


RECORDATION FORM COVER SHEET TRADEMARKS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office
Form PTO-1594 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005) Tab settings ⇌ ⇌ ⇌		
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.		
1. Name of conveying party(ies): CIBC Oppenheimer Corp. <input type="checkbox"/> Individual(s) <input type="checkbox"/> Association <input type="checkbox"/> General Partnership <input type="checkbox"/> Limited Partnership <input checked="" type="checkbox"/> Corporation-State <u>Delaware</u> <input type="checkbox"/> Other _____ Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies) Name: <u>CIBC World Markets Corp.</u> Internal Address: _____ Street Address: <u>425 Lexington Ave.</u> City: <u>New York</u> State: <u>NY</u> Zip: <u>10017</u> <input type="checkbox"/> Individual(s) citizenship _____ <input type="checkbox"/> Association _____ <input type="checkbox"/> General Partnership _____ <input type="checkbox"/> Limited Partnership _____ <input checked="" type="checkbox"/> Corporation-State <u>Delaware</u> <input type="checkbox"/> Other _____ <small>If assignee is not domiciled in the United States, a domestic representative designation is attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (Designations must be a separate document from assignment) Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</small>	
3. Nature of conveyance: <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input checked="" type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>May 3, 1999</u>		
4. Application number(s) or registration number(s): A. Trademark Application No.(s) <u>75/709170</u> B. Trademark Registration No.(s) <u>1390232 1311435</u> <u>1239737 1111059 2149667 2152821 1589311</u> Additional number(s) attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <u>2282507 2145809 1750380</u>		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Andrew Goldner, Esq.</u> Internal Address: <u>Skadden, Arps, Slate, Meagher & Flom LLP</u> Street Address: <u>Four Times Square</u> City: <u>New York</u> State: <u>NY</u> Zip: <u>10036-6522</u>	6. Total number of applications and registrations involved: 11 7. Total fee (37 CFR 3.41) \$ <u>290.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>19-2385 (Our Ref: 074860/1)</u>	
DO NOT USE THIS SPACE		
9. Signature. <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 30%;"> <u>Andrew Goldner</u> Name of Person Signing </div> <div style="width: 30%; text-align: center;">  Signature </div> <div style="width: 30%; text-align: right;"> <u>January 29, 2003</u> Date </div> </div> <div style="text-align: right; margin-top: 5px;"> 3 </div>		

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patent & Trademarks, Box Assignments
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State of Delaware
Office of the Secretary of State PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "CIBC OPPENHEIMER CORP.", CHANGING ITS NAME FROM "CIBC OPPENHEIMER CORP." TO "CIBC WORLD MARKETS CORP.", FILED IN THIS OFFICE ON THE TWENTY-FIFTH DAY OF FEBRUARY, A.D. 1999, AT 9 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE THIRD DAY OF MAY, A.D. 1999.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Edward J. Freel
Edward J. Freel, Secretary of State

AUTHENTICATION: 9610330

DATE: 03-04-99

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STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 09:00 AM 02/24/1999
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**CERTIFICATE OF AMENDMENT OF CERTIFICATE OF INCORPORATION
OF
CIBC OPPENHEIMER CORP.**

It is hereby certified that:

1. The name of the corporation (hereinafter called the "corporation") is CIBC Oppenheimer Corp.
2. The certificate of incorporation of the corporation is hereby amended by striking out Article First thereof and by submitting in lieu of said Article the following new Article:

"FIRST: The name of the Corporation is CIBC World Markets Corp."
3. The amendment of the certificate of incorporation herein certified has been duly adopted and written consent has been given in accordance with the provisions of Sections 228 and 242 of the General Corporation Law of the State of Delaware.
4. The effective date of the amendment herein certified shall be May 3, 1999.

Signed on February 24, 1999.


Patricia A. Bourdeau
Secretary