

07-11-2002

Form PTO-1594

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼

RE: -



102152234

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Roto-Frank of America, Inc.

- Individual(s)
- General Partnership
- Corporation-State
- Other _____
- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other Tien
- Merger
- Change of Name

Execution Date: _____

2. Name and address of receiving party(ies)

Name: Citizens Bank of Connecticut

Internal Address: _____
Address: _____

Street Address: 90 State House Square

City: Hartford State: CT Zip: 06103

- Individual(s) citizenship _____
- Association _____
- General Partnership _____
- Limited Partnership _____
- Corporation-State _____
- Other stock savings bank

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from assignment)
Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

2000830

2453927

Additional number(s) attached Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven A. Berman

Internal Address: Berman and Sable

Street Address: 195 Church Street

6. Total number of applications and registrations involved: _____

2

7. Total fee (37 CFR 3.41).....\$ 65.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

OFFICE OF PUBLIC RECORDS
2002 JUN -8 AM 7:42
FINANCE SECTION

07/10/2002 DBYRNE 00000227 2000830

01 FC:482 City: New Haven State: CT Zip: 06510
02 FC:482 40.00 CP
25.00 CP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

ROTO-FRANK OF AMERICA, INC.

By: Debra A Brucker
Name of Person Signing

Debra A Brucker
Signature

6/17/02
Date

4

Total number of pages including cover sheet, attachments, and document

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patent & Trademarks, Box Assignments
Washington, D.C. 20231

TRADEMARK
REEL: 002541 FRAME: 0564

PATENT/TRADEMARK/TRADE NAME LIEN

THIS LIEN, effective as of the 17th day of June, 2002, from **Roto-Frank of America, Inc.**, a corporation organized and existing under the laws of the State of Connecticut and having its principal place of business at 10 Inspiration Lane, Chester, Connecticut, (hereinafter referred to as "Debtor") and Citizens Bank of Connecticut, a Connecticut stock savings bank having a place of business at 90 State House Square, Hartford, Connecticut (hereinafter referred to as "Secured Party"),

W I T N E S S E T H:

WHEREAS, Debtor is the owner of the patents, trademarks and/or tradenames set forth on Exhibit A hereto, and the good will of the business appurtenant thereto, together with all registrations and applications for registration thereof and any and all reissues, continuations and continuations-in-part with respect thereto, all as more particularly set forth on Exhibit A (collectively, the "Patent/Trademark Collateral");

WHEREAS, the parties hereto have entered into a Commercial Credit and Security Agreement of even date (the "Agreement"), whereby Secured Party has advanced moneys to Debtor and Debtor has granted to Secured Party a security interest in and to the Collateral (as such term is defined in the Agreement) which includes without limitation a security interest in the Patent/Trademark Collateral and which, in the event of default or demand under the Agreement, would permit Secured Party to take possession of, and title to, the aforesaid Collateral and Patent/Trademark Collateral and to dispose thereof in accordance with the terms of the Agreement,

NOW THEREFORE, in fulfillment of the obligations assumed under the aforesaid Agreement and for good and valuable consideration, receipt of which is hereby acknowledged, Debtor does hereby confirm that pursuant to the Agreement it has given and granted to Secured Party, and does hereby give and grant to Secured Party, a lien and security interest in and to the Patent/Trademark Collateral, together with the registrations thereof, as set forth on Exhibit A hereto, in accordance with the terms of the Agreement.

IN WITNESS WHEREOF, the undersigned have caused this lien to be executed and its seal to be affixed as of the date first above written.

Attest:

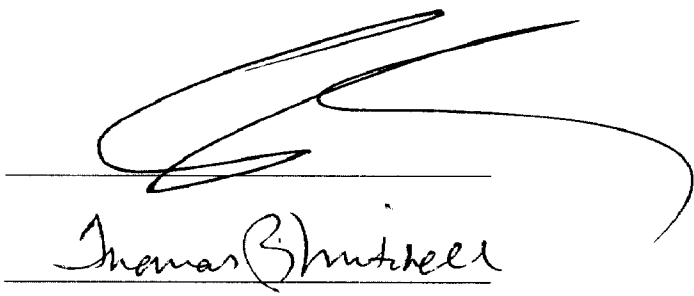
Thomas B. Brucker
Thomas B. Brucker

DEBTOR:

Roto-Frank of America, Inc.

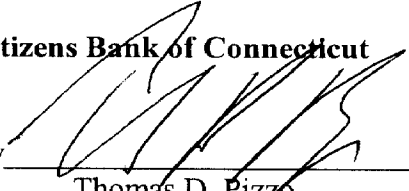
By Axel Husen
Axel Husen
Its Vice President

By Debra A. Brucker
Debra A. Brucker
Its Secretary and Treasurer


Thomas B. Mitchell

SECURED PARTY:

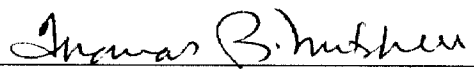
Citizens Bank of Connecticut

By 
Thomas D. Pizzo
Its Vice President

ACKNOWLEDGEMENTS

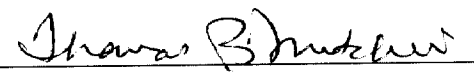
STATE OF CONNECTICUT)
) ss. Hartford
COUNTY OF HARTFORD)

On this the 17th day of June, 2002, before me personally appeared **Axel Husen**, who acknowledged himself to be the Vice President of **Roto-Frank of America, Inc.**, a corporation, and that he as such officer, being authorized to do so, executed the foregoing instrument for the purposes therein contained by signing the name of the corporation by himself as such officer.


Commissioner of the Superior Court

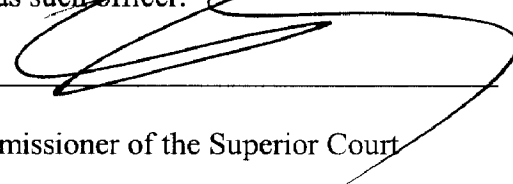
STATE OF CONNECTICUT)
) ss. Hartford
COUNTY OF HARTFORD)

On this the 17th day of June, 2002, before me personally appeared **Debra A. Brucker**, who acknowledged herself to be Secretary and Treasurer of **Roto-Frank of America, Inc.**, a corporation, and that she as such Secretary and Treasurer, being authorized to do so, executed the foregoing instrument for the purposes therein contained by signing the name of the corporation by herself as such Secretary and Treasurer.


Commissioner of the Superior Court

STATE OF CONNECTICUT)
) ss. Hartford
COUNTY OF HARTFORD)

On this the 17th day of June, 2002, before me personally appeared **Thomas D. Pizzo**, who acknowledged himself to be Vice President of **Citizens Bank of Connecticut**, a stock savings bank, and that he as such officer, being authorized to do so, executed the foregoing instrument for the purposes therein contained by signing the name of the bank by himself as such officer.



Commissioner of the Superior Court

T:\Rotofrank\patent trademark.doc
6/14/2002 3:30 PM

Exhibit A

PATENTS:

<u>U.S. Patent Description</u>	<u>Patent No.</u>
Multipoint Slidelock - Utility	6367853
Multipoint Gear Drive - Design	D440143
Multipoint Gear Drive - Utility	6354639
Casement	5111615
Casement	5370428
Casement	5318333
Casement	5487238
Casement	8383936
Casement	2040315
Casement	2096488
Casement	2094666
Casement	2134033
Casement	2139728

TRADEMARKS:

<u>U.S. Trademark Description</u>	<u>Registration Number</u>
Roto	2000830
Pro Fixed	2453927