

11-08-2002

Form PTO-1594 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005) Tab settings

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U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Kalyn Siebert Incorporated
Individual(s) Association General Partnership Limited Partnership Corporation-State Texas Other
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies) Name: Delaware Capital Formation, Inc. Internal Address: 1403 Foulk Road Street Address: Suite 102 City: Wilmington State: DE Zip: 19803-2755
Individual(s) citizenship Association General Partnership Limited Partnership Corporation-State Delaware Other
If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No (Designations must be a separate document from assignment) Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance: Assignment Merger Security Agreement Change of Name Other
Execution Date: September 27, 2002

4. Application number(s) or registration number(s): A. Trademark Application No.(s) B. Trademark Registration No.(s) 1,684,375
Additional number(s) attached Yes No

6. Total number of applications and registrations involved: 1

5. Name and address of party to whom correspondence concerning document should be mailed: Name: Roberta L. Christensen Internal Address: Koley Jessen P.C. A Limited Liability Organization
Street Address: One Pacific Place 1125 S. 103rd St., Ste. 800 City: Omaha State: NE Zip: 68124

7. Total fee (37 CFR 3.41): \$ 40.00 Enclosed Authorized to be charged to deposit account

8. Deposit account number: 50-0771 (Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Roberta L. Christensen Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments Washington, D.C. 20231

TRADEMARK REEL: 002613 FRAME: 0286

11/08/2002 DBYRME 00000002 1684375 40.00 01 FC:0521

ASSIGNMENT OF TRADEMARK

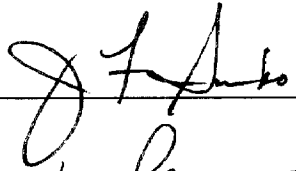
WHEREAS, KALYN SIEBERT INCORPORATED, having a principal place of business at 1505 W. Main Street, P. O. Box 1078, Gatesville, Texas 76528, a Texas corporation ("ASSIGNOR"), has adopted, used and is using the mark "SIEBERT AND DESIGN" (hereinafter referred to as the "Mark"), which is registered in the United States Patent and Trademark Office as Registration No. 1,684,375 dated April 28, 1992; and

WHEREAS, DELAWARE CAPITAL FORMATION, INC., a Delaware corporation, having its principal place of business at 1403 Foulk Road, Suite 102, Wilmington, Delaware 19803-2755, ("ASSIGNEE"), is desirous of acquiring said Mark, together with the goodwill of the business pertaining to said Mark.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, said ASSIGNOR does hereby assign unto the said ASSIGNEE all right, title, and interest in and to the Mark, together with the goodwill of the business pertaining thereto and in the Registration No. 1,684,375, the same to be held and enjoyed by ASSIGNEE, its successors and assigns, together with the right to sue for and collect for all claims for damages by reason of past infringement of said Mark and the Registration thereof.


KALYN SIEBERT INCORPORATED

Effective Date September 27, 2002

By 
Title VICE PRESIDENT

State of TENNESSEE)
County of HAMILTON) ss.

Before me personally appeared said J.F. SANKO and acknowledged the foregoing instrument to be his free act and deed this 27th day of September, 2002.


Notary Public

My Commission Expires May 6, 2006

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