07-29-2002

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

1021	/2044				
To the Honorable Commissioner of Patents and Trademarks	: Please record the attached original documents or copy thereof.				
1. Name of conveying party(ies): Tecstar, Inc. 1/1/8/09	Name and address of receiving party(ies): Name:Emcore Corporation				
☐ Individuals ☐ Association☐ General Partnership☐ ☐ Limited Partnership	Internal Address: Street Address: 145 Belmont Drive				
x Corporation - Delaware □ Other	City: Somerset State: New Jersey Zip Code: 08873				
Additional name(s) of conveying party(ies) attached? Yes x No	-				
3. Nature of conveyance: ☐ Assignment ☐ Merger ☐ Security Interest ☐ Change of Name x Other - To correct erroneous Registration No. 2116241	□ Association □ General Partnership □ Limited Partnership x Corporation- New Jersey □ Other If assignee is not domiciled in the United States, a domestic representative				
recorded on Reel/Frame No. 002488/0573. Execution Date:	designation is attached: (Designations must be a separate document from Assignment) Additional name(s) & address(es) attached?				
4. Application number(s) or registration number(s):	Trademark Registration No.(s)				
A. Trademark Application No.(s)	2166241				
Additional numbers at	· '				
Name and address of party to whom correspondence concerning document should be mailed: Name: Helen Bruno, Senior Legal Assistant	6. Total number of applications and registrations				
Internal Address: White & Case LLP	7. Total fee (37 CFR 3.41):				
Street Address: 1155 Avenue of the Americas	8. Deposit account number:				
City: New York State: New York ZIP: 10036	23-1705 (in case of deficiency) (Attach duplicate copy of this page if paying by deposit account)				
DO NOT U	SE THIS SPACE				
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Helen Bruno July 18, 2002					
Name of Person Signing	Signature Date				
	Total number of pages comprising cover sheet:				
OMB No. 0651-0011 (exp. 4/94)					
7/26/2002 DBYRNE 00000169 2166241 Do not detach this portion Mail documents to be recorded with populated cover sheet information to: 1 FC:481 Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231					
Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.					

Form PTO-1594 REC



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

	T 102	206	3973	Patent and Trademark Office	
To the Honorable Commissioner of				documents or copy thereof.	
Name of conveying party(ies):		2.	Name and address of receiv	ing party(ies):	
Tecstar, Inc. $U - I \delta$	-02		N 5 0		
			Name: Emcore Corpor		
l .	☐ Association☐ Limited Partnership		Internal Address:		
·			Street Address: 145 Belmo	ont Drive	
x Corporation-State -Del aware ☐ Other			City: Somerset State: I	New Jersey Zip Code: 08873	
Additional name(s) of conveying party(ies) atta	ached? □ Yes x No		Individual(s) citizenship		
3. Nature of conveyance:	J 100 X 140		Association		
			General Partnership		
-	☐ Merger	X	Corporation- New Jersey		
☐ Security Interest☐ Other	☐ Change of Name		Other		
-				ted States, a domestic representative	
Execution Date: March 14, 2002	T STORES AND TO	(De	designation is attached:		
Application number(s) or registration num	shor(s):	T	litional name(s) & address(es) atta demark Registration No.(s)	8	
A. Trademark Application No.(s)	iber(s).	''a	demark negistration No.(S)	. 77	
7. Frademark Approach No.(3)			2117241 1177	FINAL SECTION	
			2116241 11779	901	
			2417750 11789	915	
	Additional numbers att	0000	d) Uva u Na		
Name and address of party to whom corr		6.	d? ☐ Yes x No Total number of applications	s and registrations	
document should be mailed:	oopendenee concerning	0.	involved	and registrations	
Name: <u>Helen Bruno, Senior Legal Assista</u>	ant				
Internal Address: White & Case LLP		7.	Total fee (37 CFR 3.41): .	\$ <u>115.00</u>	
			x Enclosed		
Street Address: 1155 Avenue of the Amer	ricas	8.	Authorized to be charge Deposit account number:	d to deposit account	
City: New York State: New York		0.	23-1705 (in case of defi	isionay)	
,				is page if paying by deposit account)	
	DO NOT US	F Th		is page if paying by deposit account)	
9. Statement and signature.	20.101.00		IIO OI AOL		
To the best of my knowledge and belief,	the foregoing information i	is tiy	e and correct and any attache	ed copy is a true copy of the original	
document.	÷		Sumo		
Helen Bruno Name of Person Signing	/	7	Signature	April 8, 2002 Date	
			<u> </u>	s comprising cover sheet: 5	
OMB No. 0651-0011 (exp. 4/94)					
	Do not deta	ch th	uis portion	<u>පුපි</u> දුපි	
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Commissioner of Patents and Trademarks					
Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231					
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including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover					
sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems,					
PK2-1000C, Washington, D.C. 20231 0011), Washington, D.C. 20503.	I, and to the Office of	f Ma	nagement and Budget, P	aperwork Reduct Project (0651-	
				<u>8</u> ~0	
1/23/2002 DBYRNE 00000010 2116241				8 9	

INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT

WHEREAS, Tecstar, Inc., a Delaware corporation having its principal place of business at 15251 Don Julian Road, City of Industry, California ("Assignor"), Tecstar Power Systems, Inc., a California corporation and wholly owned subsidiary of the Assignor, Emcore Corporation, a New Jersey corporation ("Assignee") and TPS Acquisition Corporation, a Delaware corporation and wholly owned subsidiary of the Assignee, are parties to that certain Asset Purchase Agreement (the "Purchase Agreement"), dated as of February 6, 2002; and

WHEREAS, Assignor owns the entire right, title and interest in, to and under the patents and patent applications (including, without limitation, any divisionals, continuations, continuations-in-part and other related applications, collectively, the "Patents") and the trademarks and trademark applications ("Trademarks"), all of which are set forth in the attached Schedule A (the "Properties"), together with the Seller Intellectual Property (as such term is defined in the Purchase Agreement); and

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest in, to and under the Properties and the Seller Intellectual Property.

NOW, THEREFORE, as a condition precedent to the consummation of the transactions contemplated under the Purchase Agreement, and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Assignor has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto the Assignee, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the Properties and all other Seller Intellectual Property, together with the goodwill of the business symbolized by the Properties and the Seller Intellectual Property, and, as applicable, the registration thereof, and further including: all income, royalties, and damages now and hereafter due and/or payable to Assignor, including without limitation, damages and payments for past or future infringements and misappropriations thereof, all rights to sue for past, present and future infringements or misappropriations thereof, and all rights corresponding to any of the above throughout the world;

AND THE ASSIGNOR HEREBY authorizes and requests the Commissioner of the United States Patent and Trademark Office and any official of any country or countries foreign to the United States, whose duty is to issue patents, trademark registrations or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND THE ASSIGNOR HEREBY covenants and agrees that the Assignor has full right to convey the entire interest herein assigned, and that the Assignor has not executed, and will not execute, any agreement in conflict herewith.

AND THE ASSIGNOR HEREBY further covenants and agrees that the Assignor will communicate to the Assignee, its successors, legal representatives and assigns, any facts

known to the Assignor respecting the Properties, and testify in any legal proceeding, sign all lawful papers, make all rightful oaths, and generally do everything possible to aid the Assignee, its successors, legal representatives and assigns, to obtain and enforce proper protection for the Properties.

IN WITNESS WHEREOF, Assignor has caused this Intellectual Property Assignment to be duly executed by its duly authorized officer on the date set forth below.

TECSTAR, INC

Its:

Date: March 14, 2002

-2-

STATE OF	CAIFORNIA)
COLDITY	LOS ANBELLS)ss
COUNTY OF	LOS MARLLS)

Witness my hand and official seal.

Signature of Notary



Schedule A

Trademarks

Trademarks

<u>Trademark</u>	Registration No.
TECSTAR	2,116,241
CASCADE	2,417,750
Stylized representation of the sun design	1,177,901
Applied Solar Energy	1,178,915

Other unregistered marks:

Applied Solar Division

G-Star

TEC 1

TEC 1D

TEC 2

TEC 2D

TEC 1C

TEC 3i

EWYORK 879978 (2K)

SÄEGIS. **CUSTOM REPORT**

Reference: 1113370-0017 Username: HELEN BRUNO

Search Strategy

Options: Cross Refs = on, Plurals = on, Active Only = off

1 Registration Number = 2166241 Q1

Selected Databases

TRADEMARKSCAN®: U.S. Federal

Citations

1. TECSTAR

Status: REGISTERED

Class: 79 Goods/Services:

(INT, CL. 7) ELECTRONIC AND ELECTRO-MECHANICAL DEVICES, NAMELY, MOTORS, DRIVES, ACTUATORS, CLUTCHES, BRAKES, TORQUERS, RESOLVERS, GEARHEADS AND DAMPERS FOR AIRCRAFT, SATELLITES AND SIMILAR SPACECRAFT AND PARTS THEREFOR FOR ALL OF THE AFORESAID GOODS

(INT. CL. 9) SOLAR POWER CELL ASSEMBLIES, PANELS AND ARRAYS FOR SATELLITES AND SIMILAR SPACECRAFT; TACHOMETERS AND PARTS THEREFOR FOR ALL OF THE AFORESAID GOODS

Owner Name: APPLIED SOLAR ENERGY CORPORATION

U.S. Federal

Full Text For Citations

TECSTAR ref. 1

TRADEMARKSCAN®--U.S. Federal

Serial Number: 75064680 Registration Number: 2166241

Status: REGISTERED

USPTO Status: (700) REGISTERED USPTO Status Date: June 16, 1998

Filed: February 28, 1996

Allowance Filed: December 9, 1997 Published: September 16, 1997 Registered: June 16, 1998

Goods/Services:

(INT. CL. 7) ELECTRONIC AND ELECTRO-MECHANICAL DEVICES, NAMELY, MOTORS, DRIVES, ACTUATORS, CLUTCHES, BRAKES, TORQUERS, RESOLVERS, GEARHEADS AND DAMPERS FOR AIRCRAFT, SATELLITES AND SIMILAR SPACECRAFT AND PARTS THEREFOR FOR ALL OF THE AFORESAID GOODS

TRADEMARK 7/18/2002 5:19 PM

(INT. CL. 9) SOLAR POWER CELL ASSEMBLIES, PANELS AND ARRAYS FOR SATELLITES AND SIMILAR SPACECRAFT: TACHOMETERS AND PARTS THEREFOR FOR ALL OF THE AFORESAID GOODS

International Class(es)

7 (Machinery)

9 (Electrical and Scientific Apparatus)

International Class: 7 First Used: April 1996 In Commerce: April 1996

International Class: 9 First Used: April 1996 In Commerce: April 1996

Registrant:

APPLIED SOLAR ENERGY CORPORATION CALIFORNIA CORPORATION 15251 EAST DON JULIAN ROAD CITY OF INDUSTRY, CALIFORNIA 917451002

Owner At Publication:

APPLIED SOLAR ENERGY CORPORATION CALIFORNIA CORPORATION 15251 EAST DON JULIAN ROAD CITY OF INDUSTRY, CALIFORNIA 917451002

Applicant:

APPLIED SOLAR ENERGY CORPORATION CALIFORNIA CORPORATION 15251 EAST DON JULIAN ROAD CITY OF INDUSTRY, CALIFORNIA 917451002

Assignment Information

Assignee:

TECSTAR POWER SYSTEMS, INC. CALIFORNIA CORPORATION 5251 DON JULIAN ROAD CITY OF INDUSTRY, CALIFORNIA 91745-100 Assignor:

APPLIED SOLAR ENERGY CORPORATION CALIFORNIA CORPORATION

Correspondent:

KNOBBE, MARTENS, OLSON & BEAR LLP DAVID N. WEISS SIXTEENTH FLOOR

620 NEWPORT CENTER DRIVE **Brief: CHANGE OF NAME** Signed: March 1, 1996 Recorded: June 15, 1998

Reel/Frame: 1745/0051

Filing Attorney: DAVID N WEISS

Filing Correspondent:

DAVID N WEISS

KNOBBE MARTENS OLSON & BEAR LLP 620 NEWPORT CENTER DR 16TH FL

NEWPORT BEACH CA 92660

TRADEMARK 7/18/2002 5:19 PM

Index of Owners

APPLIED SOLAR ENERGY CORPORATION

TECSTAR

reference 1

File Dates

U.S. Federal

Date Last Updated: July 18, 2002

OFFICIAL GAZETTE PUBLISHED ON: 16-JUL-02 **USPTO TEXT** COMPLETE FILINGS THROUGH: 03-JUN-02 ADDITIONAL FILINGS THROUGH: 09-JUL-02 SCANNED IMAGES FILED THROUGH: 12-JUN-02 APPLICATION DRAWING PAGES COMPLETE FILINGS THROUGH: 03-JUL-02

ADDITIONAL FILINGS THROUGH: 09-JUL-02

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Serial Number: 75208668

Registration Number: 2116241

Mark (words only): INTERNATIONAL GROUP PROGRAM

Current Status: Registered.

Date of Status: 1997-11-25

Filing Date: 1996-12-05

Registration Date: 1997-11-25

Law Office Assigned: TMEG Law Office 108

CURRENT APPLICANT(S)/OWNER(S)

1. John Hancock Mutual Life Insurance Company

Address:

John Hancock Mutual Life Insurance Company John Hancock Place Post Office Box 111 Boston, MA 02117 United States

State or Country of Incorporation: Massachusetts

Legal Entity Type: Corporation

GOODS AND/OR SERVICES

administration and underwriting of life insurance, accident and health insurance, annuity contracts and pensions

International Class: 036 First Use Date: 19671030

First Use in Commerce Date: 19671030

Basis: 1(a)

ADDITIONAL INFORMATION

Prior Registration Number(s):

911641

PROSECUTION HISTORY

1997-11-25 - Registered - Principal Register

http://tarr.uspto.gov/servlet/tarr?regser=registration&entry=2116241&action=Registration&

Latest Status Info Page 2 of 2

1997-09-02 - Published for opposition

1997-08-01 - Notice of publication

1997-07-01 - Approved for Pub - Principal Register (Initial exam)

1997-06-24 - Case file assigned to examining attorney

CONTACT INFORMATION

Correspondent (Owner)

CHARLES E WEINSTEIN (Attorney of record)

CHARLES E WEINSTEIN FOLEY HOAG & ELIOT LLP 1 POST OFFICE SQ BOSTON MA 02109 United States

RECORDED: 07/18/2002 REEL: 002550 FRAME: 0854