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Expires 06/30/99 OMB 0651-0027

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U.S. Department of Commerce Patent and Trademark Office

TRADEMARK

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TO: The Commissioner of Patents and Trademarks:	Please record the attached original	document(s) of copy(ies).		
Submission Type 7-18-5Z New Resubmission (Non-Recordation) Document ID#	Conveyance Type X Assignment Security Agreement	License Nunc Pro Tunc Agreement Effective Date		
Correction of PTO Error Reel # Frame # Corrective Document	Merger Change of Name	Month Day Year		
Reel # Frame #	Other			
Conveying Party Mark if additional	names of conveying parties attached	Execution Date Month Day Year		
Name Otsuka Pharmaceutical Co., Ltd. Formerly		01 22 2002		
☐ Individual ☐ General Partnership ☐ L	imited Partnership 🛛 Corpo	ration		
Other				
☐ Citizenship/State of Incorporation/Organizati	on Osaka, Japan			
Receiving Party Mark if additional	names of receiving parties attached			
Name Abbott Laboratories				
DBA/AKA/TA				
Composed of				
Address (line1) 100 Abbott Park Road				
Address (line 2)				
Address (line 3) Abbott Park City	Illinois/USA State/Country	60064 ZIP Code		
☐ Individual ☐ General Partnership ☐ L	imited Partnership	If document to be recorded is an assignment		
		and the receiving party is not domiciled in the United States, an appointment of a domestic		
Other		representative should be attached. (Designation must be a separate document from the Assignment.)		
X Citizenship/State of Incorporation/Organizati	on Illinois	nom are Assignment.)		
FOR OFFICE USE ONLY				
Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affiars, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.				
	ded with required cover sheet(s) inform			

TRADEMARK REEL: 002548 FRAME: 0610

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U.S. Department of Commerce Patent and Trademark Office TRADEMARK

Expires 06/30/99 OMB 0651-0027

Domestic Repre	esentative Nam	e and Address	Enter f	or the first Rece	eiving Party only.
Name					
Address (line 1)					
Address (line 2)					
Address (line 3)					
Address (line 4)					
Correspondent	Name and Add	ress	Anna Cada and T	Talambana Nom	aban (0.47) 007 5404
Name	Frances M. Jagla	3	Area Code and T	elephone Nun	nber (847) 937-5121
Address (line 1)	Abbott Laborator	ies			
Address (line 2)	Dept. 377, Bldg. A	\P6D-2			
Address (line 3)	100 Abbott Park	Road			
Address (line 4)	Abbott Park, Illino	is 60064-6050			
Pages	Enter the total num including any attac	nber of pages of the at	tached conveyance o	document	# 3 total pages
Trademark App	lication Numbe Application Number o <u>r t</u> he	er(s) or Registrati e Registration Number (DO N	on Number(s)		additional numbers attached 11y).***SEE SCHEDULE A*****
Trademark Application Num		ımber(s)	1256576	egistration Nur	mber(s)
			1230370		
Number of Prop	perties Enter	r the total number o	f properties involve	ed.	# 1
Fee Amount		Fee Amount for Pro			\$ 40.00
Method of Payı Deposit Accou (Enter for payment	nt	r if additional fees can be De	eposit Account X charged to the account eposit Account Nun ation to charge add	nber:	# 01-0025 Yes X No
To the best of my knowledge and belief, the foregoing information and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicate herein.		ing information is true f the original			
Frances M. Jagla Name of Person Si	gning	Signature	cesh Juzle		1, 2002 Signed

HEET FORM PTO-1618A U.S. Department of Commerce Patent and Trademark Office Expires 06/30/99 OMB 0651-0027 102073144 TRADEMARK TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies). **Submission Type** Conveyance Type 4-11-07 X Assignment License Resubmission (Non-Recordation) **Security Agreement Nunc Pro Tunc Agreement** Document ID# Effective Date Merger Day Year **Correction of PTO Error** │ Frame # │ Reel# **Change of Name Corrective Document** Other Frame # Reel # **Conveying Party** Mark if additional names of conveying parties attached **Execution Date** <u> ∕ Month</u> Day Year Name Otsuka Pharmaceutical Co., Ltd. 07 2002 Formerly ☐ Individual ☐ General Partnership ☐ Limited Partnership ☒ Corporation ☐ Association Other [X Citizenship/State of Incorporation/Organization Dsaka, Japan Receiving Party Mark if additional names of receiving parties attached Abbott Laboratories Name DBA/AKA/TA Composed of Address (line1) 100 Abbott Park Road Address (line 2) Abbott Park Address (line 3) Illinois/USA 60064 City State/Country ZIP Code ☐ Individual General Partnership Limited Partnership If document to be recorded is an assignment and the receiving party is not domiciled in **◯** Corporation **◯** Association the United States, an appointment of a domestic representative should be attached. Other [(Designation must be a separate document from the Assignment.) Citizenship/State of Incorporation/Organization Illinois 00000112 010025 1256576

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blic burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affices, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. Sed OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS

> Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, DC 20231

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Domestic Repre	esentative Name and Address Enter for the first Receiving Party only.		
Name			
Address (line 1)			
Address (line 2)			
Address (line 3)			
Address (line 4)			
Correspondent	Name and Address		
Name	Area Code and Telephone Number (847) 937-5121 Frances M. Jagla		
Address (line 1)	Abbott Laboratories		
Address (line 2)	Dept. 377, Bidg. AP6D-2		
Address (line 3)	100 Abbott Park Road		
Address (line 4)	Abbott Park, Illinois 60064-6050		
Pages	Enter the total number of pages of the attached conveyance document including any attachments. # 3 total pages		
Trademark App Enter either the trademark	lication Number(s) or Registration Number(s) Mark if additional numbers attached Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).***SEE SCHEDULE A*****		
Tradema	ark Application Number(s) Registration Number(s) 1256576		
Number of Prop	perties Enter the total number of properties involved. #1		
Fee Amount	Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00		
Method of Payment: Enclosed Deposit Account Deposit Account Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.) Deposit Account Number: # 01-0025 Authorization to charge additional fees: Yes No			
Statement and Signature To the best of my knowledge and belief, the foregoing information is and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.			
Frances M. Jagla Name of Person Si	gning Signature April 11, 2002 Date Signed		



2-9 Kanda Tsukasa-cho Chiyoda-ku Tokyo 101-8535 Japan Tel: (03) 3292-0021 Fax: (03) 3295-2058

Deed of Assignment

This Trademark Assignment is made on this 22nd day of January 2002, by Otsuka Pharmaceutical Co., Ltd., a Japanese corporation, having a principal business address at 2-9 Kanda Tsukasa-cho, Chiyoda-ku, Tokyo, Japan, (hereinafter "Assignor"), in favor of Abbott Laboratories, an Illinois corporation, having a principal business address at 100 Abbott Park Road, Abbott Park, IL 60064-3500, United States of America, (hereinafter

"Assignee").

WHEREAS, Assignor is the owner of all right, title and interest in and to the mark listed on the attached Schedule A (hereinafter "Mark"), the federal registration thereof, and the

goodwill developed through the use of the Mark;

WHEREAS, Assignee is desirous of acquiring all right, title and interest to the Mark, the federal registration thereof, and the goodwill developed through the use of the Mark;

NOW, THEREFORE, in consideration of U.S. \$10.00 and other good and valuable consideration, the receipt and adequacy of which are hereby acknowledged. Assignor

hereby sells, assigns, transfers and sets over to Assignee, the entire right, title, interest in

and to the Mark and the registration thereof as identified on Schedule A and the goodwill

of the business connected with the Mark, the same to be held and enjoyed by Assignee,

its successors, assigns and other legal representatives.

Signed this 22nd day of January, 2002.

Otsuka Pharmaceutical Co., Ltd.

Name: Hideyuki Miwa

Title: Director on Board

TRADEMARK REEL: 002548 FRAME: 0614

Schedule A

Mark : CARTROL
Trademark Registration No. 1256576

TRADEMARK REEL: 002548 FRAME: 0615 3-2-27 Otedori, Chuo-ku, Osaka 540-0021, Japan TEL:81-6-6943-7722 FAX:81-6-6943-8729 http://www.otsuka.co.jp

Abbott Laboratories 100 Abbott Park Road, Abbott Park, Illinois 60064-3500 U.S.A.

January 22, 2002

Re: Agreement dated April 11, 1975

Dear Sir/Madam,

Reference is made to the Agreement dated April 11, 1975 between Abbott Laboratories ("Abbott") and Otsuka Pharmaceutical Co., Ltd. ("Otsuka"), as hitherto amended ("Agreement"). Notwithstanding any provisions contained in the Agreement to the contrary, the parties hereby confirm their agreement with respect to the Agreement as follows:

- 1. The parties hereto agree that Abbott shall pay Otsuka an amount of twelve thousand five hundred United States dollars (US\$12,500, 10% of which shall be paid by Abbott on Otsuka's behalf as a withholding tax) as a lump-sum royalty for and after the year 2002 ("Lump-Sum Royalty"). Upon payment of the Lump-Sum Royalty, Abbott shall bear no further obligation to pay royalties under the Agreement with respect to Net Sales (as defined in the Agreement) which shall accrue on and after January 1, 2002 and shall have a paid-up license to purchase and market the Agreement Compound (as defined in the Agreement) under the Agreement in the Territory (as defined in the Agreement), and Otsuka shall transfer to Abbott the rights to the Trademark in accordance with the provisions of paragraph 4 below.
- 2. Abbott shall pay the Lump-Sum Royalty to Otsuka within thirty (30) days from the date hereof in the same manner as for the royalties.
- 3. It is understood and agreed between the parties that Abbott shall, as of December 31, 2001, waive any and all of its rights and shall make no claim with respect to the remaining amount of money which, in accordance with the provisions of Section 3(a) of the Agreement, is creditable (but has not been credited) against the royalties to be paid by Abbott to Otsuka under the

Agreement.

- Promptly upon receipt by Otsuka of the Lump-Sum Royalty from Abbott, 4. Otsuka shall take any and all necessary procedures to transfer the rights in the United States to the Otsuka-owned product trademark, namely 'CARTROL' (US registration number: 1256576, "Trademark"), used by Abbott for marketing the Agreement Compound in the United States. Upon request by Otsuka, Abbott shall cooperate with Otsuka in taking such necessary procedures to transfer the Trademark. It is understood and agreed between the parties that all actual cost and expenses for taking the necessary procedures for such transfer shall be borne by Abbott and Abbott shall reimburse Otsuka such cost and expenses in accordance with invoices which Otsuka shall from time to time send to Abbott.
- The parties hereto hereby agree to fix the price of the Agreement Compound 5. to be ordered by Abbott under the Agreement to Otsuka on or after January 1, 2002 to twenty one thousand two hundred and forty two point seven two United States dollars per kilogram (US\$21,243.72/kg).
- Except as agreed herein, all the terms and conditions of the Agreement shall 6. remain unchanged and in full force and effect.

If the foregoing is in accordance with your understanding, please so indicate by signing and returning us one enclosed duplicate of this letter.

> Sincerely yours, Otsuka Pharmaceutical Co., Ltd.

Hideyuki Miwa

Director on Board

International Licensing

Confirmed and agreed:

Abbott Laboratories

Name: ROBERT FUNCK

Divisional U.P. and Contoller PPD Title:

RECORDED: 04/11/2002

Date: 31502

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