

09-26-2002

Form PTO-1594 R  
(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

First Union National Bank

9.23.02

- Individual(s)                       Association
- General Partnership               Limited Partnership
- Corporation-State
- Other                      National Bank

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment                       Merger
- Security Agreement               Change of Name
- Other \_\_\_\_\_

Execution Date: April 1, 2002

2. Name and address of receiving party(ies)

Name: Wachovia Bank  
 Internal \_\_\_\_\_  
 Address: \_\_\_\_\_  
 Street \_\_\_\_\_  
 Address: 999 Peachtree Street  
 City: Atlanta State GA Zip 30309

- Individual(s) citizenship \_\_\_\_\_
- Association \_\_\_\_\_
- General Partnership \_\_\_\_\_
- Limited Partnership \_\_\_\_\_
- Corporation-State \_\_\_\_\_
- Other                      National Banking Association

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
 (Designations must be a separate document from assignment)  
 Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)  
75/794,143; 75/794,144;

B. Trademark Registration No.(s)  
2,085,643; 2,102,995; 2,102,877; 2,381,682

Additional number(s) attached  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniele E. Bourgeois  
 Internal Address: TROUTMAN SANDERS LLP  
Bank of America Plaza, Suite 5200  
 \_\_\_\_\_  
 Street Address: 600 Peachtree Street  
 City: Atlanta State Ga Zip: 30308

6. Total number of applications and registrations involved:.....

7. Total fee (37 CFR 3.41)..... \$ 165  
 Enclosed  
 Authorized to be charged to deposit account

8. Deposit account number:

20-1507

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Daniele E. Bourgeois

*Daniele E. Bourgeois*

September 16, 2002

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patent & Trademarks, Box Assignments,  
Washington, D.C. 20231

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Comptroller of the Currency  
Administrator of National Banks

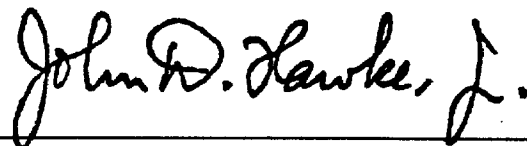
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Washington, DC 20219

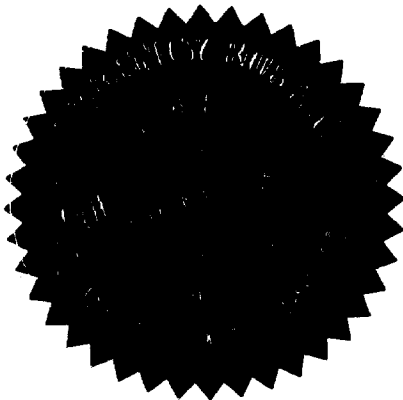
CERTIFICATE

I, John D. Hawke, Jr., Comptroller of the Currency, do hereby certify that the attached document is a true and complete copy of the official document certifying the merger recorded in this Office, involving Wachovia Bank, National Association, Winston-Salem, North Carolina, Charter No. 1559, into and under the charter of First Union National Bank, Charlotte, North Carolina, Charter No. 1, with the resulting title of "Wachovia Bank, National Association," (Charter No. 1), and headquarters at Charlotte, North Carolina, effective April 1, 2002.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and caused the seal of Office of the Comptroller of The Currency to be affixed to these presents at the Treasury Department, in the City of Washington and District of Columbia, this 5th day of June, 2002.



\_\_\_\_\_  
Comptroller of the Currency





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Comptroller of the Currency  
Administrator of National Banks

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Large Bank Licensing, MS 7-13  
250 E Street, S.W.  
Washington, DC 20219

March 27, 2002

OCC Control Nr. 2002-ML-02-0001

Ms. Courtney D. Allison  
Assistant General Counsel  
Legal Division  
Wachovia Corporation  
301 South College Street (NC0630)  
Charlotte, North Carolina 28288-0630

Dear Ms. Allison:

This letter is the official certification of the Comptroller of the Currency (OCC) of the merger of Wachovia Bank, National Association, Winston-Salem, North Carolina, Charter Nr. 1559, into and under the charter of First Union National Bank, Charlotte, North Carolina, Charter Nr. 1 with the resulting title of Wachovia Bank, National Association and headquarters at Charlotte, North Carolina, effective April 1, 2002.

This letter is also the official authorization given to Wachovia Bank, N.A., Charter Nr. 1 (formerly First Union National Bank), to operate the former head office of Wachovia Bank, N.A., Charter Nr. 1559 as a branch at the following site:

Title	:	Downtown Winston-Salem Branch
Certificate Nr.	:	122534A
Address	:	100 North Main Street Winston-Salem, North Carolina 27150

Branch authorizations previously granted to Wachovia Bank, N.A., Charter Nr. 1559, automatically convey to Wachovia Bank, N.A., Charter Nr. 1 (formerly First Union National Bank), the resulting bank, and will not be reissued. Please furnish a copy of this certificate to personnel responsible for branch administration.

This letter also serves to certify that First Union National Bank, Charlotte, North Carolina, Charter Nr. 1, now known as Wachovia Bank, National Association, remains authorized to exercise the fiduciary powers previously granted to it by the OCC.

Certification of Merger

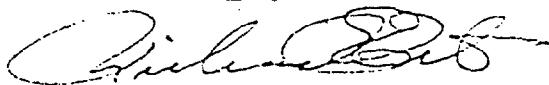
First Union National Bank/Wachovia Bank, N.A.

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The OCC also authorizes the resulting bank, should the merger occur between Call Report dates, to recalculate its legal lending limit. The new lending limit should be calculated by using data from the last Call Report of the individual banks filed prior to consummating the consolidation, as adjusted for the combination. The resulting bank will then file a new Call Report and begin calculating its legal lending limit according to 12 C.F.R. 32.4(a) at the end of the quarter following consummation of the consolidation.

In the event of questions, I may be contacted at (202) 874-5060 or by e-mail at: [largebanks@occ.treas.gov](mailto:largebanks@occ.treas.gov).

Sincerely,



Richard T. Erb  
Licensing Manager



2002-ML-02-0001