

10-04-2002



102241315

To the Honorable Commissioner of Patents and Trademarks, please record and endorse original documents or copy thereof.

1. Name of conveying party(ies):

**ZPM Inc.**  
(a Nevada corporation)

10-1-02

- ☐ Individual(s) ☐ Association  
☐ General Partnership ☐ Limited Partnership  
☒ Corporation-State **Nevada**  
☐ Other \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: **January 30, 2002**

2. Name and address of receiving party(ies)

Name: **Clean Water Technology Inc.**

Internal

Address: **(a Delaware corporation)**

Street Address: **5770 Thornwood Drive**

City: **Goletta** State: **CA** Zip: **91137**

- ☐ Individual(s) citizenship \_\_\_\_\_  
☐ Association \_\_\_\_\_  
☐ General Partnership \_\_\_\_\_  
☐ Limited Partnership \_\_\_\_\_  
☒ Corporation-State **Delaware**  
☐ Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No  
(Designations must be a separate document from assignment)  
Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

**76/070,889**

B. Trademark Registration No.(s)

**2,484,869**

Additional number(s) attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Bernard R. Gans, Esq.**

Internal Address: \_\_\_\_\_

Street Address: **Jeffer, Mangels, Butler & Marmaro LLP,**

**1900 Avenue of the Stars, Seventh Floor**

City: **Los Angeles** State: **CA** Zip: **90067**

6. Total number of applications and registrations involved: \_\_\_\_\_

**2**

7. Total fee (37 CFR 3.41).....\$**65.00**

- ☒ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number:

**10-0440**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Bernard R. Gans, Esq.**

Name of Person Signing

*Bernard R. Gans*

Signature

**10/1/02**

Date

Total number of pages including cover sheet, attachments, and document: **6**

10/03/2002 DDYRNE 00000102 76070889

01 FC:481  
02 FC:482

40.00 OP  
25.00 OP

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patent & Trademarks, Box Assignments  
Washington, D.C. 20231

CleanWaterTM.pdf

## ASSIGNMENT

THIS ASSIGNMENT made the 30 day of January Two Thousand and Two, between ZPM INC. a Nevada Corporation (hereinafter called the Assignor) and CLAEAN WATER TECHNOLOGY INC. a Delaware Corporation, (hereinafter called the Assignee).

WHEREAS the Assignor is in possession of a number of Patents (Exhibit A), and Patent applications as more fully explained in the Exhibit attached generally described as Method and Apparatus for Delivering Electromagnetic Energy into a Solution and Methods for Separating Wet or Dry Particles in a Solution.

WHEREAS the Assignee has been the Licensee of the Assignor for the use of these patents over the past 3 years. The annual cost to the Assignee has been in excess of \$1,000,000 per year and is in financial difficulty as a consequence of these fees. As a result has been unable to raise new capital to continue business because of the burden of these fees. Investors have indicated a willingness to support the Assignee provided it is able to limit its exposure of these fees. The Assignee desires to purchase the subject patents and terminate the license agreement by agreeing to pay \$1,189,000 to the Assignor.

NOW THEREFORE the Assignor agrees to sell, assign and transfer to the Assignee the patents and other intellectual property as set forth in Exhibit A attached and cancel the existing license agreement for the sum of \$1,189,000.

IN WITNESS WHEREOF: the parties have executed this Assignment on the 30<sup>th</sup> day of January, 2002.

ZPM INC. a Nevada Corporation

Marlow Bane Chairman

CLEAN WATER TECHNOLOGY INC. a Delaware Corporation

Marlow Bane CEO

# EXHIBIT A

PENDING U.S. PROVISIONAL PATENT APPLICATIONS		
ZPM-38811	SYSTEM AND METHOD TO RECYCLE FEEDLOT WASTE	Serial No. 60/303,328 Filed: 7/5/01
PENDING U.S. UTILITY PATENT APPLICATIONS		
ZPM-38553	SYSTEM AND METHOD TO TREAT FEEDLOT WASTE	Serial No. 09/765,536 Filed: 1/19/01
ZPM-38554	SYSTEM AND METHOD TO IMPROVE FLOTATION SYSTEM	Serial No. 09/759,669 Filed: 1/12/00
ZPM-38576	COMBINED HYDROCYCLONE AND FILTER SYSTEM FOR TREATMENT OF LIQUIDS (CIP of ZPM-38579)	Serial No. 09/417,284 Filed: 10/13/99
ZPM-38580	FLUID CONDITIONING SYSTEM AND METHOD (CIP of ZPM-38579)	Serial No. 09/513,053 Filed: 2/24/00
ZPM-38610	LIQUID CYCLONE PHOTON INTERFACE	Serial No. 09/859,172 Filed: 5/18/01
ZPM-38631	DRUM PUMP AND MIXER STAND	Serial No. 09/667,989 Filed: 9/22/00
ZPM-38678	FLUID CONDITIONING SYSTEM AND METHOD (DIV of ZPM-38678)	Serial No. 09/843,838 Filed: 8/21/00
ZPM-39633	SYSTEM FOR DELIVERING ELECTROMAGNETIC ENERGY INTO A SOLUTION	Serial No. 09/797,393 Filed: 3/1/01

FOREIGN PATENTS		
ZPM-38557 SOUTH KOREA	APPARATUS AND METHOD FOR SEPARATION OF WET PARTICLES	Patent No. 239935 Issued: 10/23/99
ZPM-38561 AUSTRALIA	METHOD AND APPARATUS FOR DELIVERING ELECTROMAGNETIC ENERGY INTO A SOLUTION	Patent No. 713478 Issued: 3/16/00
ZPM-38562 CANADA	METHOD AND APPARATUS FOR DELIVERING ELECTROMAGNETIC ENERGY INTO A SOLUTION	Patent No. 2,211,184 Filed: 1/22/96 Grant Date: 6/17/01
ZPM-38583 MEXICO	FLUID CONDITIONING SYSTEM AND METHOD Based on 38585	Patent No. 462 Grant Date: 1/2/00 Filed: 7/14/98
ZPM-38587 NEW ZEALAND	METHOD AND APPARATUS FOR DELIVERING ELECTROMAGNETIC ENERGY INTO A SOLUTION	Patent No. 302889 Grant Date: 6/8/00 Filed: 1/22/96
ZPM-38568 NORTH KOREA	METHOD AND APPARATUS FOR DELIVERING ELECTROMAGNETIC ENERGY INTO A SOLUTION	Patent No. 31745 Issued: 1/22/99
ZPM-38569 SO. AFRICA	METHOD AND APPARATUS FOR DELIVERING ELECTROMAGNETIC ENERGY INTO A SOLUTION	Patent No. 96/0592 Issued: 10/30/98
ZPM-38570 SOUTH KOREA	METHOD AND APPARATUS FOR DELIVERING ELECTROMAGNETIC ENERGY INTO A SOLUTION	Patent No. 219956 Issued: 6/17/99
PENDING U.S. TRADEMARK APPLICATIONS		
ZPM-38711	INTELLIMODULE (Intent-to-Use)	Serial No. 76/070,889 Filed: 6/15/00
U.S. TRADEMARK REGISTRATIONS		
ZPM-38628	CLEAN WATER TECHNOLOGY	Registration No. 2,484,869 Registration Date: 9/24/01

PENDING PCT APPLICATIONS		
ZPM-38571	<b>METHOD AND APPARATUS FOR DELIVERING ELECTROMAGNETIC ENERGY INTO A SOLUTION</b> (Based on ZPM- 38573)	Serial No. PCT/US96/01122 Filed: 1/22/96
ZPM-38577	<b>COMBINED HYDROCYCLONE AND FILTER SYSTEM FOR TREATMENT OF LIQUIDS</b> (Based on 60/104,705, CIP of ZPM-38578)	Serial No. PCT/US99/24113 Filed: 10/13/99
ZPM-38585	<b>FLUID CONDITIONING SYSTEM AND METHOD</b> (Based on 38578)	Serial No. PCT/US98/14530 Filed: 7/14/98
ZPM-39440	<b>SYSTEM AND METHOD TO IMPROVE FLOTATION SYSTEMS</b> (Based on 38554)	Serial No. PCT/US00/00989 Filed: 1/12/01
ZPM-39813	<b>METHOD FOR TREATING LIQUID BY CREATING A LIQUID CYCLONE PHOTON INTERFACE</b>	Serial No: PCT/US01/17015 Filed: 5/25/01
U.S. PATENTS		
ZPM-38558	<b>APPARATUS AND METHOD FOR SEPARATION OF WET PARTICLES</b>	Patent No. 5,192,423 Issued: 3/9/93 Serial No. 817,298 Filed: 1/6/92
ZPM-38559	<b>APPARATUS AND METHOD FOR SEPARATION OF WET AND DRY PARTICLES</b>	Patent No. 5,224,604 Issued: 7/8/93 Serial No. 584,350 Filed: 10/9/90
ZPM-38573	<b>APPARATUS FOR DELIVERING ELECTROMAGNETIC ENERGY INTO A SOLUTION</b>	Patent No. 5,608,723 Issued: 2/25/97 Serial No. 378,462 Filed: 1/25/95
ZPM-38574	<b>MULTI-MODAL METHOD AND APPARATUS FOR TREATING A SOLUTION</b>	Patent No. 6,193,878 Issue Date: 2/27/01 Serial No. 08/979,405 Filed: 11/26/97
ZPM-38578	<b>FLUID CONDITIONING SYSTEM AND METHOD</b>	Patent No. 6,108,711 Issued: 8/22/00 Serial No. 09/096,254 Filed: 6/11/98
ZPM-38579	<b>FLUID CONDITIONING SYSTEM AND METHOD</b> (CIP of ZPM-38578)	Patent No. 6,171,488 Issued: 1/9/01 Serial No. 243,653 Filed: 2/2/99

**PENDING FOREIGN UTILITY PATENT APPLICATIONS**

<b>ZPM-38555</b> CANADA	<b>APPARATUS AND METHOD FOR SEPARATION OF WET PARTICLES</b>	Serial No. 2,126,311 Filed: 12/10/92
<b>ZPM-38563</b> CHINA	<b>METHOD AND APPARATUS FOR DELIVERING ELECTROMAGNETIC ENERGY INTO A SOLUTION</b>	Serial No. 96192011.4 Filed: 1/22/96
<b>ZPM-38564</b> EUROPE	<b>METHOD AND APPARATUS FOR DELIVERING ELECTROMAGNETIC ENERGY INTO A SOLUTION</b>	Serial No. 96905243.0 Filed: 1/22/96
<b>ZPM-38565</b> JAPAN	<b>METHOD AND APPARATUS FOR DELIVERING ELECTROMAGNETIC ENERGY INTO A SOLUTION</b>	Serial No. 96-523031 Filed: 1/22/96
<b>ZPM-38566</b> MEXICO	<b>METHOD AND APPARATUS FOR DELIVERING ELECTROMAGNETIC ENERGY INTO A SOLUTION</b>	Serial No. 975685 Filed: 7/25/97
<b>ZPM-38581</b> CANADA	<b>FLUID CONDITIONING SYSTEM AND METHOD</b> Based on 38585	Serial No. 2,295,521 Filed: 7/14/98
<b>ZPM-38582</b> JAPAN	<b>FLUID CONDITIONING SYSTEM AND METHOD</b> Based on 38585	Serial No. 2000-502877 Filed: 7/14/98
<b>ZPM-38584</b> EUROPE	<b>FLUID CONDITIONING SYSTEM AND METHOD</b> Based on 38585	Serial No. 98934514.5 Filed: 7/14/98
<b>ZPM-38734</b> EUROPE	<b>COMBINED HYDROCYCLONE AND FILTER SYSTEM FOR TREATMENT OF LIQUIDS</b>	Serial No 99 952 007.5 Filed: 10/13/99 Submitted: 6/6/00
<b>ZPM-38735</b> CANADA	<b>COMBINED HYDROCYCLONE AND FILTER SYSTEM FOR TREATMENT OF LIQUIDS</b>	Serial No. 2,313,696 Filed: 6/9/00
<b>ZPM-38736</b> MEXICO	<b>COMBINED HYDROCYCLONE AND FILTER SYSTEM FOR TREATMENT OF LIQUIDS</b>	Serial No. 00/05814 Filed: 10/13/99 Submitted: 6/13/00
<b>ZPM-39171</b> AUSTRALIA	<b>METHOD AND APPARATUS FOR DELIVERING ELECTROMAGNETIC ENERGY INTO A SOLUTION</b>	Application No. 10035/00 Filed: 1/11/00 Divisional of 49053/96 (Patent No. 713478)

**TRADEMARK**

**RECORDED: 10/01/2002**

**REEL: 002593 FRAME: 0139**