Corporation - Delaware

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:  
 Assignment       Merger  
 Security Agreement       Change of Name  
 Other \_\_\_\_\_

Execution Date: May 3, 1999

2. Name and address of receiving party(ies):  
 Name: CIBC World Markets Corp. JUN 24 2002  
 Internal Address: \_\_\_\_\_  
 Street Address: 245 Park Avenue  
 City: New York      State: NY      ZIP: 10067

Individual(s) citizenship \_\_\_\_\_  
 Association \_\_\_\_\_  
 General Partnership \_\_\_\_\_  
 Limited Partnership \_\_\_\_\_  
 Corporation-State \_\_\_\_\_  
 Other Corporation - Delaware

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No

(Designations must be a separate document from Assignment)  
 Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration number(s):  
 A. Trademark Application No.(s) \_\_\_\_\_  
 B. Trademark Registration No.(s) 1,986,682

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
 Name: Connie Guccione  
 Internal Address: \_\_\_\_\_  
Blake, Cassels & Graydon LLP  
199 Bay Street  
 Street Address: Box 25, Commerce Court West  
 City: Toronto      State: Ontario      ZIP: M5L 1A9

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41):..... \$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_  
 (Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Connie Guccione      [Signature]      June 21/02  
 Name of Person Signing      Signature      Date

Total number of pages comprising cover sheet:

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Box ASSIGNMENTS  
FEE  
Assistant Commissioner for Trademarks  
2900 Crystal Drive  
Arlington, VA 22202-3513  
U.S.A.

RECORDA2.FOM

TRADEMARK  
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*State of Delaware*  
*Office of the Secretary of State*      PAGE 1

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I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "CIBC OPPENHEIMER CORP.", CHANGING ITS NAME FROM "CIBC OPPENHEIMER CORP." TO "CIBC WORLD MARKETS CORP.", FILED IN THIS OFFICE ON THE TWENTY-FIFTH DAY OF FEBRUARY, A.D. 1999, AT 9 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE THIRD DAY OF MAY, A.D. 1999.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Edward J. Freel, Secretary of State

0722726 8100

991076112

AUTHENTICATION:

9610330

DATE:

03-04-99

TO 914168613853 P.08

JUN 13 2002 09:50 AM CIBC

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STATE OF DELAWARE  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
FILED 09:00 AM 02/22/1999  
991076112 - 0722726

**CERTIFICATE OF AMENDMENT OF CERTIFICATE OF INCORPORATION  
OF  
CIBC OPPENHEIMER CORP.**

It is hereby certified that:

1. The name of the corporation (hereinafter called the "corporation") is CIBC Oppenheimer Corp.

2. The certificate of incorporation of the corporation is hereby amended by striking out Article First thereof and by submitting in lieu of said Article the following new Article:

**"FIRST:** The name of the Corporation is CIBC World Markets Corp."

3. The amendment of the certificate of incorporation herein certified has been duly adopted and written consent has been given in accordance with the provisions of Sections 228 and 242 of the General Corporation Law of the State of Delaware.

4. The effective date of the amendment herein certified shall be May 3, 1999.

Signed on February 24, 1999.

  
Patricia A. Bourdon  
Secretary

TMS

# BLAKE, CASSELS & GRAYDON LLP

BARRISTERS & SOLICITORS | PATENT & TRADE-MARK AGENTS



June 21, 2002

Box 25, Commerce Court West  
199 Bay Street  
Toronto, Ontario, Canada  
M5L 1A9

Deliveries: 28<sup>th</sup> Floor  
Telephone: 416.863.2400  
Facsimile: 416.863.2653  
www.blakes.com

Reference: 2100/New

Box POST REG  
FEE  
Assistant Commissioner for Trade-marks  
2900 Crystal Drive  
Arlington, VA 22202-3513  
U.S.A.

Dear Sirs:

**Re: United States Trade-mark Registration No. 1,986,682**  
**Trade-mark: OPPENHEIMERFUNDS LIFETRUST**  
**Change of Name of CIBC Oppenheimer Corp. to**  
**CIBC World Markets Corp.**

On behalf of CIBC World Markets Corp., we enclose a copy of the Certificate of Amendment of Certificate of Incorporation changing the registrant's name from CIBC Oppenheimer Corp. to CIBC World Markets Corp. effective May 3, 1999, together with the recordation form cover sheet. Please note that Cowan, Liebowitz & Latman, P.C. is to remain as the Attorney to transact all business in the Patent and Trademark Office and to receive all communications relating to the above registration.

The filing fee of \$40.00 is included in our cheque accompanying this letter. The Office is authorized to charge any additional fees which may be required to account # 02-2553.

Should any Trademark Office official wish to telephone, the call may be made to Connie Guccione at (416) 863-3064.

Yours very truly,

*C. Guccione*  
Connie Guccione

CRG/TXL/rd  
Encl.  
c: Cowan, Liebowitz & Latman, P.C.



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Montréal • Ottawa • Toronto • Calgary • Vancouver • London • Beijing

RECORDED: 06/24/2002

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