



Form PTO-1594 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)

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TRADEMARKS ONLY

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Mercer, a corporation

- Individual(s), Association, General Partnership, Limited Partnership, Corporation-State, Other

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment, Merger, Security Agreement, Change of Name, Other

Execution Date: 5/8/1997

2. Name and address of receiving party(ies)

Name: Mercer Global Advisors

Internal Address:

Street Address: 1801 East Cabrillo Boulevard

City: Santa Barbara State: CA Zip: 93108

- Individual(s) citizenship, Association, General Partnership, Limited Partnership, Corporation-State, Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s) 2,043,130;

2,005,792

Additional number(s) attached Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Janene P. Bassett

Internal Address: 48th Floor

Street Address: 333 South Hope Street

City: Los Angeles State: CA Zip: 90071

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 3.41) \$ 65

- Enclosed, Authorized to be charged to deposit account

8. Deposit account number: 19-1853

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9. Signature.

Janene P. Bassett Name of Person Signing

Signature

August 8, 2002 Date

Total number of pages including cover sheet, attachments, and document: 2

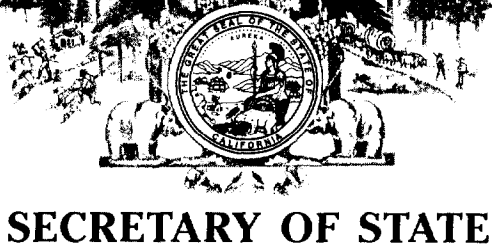
Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments Washington, D.C. 20231

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# State of California



SECRETARY OF STATE

## CERTIFICATE OF FILING

I, BILL JONES, Secretary of State of the State of California, hereby certify:

That on the **8th day of May, 1997**, there was filed in this office an amendment changing the corporation name from **MERCER**, a California corporation, to **MERCER GLOBAL ADVISORS**.

IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of July 29, 2002.



*Bill Jones*  
BILL JONES  
Secretary of State

kh

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re registrations of

Mercer, a corporation

Registration Nos.: 2,043,130; and  
2,005,792

) Assignment Services Division

) CERTIFICATE OF MAILING: CHANGE OF REGISTRANT'S  
) NAME

) I hereby certify that this correspondence is being deposited with  
) the United States Postal Service "Express Mail Post Office to  
) Addressee" service under 37 C.F.R. 1.10 and is addressed to:

) Director of the U.S. Patent and Trademark Office  
) Washington, D.C. 20231

) on August 8, 2002.

Signature: \_\_\_\_\_

Janene P. Bassett

CHANGE OF REGISTRANT'S NAME

Director of the U.S. Patent and Trademark Office  
BOX ASSIGNMENT - FEE  
Washington, D.C. 20231

Dear Sir/Madam:

The registrant of the above-identified registrations has twice changed its name, first from Mercer to Mercer Global Advisors, and then from Mercer Global Advisors to Mercer Global Advisors, Inc. Requests for recordation of these name changes are submitted concurrently herewith.

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP

By \_\_\_\_\_

JANENE P. BASSETT  
Attorneys for Registrant

333 South Hope Street, 48th Floor  
Los Angeles, California 90071-1448  
Telephone: (213) 620-1780  
Facsimile: (213) 620-1398

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