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PTO-1594
1-31-92

RECORDATION FORM COVER SHEET
TRADEMARKS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Director of the United States Patent and Trademark Office. Please record the attached original documents or copy thereof.
P.O. Box 1450
Alexandria, VA 22313-1450

1. Name of conveying party(ies):
DLJ Long Term Investment Corporation

Individual(s) Association
 General Partnership Limited Partnership
 Corporation-Delaware
 Other

Additional name(s) of conveying party(ies) attached?
 Yes No

2. Name and address of receiving party(ies):
Name: Pershing Investments LLC

Internal Address c/o The Bank of New York (Delaware)

Street Address White Clay Center, Route 273

City Newark State DE ZIP 19711

Individual(s) citizenship
 Association
 General Partnership
 Limited Partnership
 Corporation-State
 Other Limited Liability Company

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other

Execution Date: May 1, 2003

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designation must be a separate document from Assignment)
Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration number(s):
76/506837
NETEXCHANGE

A. Trademark Application No.(s) PRO ALERTS

B. Trademark registration No.(s) _____

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, NY 10036

Attn: Ilene B. Tannen, Esq.

File No.: 11380-002-999

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 3.41).....\$ 65
Please charge to the deposit account listed in Section 8.

8. Deposit account number:
16-1150

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ilene B. Tannen

Name of Person Signing

Reg. No.

Ilene B. Tannen
Signature

5/15/03

Date

Total number of pages comprising cover sheet:

3

**Mail documents to be recorded with required cover sheet information to:
Director of the United States Patent and Trademark Office
P.O. Box 1450, Alexandria, VA 22313-1450**

SCHEDULE A

| MARK | APPL. NO. | APPL. DATE |
|-------------------------|------------------|-------------------|
| PERSHINGLINK and Design | 76/506836 | 4/16/03 |

ASSIGNMENT OF TRADEMARKS

WHEREAS, DLJ LONG TERM INVESTMENT CORPORATION ("Assignor"), a corporation organized and existing under the laws of the State of Delaware, having its principal place of business at 227 West Monroe Street, Suite 4320, Chicago, Illinois, USA 60606, is the owner of certain trademarks and trademark applications set forth in Schedule A, Schedule B and Schedule C attached hereto (the "Trademarks");

WHEREAS, PERSHING INVESTMENTS LLC ("Assignee"), a corporation organized and existing under the laws of the State of Delaware, having its principal place of business at c/o The Bank of New York (Delaware), White Clay Center, Route 273, Newark, Delaware USA 19711, desires to acquire all right, title and interest in and to the Trademarks, including without limitation, the right to bring actions and to sue for acts of infringement of the Trademarks occurring prior to the date of this Assignment;

WHEREAS, Assignee will have the sole and exclusive responsibility to effect, record and perfect this assignment of the Trademarks (collectively "Perfect" or "Perfecting" or "Perfection"), provided that, if requested by Assignee, Assignor will execute such other and further instruments and documents prepared and presented to Assignor by Assignee as are reasonably necessary to Perfect this assignment of the Trademarks;

WHEREAS, Assignee and Assignor agree that all costs and expenses, including fees and disbursements of counsel, incurred in connection with Perfection shall be paid by the party incurring such costs and expenses;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for valuable and legally sufficient consideration, the receipt and legal sufficiency of which are hereby acknowledged by each of the parties, the Assignor has sold, assigned, and transferred, and by these presents does sell, assign, and transfer unto the Assignee, the Assignor's entire right, title and interest in and to the Trademarks, including without limitation, the right to bring actions and to sue for acts of infringement of the Trademarks occurring prior to the Effective Date, together with that part of the goodwill of the Assignor's business connected with and symbolized by such Trademarks effective as of the Effective Date.

IN TESTIMONY WHEREOF, the Assignor has caused this Assignment of Trademarks to be executed by an authorized representative and an authorized representative of Assignee hereby accepts said Assignment of Trademarks, all effective on and after the Effective Date set forth below.

"Effective Date" of this Trademark Assignment Agreement: _____

DLJ LONG TERM INVESTMENT CORPORATION

By: _____

Name: _____

Title: _____

Date: _____

PERSHING INVESTMENTS LLC

By: ~~Thomas J. Mastro~~ _____

Name: Thomas J. Mastro

Title: Vice President

Date: May 1, 2003

IN TESTIMONY WHEREOF, the Assignor has caused this Assignment of Trademarks to be executed by an authorized representative and an authorized representative of Assignee hereby accepts said Assignment of Trademarks, all effective on and after the Effective Date set forth below.

“Effective Date” of this Trademark Assignment Agreement: _____

DLJ LONG TERM INVESTMENT CORPORATION

By:

Name: WILLARD R FROEDLICH

Title: PRESIDENT

Date: May 1, 2003

PERSHING INVESTMENTS LLC

By: _____

Name: _____

Title: _____

Date: _____

STATE OF Illinois
COUNTY OF Cook

CERTIFICATE OF ACKNOWLEDGMENT

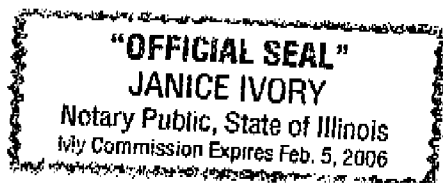
I, Janice Ivory, a Notary Public, in and for the County and State aforesaid, do hereby certify that Willard R. Froehlich, known to me to be the President of DLJ LONG TERM INVESTMENT CORPORATION, appeared before me this day, in person, and acknowledged that he signed, sealed and delivered the foregoing instrument on behalf of DLJ LONG TERM INVESTMENT CORPORATION as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF I have hereunto set my hand and Notarial Seal, this 28th day of April, 2003.

Janice Ivory
Notary Public

My Commission Expires:

2-5-06



STATE OF New York

COUNTY OF New York

CERTIFICATE OF ACKNOWLEDGMENT

I, Catherine Yoon, a Notary Public, in and for the County and State aforesaid, do hereby certify that Thomas J. Mastro, known to me to be the Vice President of PERSHING INVESTMENTS LLC, appeared before me this day, in person, and acknowledged that he signed, sealed and delivered the foregoing instrument on behalf of PERSHING INVESTMENTS, LLC as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF I have hereunto set my hand and Notarial Seal, this 1st day of May, 2003.

Catherine Yoon
Notary Public

My Commission Expires:

Oct. 30, 2006

CATHERINE YOON
Notary Public, State of New York
No. 01YO6050017
Qualified in Queens County
Certificate Filed in New York County
Commission Expires October 30, 2006

SCHEDULE A

Trademarks and Service Marks: Registered and Applied For

| Trademark Name | Country | Status | Application Number | Application Date | Registration Number | Registration Date | Class(es) |
|--------------------|-----------|------------|--------------------|------------------|---------------------|-------------------|-----------|
| INAUTIX | Argentina | Registered | 2221229 | 5/27/1999 | 1803293 | 8/29/2000 | 9 |
| INAUTIX | Argentina | Registered | 2221230 | 5/27/1999 | 1803290 | 8/29/2000 | 36 |
| NETEXCHANGE CLIENT | Argentina | Published | 2346616 | 7/24/2001 | | | 9 |
| NETEXCHANGE CLIENT | Argentina | Published | 2346617 | 7/24/2001 | | | 36 |
| NETEXCHANGE PRO | Argentina | Published | 2346614 | 7/24/2001 | | | 9 |
| NETEXCHANGE PRO | Argentina | Published | 2346615 | 7/24/2001 | | | 36 |
| PERSHING | Argentina | Published | 2358381 | 11/7/2001 | | | 9 |
| PERSHING | Argentina | Registered | 2125227 | 1/12/1998 | 1727495 | 3/26/1999 | 16 |
| PERSHING | Argentina | Registered | 2125228 | 1/12/1998 | 1738823 | 6/3/1999 | 36 |
| INAUTIX | Australia | Registered | 795115 | 5/25/1999 | 795115 | 3/31/2000 | 36,9 |
| NETEXCHANGE | Australia | Pending | 905828 | 3/8/2002 | | | 36 |
| NETEXCHANGE CLIENT | Australia | Pending | 905830 | 3/8/2002 | | | 36 |
| NETEXCHANGE PRO | Australia | Pending | 905819 | 3/8/2002 | | | 9,36 |
| PERSHING | Australia | Registered | 763160 | 5/26/1998 | 763160 | 3/16/1999 | 16,36 |
| PERSHING | Australia | Registered | 894989 | 11/14/2001 | 763160 | 3/19/1999 | 9 |
| STOCKSCAN | Australia | Registered | 797691 | 6/18/1999 | 797691 | 4/12/2002 | 42,36,9 |
| NETEXCHANGE CLIENT | Bahamas | Dispatched | | | | | 9 |
| NETEXCHANGE CLIENT | Bahamas | Dispatched | | | | | 16 |
| NETEXCHANGE PRO | Bahamas | Dispatched | | | | | 9 |
| NETEXCHANGE PRO | Bahamas | Dispatched | | | | | 16 |
| PERSHING | Bahamas | Pending | 25343 | 10/17/2002 | | | 9 |
| PERSHING | Bahamas | Pending | 25344 | 10/17/2002 | | | 16 |
| INAUTIX | Benelux | Registered | 939185 | 5/31/1999 | 656377 | 4/3/2000 | 9,36 |
| PERSHING | Benelux | Registered | 907859 | 1/8/1998 | 628296 | 1/8/1998 | 36,16 |
| INAUTIX | Bermuda | Registered | 30793 | 6/25/1999 | 30793 | 11/28/2001 | 9 |
| INAUTIX | Bermuda | Registered | 30794 | 6/25/1999 | 30794 | 11/28/2001 | 36 |
| NETEXCHANGE CLIENT | Bermuda | Published | 33861 | 1/17/2002 | | | 9 |
| NETEXCHANGE CLIENT | Bermuda | Published | 33862 | 1/17/2002 | | | 36 |
| NETEXCHANGE CLIENT | Bermuda | Published | 34406 | 7/16/2002 | | | 38 |
| NETEXCHANGE PRO | Bermuda | Published | 33859 | 1/17/2002 | | | 9 |
| NETEXCHANGE PRO | Bermuda | Published | 33860 | 1/17/2002 | | | 36 |
| NETEXCHANGE PRO | Bermuda | Published | 34407 | 7/16/2002 | | | 38 |
| PERSHING | Bermuda | Published | 33863 | 1/17/2002 | | | 9 |

SCHEDULE A

| Trademark Name | Country | Status | Application Number | Application Date | Registration Number | Registration Date | Class(es) |
|---------------------|----------------|------------|--------------------|------------------|---------------------|-------------------|-----------|
| PERSHING | Bermuda | Published | 34001 | 2/18/2002 | | | 36 |
| PERSHING | Bermuda | Registered | 29632 | 4/17/1998 | 29632 | 9/17/1999 | 16 |
| PERSHING | Bermuda | Registered | 29633 | 4/17/1998 | 29633 | 10/27/1999 | 36 |
| INAUTIX | Brazil | Pending | 821688782 | 6/4/1999 | | | 9 |
| INAUTIX | Brazil | Published | 821688804 | 6/4/1999 | | | 36 |
| NETEXCHANGE CLIENT | Brazil | Published | 824352149 | 2/5/2002 | | | 9 |
| NETEXCHANGE CLIENT | Brazil | Published | 824352157 | 2/5/2002 | | | 36 |
| NETEXCHANGE PRO | Brazil | Published | 824352165 | 2/5/2002 | | | 9 |
| NETEXCHANGE PRO | Brazil | Published | 824352173 | 2/5/2002 | | | 36 |
| PERSHING | Brazil | Published | 824140931 | 11/6/2001 | | | 9 |
| PERSHING | Brazil | Registered | 820581127 | 2/16/1998 | 820581127 | 7/16/2002 | 16 |
| PERSHING | Brazil | Registered | 820581143 | 2/16/1998 | 820581143 | 12/21/1999 | 36 |
| PERSHING | Brazil | Registered | 820581151 | 2/16/1998 | 820581151 | 12/21/1999 | 40 |
| INAUTIX (2ND APPLN) | Canada | Pending | 1119006 | 10/22/2001 | | | |
| NETEXCHANGE | Canada | Pending | 1128929 | 1/23/2002 | | | 36 |
| NETEXCHANGE CLIENT | Canada | Pending | 1128930 | 1/23/2002 | | | 36 |
| NETEXCHANGE PRO | Canada | Pending | 1128928 | 1/23/2002 | | | 36 |
| PERSHING | Canada | Registered | 890026 | 9/22/1998 | 551485 | 9/25/2001 | |
| INAUTIX | Cayman Islands | Registered | 2198982 | 9/15/2000 | 2198982 | 10/19/2000 | 9,36 |
| PERSHING | Cayman Islands | Registered | 2154491 | 12/24/1997 | 2154491 | 12/24/1997 | 16,36 |
| NETEXCHANGE CLIENT | Chile | Registered | 533.143 | 6/28/2001 | 621507 | 2/7/2002 | 9 |
| NETEXCHANGE CLIENT | Chile | Registered | 533.141 | 6/28/2001 | 621505 | 2/7/2002 | 36 |
| NETEXCHANGE PRO | Chile | Registered | 533.142 | 6/28/2001 | 621506 | 2/7/2002 | 9 |
| NETEXCHANGE PRO | Chile | Registered | 533.14 | 6/28/2001 | 621504 | 2/7/2002 | 36 |
| PERSHING | Chile | Registered | 549050 | 11/9/2001 | 631979 | 6/6/2002 | 9 |
| PERSHING | Chile | Registered | 549049 | 11/9/2001 | 631980 | 6/6/2002 | 16 |
| PERSHING | Chile | Registered | 552688 | 12/14/2001 | | | 36,38 |
| INAUTIX | China | Registered | 9900058635 | 5/27/1999 | 1505737 | 1/14/2001 | 9 |
| INAUTIX | China | Registered | 9900058636 | 5/27/1999 | 1436892 | 8/21/2000 | 36 |
| PERSHING | China | Pending | 3041982 | 12/17/2001 | | | 9 |
| PERSHING | China | Pending | 3113480 | 3/14/2002 | | | 38 |
| PERSHING | China | Pending | 3113479 | 3/14/2002 | | | 42 |
| PERSHING | China | Registered | 9800012257 | 2/16/1998 | 1272968 | 5/14/1999 | 16 |
| PERSHING | China | Registered | 9800012258 | 2/16/1998 | 1272470 | 5/7/1999 | 36 |
| INAUTIX | Cyprus | Pending | 53219 | 6/2/1999 | | | 9 |
| INAUTIX | Cyprus | Pending | 53220 | 6/2/1999 | | | 36 |

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| Trademark Name | Country | Status | Application Number | Application Date | Registration Number | Registration Date | Class(es) |
|------------------------------------|--------------------|------------|--------------------|------------------|---------------------|-------------------|-----------|
| PERSHING | Cyprus | Pending | 51163 | 9/10/1998 | | | 16 |
| PERSHING | Cyprus | Pending | 51162 | 9/10/1998 | | | 36 |
| INAUTIX | European Community | Registered | 1193796 | 6/3/1999 | 1193796 | 1/22/2001 | 9,36 |
| NETEXCHANGE CLIENT | European Community | Pending | 2519908 | 12/28/2001 | | | 9,36,38 |
| NETEXCHANGE PRO | European Community | Published | 2519924 | 12/28/2001 | | | 9,36,38 |
| PERSHING | European Community | Published | 2458339 | 11/12/2001 | | | 9,36,38 |
| PERSHING | European Community | Registered | 716357 | 1/2/1998 | 716357 | 3/23/1999 | 16,36 |
| PERSHING NEXUS | European Community | Published | 2563609 | 2/5/2002 | | | 38,9,36 |
| PERSHING NEXUS AND DESIGN | European Community | Pending | 2563088 | 2/5/2002 | | | 9,38 |
| THE POWER OF PERSHING | European Community | Published | 2362853 | 9/4/2001 | | | 16,36 |
| INAUTIX | France | Registered | 99/793872 | 5/26/1999 | 99/793872 | 11/5/1999 | 9,36 |
| PERSHING | France | Registered | 98/711.978 | 1/7/1998 | 98.711.978 | 1/7/1998 | 16,36 |
| RESEARCH DESIGNED WITH YOU IN MIND | France | Registered | 00/3007216 | 2/14/2000 | 00/3007216 | 6/21/2000 | 16,36,41 |
| INAUTIX | Germany | Registered | 39930708.7/3 | 5/28/1999 | 39930708 | 7/6/1999 | 9,36 |
| PERSHING | Germany | Registered | 39850297.8 | 9/2/1998 | 39850297 | 12/14/1998 | 9,36,16 |
| RESEARCH DESIGNED WITH YOU IN MIND | Germany | Registered | 300112033/36 | 2/15/2000 | 30011203 | 3/28/2000 | 16,36,41 |
| NETEXCHANGE CLIENT | Guatemala | Registered | 5514-01 | 8/2/2001 | 116723 | 4/9/2002 | 9 |
| NETEXCHANGE CLIENT | Guatemala | Registered | 5515-01 | 8/2/2001 | 116757 | 4/10/2002 | 36 |
| NETEXCHANGE PRO | Guatemala | Registered | 5517-01 | 8/2/2001 | 116724 | 4/9/2002 | 9 |
| NETEXCHANGE PRO | Guatemala | Registered | 5516-01 | 8/2/2001 | 116722 | 4/9/2002 | 36 |
| PERSHING | Guatemala | Registered | 2001-08384 | 11/21/2001 | 122475 | 2/25/2003 | 16 |
| PERSHING | Guatemala | Published | 2001-09074 | 12/17/2001 | | | 38 |
| PERSHING | Guatemala | Registered | 2001-09076 | 12/17/2001 | 118623 | 7/8/2002 | 36 |
| PERSHING | Guatemala | Registered | 18383 | 11/21/2001 | 118635 | 7/7/2002 | 9 |
| INAUTIX | Hong Kong | Registered | 06736/1999 | 5/27/1999 | 1159/2000 | 1/19/2000 | 9 |
| INAUTIX | Hong Kong | Registered | 06737/1999 | 5/27/1999 | 1160/2000 | 1/19/2000 | 36 |
| NETEXCHANGE CLIENT | Hong Kong | Pending | 10266/2001 | 6/27/2001 | | | 9 |
| NETEXCHANGE CLIENT | Hong Kong | Pending | 10265/2001 | 6/27/2001 | | | 36 |
| NETEXCHANGE PRO | Hong Kong | Pending | 10263/2001 | 6/27/2001 | | | 9 |

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| Trademark Name | Country | Status | Application Number | Application Date | Registration Number | Registration Date | Class(es) |
|------------------------|--------------|------------|--------------------|------------------|---------------------|-------------------|-----------|
| NETEXCHANGE PRO | Hong Kong | Pending | 10264/2001 | 6/27/2001 | | | 36 |
| PERSHING | Hong Kong | Registered | 98/00614 | 1/19/1998 | 1555/99 | 8/21/1997 | 16 |
| PERSHING | Hong Kong | Registered | 98/00613 | 1/19/1998 | 01554/1999 | 8/21/1997 | 36 |
| PERSHING | Hong Kong | Registered | 20520/2001 | 12/20/2001 | 07700/2002 | 6/19/2002 | 36 |
| PERSHING | Hong Kong | Registered | 18031/2001 | 11/5/2001 | 9943 | 8/1/2002 | 9 |
| INAUTIX | India | Dispatched | | 9/5/2001 | | | 36 |
| INAUTIX | India | Pending | 860457 | 6/10/1999 | | | 9 |
| INAUTIX | India | Pending | 862976 | 6/28/1999 | | | 16 |
| PERSHING | India | Dispatched | | 9/5/2001 | | | 36 |
| PERSHING | India | Dispatched | | | | | 42 |
| PERSHING | India | Pending | 787304 | 1/12/1998 | | | 16 |
| PERSHING | India | Pending | 1071049 | 1/1/2002 | | | 9 |
| INAUTIX | Israel | Registered | 128158 | 5/31/1999 | 128158 | 7/6/2000 | 9 |
| INAUTIX | Israel | Registered | 128159 | 5/31/1999 | 128159 | 7/6/2000 | 36 |
| NETEXCHANGE CLIENT | Israel | Registered | 150348 | 6/27/2001 | 150348 | 12/3/2002 | 9 |
| NETEXCHANGE CLIENT | Israel | Registered | 150349 | 6/27/2001 | 150349 | 12/3/2002 | 36 |
| NETEXCHANGE PRO | Israel | Registered | 150346 | 6/27/2001 | 150346 | 12/3/2002 | 9 |
| NETEXCHANGE PRO | Israel | Registered | 150347 | 6/27/2001 | 150347 | 12/3/2002 | 36 |
| PERSHING | Israel | Published | 154253 | 12/27/2001 | | | 36 |
| PERSHING | Israel | Registered | 127474 | 4/27/1999 | 127474 | 5/8/2000 | 16 |
| PERSHING | Israel | Registered | 127475 | 4/27/1999 | 127475 | 5/8/2000 | 36 |
| PERSHING | Israel | Registered | 153304 | 11/8/2001 | 153304 | 1/2/2003 | 9 |
| INAUTIX | Japan | Registered | 49235/1999 | 6/3/1999 | 4442269 | 12/22/2000 | 9,36 |
| PERSHING | Japan | Pending | 40569/2002 | 5/17/2002 | | | 36 |
| PERSHING | Japan | Registered | 11015/1998 | 2/12/1998 | 4266568 | 4/23/1999 | 16 |
| PERSHING | Japan | Registered | 11016/1998 | 2/12/1998 | 4316399 | 9/17/1999 | 36 |
| PERSHING | Japan | Registered | 102247/2001 | 11/14/2001 | 4609828 | 10/4/2002 | 9 |
| PERSHING JAPAN LIMITED | Japan | Registered | 91425/2001 | 10/11/2001 | 4612251 | 10/11/2002 | 9,16,36 |
| THE POWER OF PERSHING | Japan | Registered | 77949/2001 | 8/28/2001 | 4603733 | 9/13/2002 | 16,36 |
| INAUTIX | Korea, South | Registered | 99/1277 | 6/4/1999 | 1379 | 6/23/2000 | 9 |
| INAUTIX | Korea, South | Registered | 99/8546 | 6/22/1999 | 62449 | 7/12/2000 | 36 |
| NETEXCHANGE CLIENT | Korea, South | Pending | 2001-30602 | 7/12/2001 | | | 9 |
| NETEXCHANGE CLIENT | Korea, South | Pending | 2001-13533 | 7/12/2001 | | | 36 |
| NETEXCHANGE PRO | Korea, South | Pending | 2001-30600 | 7/12/2001 | | | 9 |

SCHEDULE A

| Trademark Name | Country | Status | Application Number | Application Date | Registration Number | Registration Date | Class(es) |
|--------------------|--------------|------------|--------------------|------------------|---------------------|-------------------|-----------|
| NETEXCHANGE PRO | Korea, South | Pending | 2001-13532 | 7/12/2001 | | | 36 |
| PERSHING | Korea, South | Pending | 41200122992 | 11/23/2001 | | | 42 |
| PERSHING | Korea, South | Published | 2001-48790 | 11/6/2001 | | | 9 |
| PERSHING | Korea, South | Registered | 1998-13888 | 5/29/1998 | 447548 | 5/10/1999 | 16 |
| PERSHING | Korea, South | Registered | 98-4287 | 5/29/1998 | 56012 | 8/16/1999 | 36 |
| NETEXCHANGE CLIENT | Kuwait | Pending | 58021 | 1/18/2003 | | | 9 |
| NETEXCHANGE CLIENT | Kuwait | Pending | 54861 | 5/18/2002 | | | 36 |
| NETEXCHANGE PRO | Kuwait | Pending | 54859 | 5/18/2002 | | | 9 |
| NETEXCHANGE PRO | Kuwait | Pending | 54860 | 5/18/2002 | | | 36 |
| PERSHING | Kuwait | Pending | 55771 | 7/21/2002 | | | 9 |
| PERSHING | Kuwait | Pending | 53064 | 1/22/2002 | | | 16 |
| PERSHING | Kuwait | Pending | 53065 | 1/22/2002 | | | 36 |
| INAUTIX | Mauritius | Registered | 323/4/2000 | 4/7/2000 | 191 | 3/5/2001 | 9,16 |
| INAUTIX | Mexico | Registered | 379135 | 6/15/1999 | 618862 | 8/24/1999 | 9 |
| INAUTIX | Mexico | Registered | 379169 | 6/15/1999 | 618873 | 8/24/1999 | 36 |
| NETEXCHANGE CLIENT | Mexico | Registered | 510136 | 10/3/2001 | 726854 | 11/30/2001 | 9 |
| NETEXCHANGE CLIENT | Mexico | Registered | 510137 | 10/3/2001 | 726855 | 11/30/2001 | 36 |
| NETEXCHANGE PRO | Mexico | Registered | 510134 | 10/3/2001 | 725134 | 11/29/2001 | 9 |
| NETEXCHANGE PRO | Mexico | Registered | 510135 | 10/3/2001 | 725135 | 11/29/2001 | 36 |
| PERSHING | Mexico | Pending | 563932 | 8/30/2002 | | | 16 |
| PERSHING | Mexico | Pending | 563933 | 8/30/2002 | | | 36 |
| PERSHING | Mexico | Registered | 322753 | 2/16/1998 | 621216 | 8/31/1999 | 16 |
| PERSHING | Mexico | Registered | 322748 | 2/16/1998 | 621211 | 8/31/1999 | 36 |
| PERSHING | Mexico | Registered | 517788 | 11/15/2001 | 732803 | 1/30/2002 | 9 |
| INAUTIX | New Zealand | Registered | 310314 | 5/28/1999 | 310314 | 12/20/1999 | 9 |
| INAUTIX | New Zealand | Registered | 310315 | 5/28/1999 | 310315 | 12/20/1999 | 36 |
| PERSHING | New Zealand | Registered | 292788 | 5/25/1998 | 292788 | 5/25/1998 | 16 |
| PERSHING | New Zealand | Registered | 292789 | 5/25/1998 | 292789 | 5/25/1998 | 36 |
| PERSHING | New Zealand | Registered | 648515 | 11/14/2001 | 648515 | 5/23/2002 | 9 |
| NETEXCHANGE CLIENT | Panama | Published | 116650 | 8/23/2001 | | | 9 |

SCHEDULE A

| Trademark Name | Country | Status | Application Number | Application Date | Registration Number | Registration Date | Class(es) |
|--------------------|--------------------|------------|--------------------|------------------|---------------------|-------------------|-----------|
| NETEXCHANGE CLIENT | Panama | Published | 116652 | 8/23/2001 | | | 36 |
| NETEXCHANGE PRO | Panama | Published | 116649 | 8/23/2001 | | | 9 |
| NETEXCHANGE PRO | Panama | Published | 116651 | 8/23/2001 | | | 36 |
| PERSHING | Panama | Published | 119297-01 | 1/31/2002 | | | 9 |
| PERSHING | Panama | Published | 119300-01 | 1/31/2002 | | | 16 |
| PERSHING | Panama | Published | 119298-01 | 1/31/2002 | | | 36 |
| PERSHING | Panama | Published | 119299-01 | 1/31/2002 | | | 42 |
| NETEXCHANGE CLIENT | Puerto Rico | Dispatched | | | | | |
| NETEXCHANGE CLIENT | Puerto Rico | Dispatched | | | | | |
| NETEXCHANGE PRO | Puerto Rico | Dispatched | | | | | |
| NETEXCHANGE PRO | Puerto Rico | Dispatched | | | | | |
| PERSHING | Puerto Rico | Dispatched | | | | | 9 |
| PERSHING | Puerto Rico | Dispatched | | | | | 16 |
| PERSHING | Puerto Rico | Dispatched | | | | | 36 |
| INAUTIX | Romania | Registered | 054624 | 5/31/1999 | 39738 | 5/31/1999 | 9,36 |
| PERSHING | Romania | Registered | 051841 | 9/8/1998 | 35425 | 4/27/2000 | 36,16 |
| PERSHING | Romania | Registered | M/2001-05960 | 11/27/2001 | 48616 | 10/16/2002 | 38,42 |
| PERSHING | Romania | Registered | M/2001/05714 | 11/14/2001 | 48614 | 10/16/2002 | 9 |
| INAUTIX | Russian Federation | Registered | RU 99708353 | 6/4/1999 | RU 194704 | 10/2/2000 | 9,36 |
| NETEXCHANGE CLIENT | Russian Federation | Pending | RU2001719397 | 6/29/2001 | | | 9,36 |
| NETEXCHANGE PRO | Russian Federation | Registered | RU2001719398 | 6/29/2001 | RU236009 | 1/21/2003 | 9,36 |
| PERSHING | Russian Federation | Pending | RU2001734892 | 11/14/2001 | | | 9,36 |
| PERSHING | Russian Federation | Registered | RU 98709385 | 6/1/1998 | RU 185395 | 3/3/2000 | 36,16 |
| INAUTIX | Singapore | Registered | 5529/99 | 5/31/1999 | T99/05529Z | 5/31/1999 | 9 |
| INAUTIX | Singapore | Registered | 5530/99 | 5/31/1999 | T99/05530C | 1/10/2001 | 36 |
| NETEXCHANGE CLIENT | Singapore | Published | T01/09242F | 6/26/2001 | | | 36 |
| NETEXCHANGE CLIENT | Singapore | Registered | T01/9241/01 | 6/26/2001 | T01/09241H | 10/22/2002 | 9 |
| NETEXCHANGE PRO | Singapore | Published | 9243/01 | 6/26/2001 | | | 9 |
| NETEXCHANGE PRO | Singapore | Published | 9244/01 | 6/26/2001 | | | 36 |
| PERSHING | Singapore | Registered | 5090/98 | 5/26/1998 | T98/05090A | 4/25/2000 | 16 |
| PERSHING | Singapore | Registered | 5089/98 | 5/26/1998 | T98/05089H | 5/26/1998 | 36 |
| PERSHING | Singapore | Registered | 17259/01 | 11/5/2001 | T01/17259D | 1/13/2003 | 9 |

SCHEDULE A

| Trademark Name | Country | Status | Application Number | Application Date | Registration Number | Registration Date | Class(es) |
|-----------------------------|----------------------|------------|--------------------|------------------|---------------------|-------------------|-----------|
| INAUTIX | South Africa | Registered | 99/9570 | 5/28/1999 | 1999/09570 | 6/10/2002 | 9 |
| INAUTIX | South Africa | Registered | 99/9571 | 5/28/1999 | 1999/09571 | 6/10/2002 | 36 |
| PERSHING | South Africa | Pending | 2001/19531 | 11/15/2001 | | | 9 |
| PERSHING | South Africa | Pending | 2001/21129 | 12/12/2001 | | | 38 |
| PERSHING | South Africa | Registered | 98/00623 | 1/16/1998 | 1998/00623 | 11/5/2001 | 16 |
| PERSHING | South Africa | Registered | 98/00624 | 1/16/1998 | 1998/00624 | 11/5/2001 | 36 |
| INAUTIX | Switzerland | Registered | 04751/1999 | 5/31/1999 | 465743 | 11/10/1999 | 9,36 |
| NETEXCHANGE CLIENT & DESIGN | Switzerland | Registered | 8259/2001 | 8/22/2001 | 493848 | 1/16/2002 | 9,36,38 |
| NETEXCHANGE PRO & DESIGN | Switzerland | Registered | 08258/2001 | 8/22/2001 | 493.847 | 2/14/2002 | 9,36,38 |
| PERSHING | Switzerland | Registered | 00129/1998 | 1/9/1998 | 457.807 | 1/9/1998 | 16,36 |
| PERSHING | Switzerland | Registered | 10612/2001 | 11/6/2001 | 499256 | 5/21/2002 | 9,38 |
| INAUTIX | Taiwan | Registered | (88)27101 | 6/3/1999 | 907532 | 10/1/2000 | 9 |
| INAUTIX | Taiwan | Registered | (88)27102 | 6/3/1999 | 126906 | 8/1/2000 | 36 |
| NETEXCHANGE CLIENT | Taiwan | Published | (90)026836 | 6/29/2001 | | | 36 |
| NETEXCHANGE CLIENT | Taiwan | Registered | (90)026850 | 6/29/2001 | 1013050 | 9/1/2002 | 9 |
| NETEXCHANGE PRO | Taiwan | Published | (90)026835 | 6/29/2001 | | | 36 |
| NETEXCHANGE PRO | Taiwan | Registered | (90)026834 | 6/29/2001 | 1009930 | 8/1/2002 | 9 |
| PERSHING | Taiwan | Registered | (88)018701 | 4/23/1999 | 901167 | 8/16/2000 | 16 |
| PERSHING | Taiwan | Registered | (88)18708 | 4/23/1999 | 123682 | 5/1/2000 | 36 |
| INAUTIX | Turkey | Registered | 99/7580 | 6/3/1999 | 99/007580 | 11/27/2000 | 9 |
| INAUTIX | Turkey | Registered | 99/7579 | 6/3/1999 | 99/007579 | 11/27/2000 | 36 |
| PERSHING | Turkey | Published | 2001/26142 | 12/14/2001 | | | 9, 36,42 |
| PERSHING | Turkey | Registered | 98/17032 | 11/30/1998 | 204712 | 11/30/1998 | 16 |
| PERSHING | Turkey | Registered | 98/17033 | 11/30/1998 | 205035 | 11/30/1998 | 36 |
| NETEXCHANGE CLIENT | United Arab Emirates | Registered | 43100 | 6/26/2001 | 35280 | 12/18/2002 | 9 |
| NETEXCHANGE CLIENT | United Arab Emirates | Published | 43101 | 6/26/2001 | | | 36 |
| NETEXCHANGE PRO | United Arab Emirates | Published | 43098 | 6/26/2001 | | | 9 |
| NETEXCHANGE PRO | United Arab Emirates | Published | 43099 | 6/26/2001 | | | 36 |
| PERSHING | United Arab Emirates | Published | 45134 | 11/19/2001 | | | 9 |

SCHEDULE A

| Trademark Name | Country | Status | Application Number | Application Date | Registration Number | Registration Date | Class(es) |
|---|----------------------|------------|--------------------|------------------|---------------------|-------------------|-----------|
| PERSHING | United Arab Emirates | Published | 45135 | 11/19/2001 | | | 16 |
| PERSHING | United Arab Emirates | Published | 45457 | 12/22/2001 | | | 36 |
| G2 | United Kingdom | Registered | 2187446 | 1/29/1999 | 2187446 | 12/29/2000 | 9,16,36 |
| GLOBAL CLEAR | United Kingdom | Registered | 1434526 | 7/6/1990 | 1434526 | 3/5/1993 | 36 |
| GLOBAL CLEAR | United Kingdom | Registered | 1434525 | 7/6/1990 | 1434525 | 1/29/1993 | 16 |
| GLOBAL CLEAR | United Kingdom | Registered | 1434524 | 7/6/1990 | 1434524 | 2/5/1993 | 9 |
| INAUTIX | United Kingdom | Registered | 2198982 | 6/1/1999 | 2198982 | 1/14/2000 | 9,36 |
| NETEXCHANGE CLIENT | United Kingdom | Registered | 2289044 | 12/28/2001 | 2289044 | 11/22/2002 | 9,36,38 |
| NETEXCHANGE PRO | United Kingdom | Registered | 2289045 | 12/28/2001 | 2289045 | 11/22/2002 | 9,36,38 |
| NUCLEUS | United Kingdom | Registered | 2178541 | 10/2/1998 | 2178541 | 7/7/2000 | 9,42,36 |
| PERSHING | United Kingdom | Registered | 2154491 | 12/24/1997 | 2154491 | 10/23/1998 | 36,16 |
| PERSHING | United Kingdom | Registered | 2285238 | 11/9/2001 | 2285238 | 9/27/2002 | 9,36,38 |
| PERSHING NUCLEUS | United Kingdom | Registered | 2145983 | 9/23/1997 | 2145983 | 9/23/1997 | 42,9,36 |
| THE POWER OF PERSHING | United Kingdom | Registered | 2279166 | 8/29/2001 | 2279166 | 2/15/2002 | 16,36 |
| ABC ADVANCED BROKERAGE CONCEPTS | USA | Registered | 75/646784 | 2/23/1999 | 2477083.00 | 8/14/2001 | 41,42 |
| ADVISOR TOOLS | USA | Registered | 76/232752 | 3/29/2001 | 2545452 | 3/5/2002 | 36 |
| AVAIL | USA | Registered | 76/217588 | 2/28/2001 | 2576750 | 6/4/2002 | 36 |
| AVAIL AND DESIGN | USA | Published | 76/406004 | 5/10/2002 | | | 36 |
| BOES | USA | Registered | 75/670861 | 3/30/1999 | 2650956.00 | 11/19/2002 | 36,42 |
| BONDCENTRAL | USA | Registered | 76/027101 | 4/17/2000 | 2489428.00 | 9/11/2001 | 36 |
| CASHCALL | USA | Allowed | 75/653532 | 3/4/1999 | | | 16 |
| COMPLIANCEVIEW | USA | Registered | 75/638669 | 2/11/1999 | 2378869 | 8/22/2000 | 42 |
| EXTREME TECHNOLOGY SOLUTIONS | USA | Registered | 75/670852 | 3/30/1999 | 2366100 | 7/11/2000 | 36 |
| EXTREME TECHNOLOGY SOLUTIONS (AND DESIGN) | USA | Registered | 75/671226 | 3/30/1999 | 2366103 | 7/11/2000 | 36 |

SCHEDULE A

| Trademark Name | Country | Status | Application Number | Application Date | Registration Number | Registration Date | Class(es) |
|------------------------------------|---------|------------|--------------------|------------------|---------------------|-------------------|-----------|
| FUNDVEST | USA | Registered | 75/030821 | 12/11/1995 | 2013796 | 11/5/1996 | 36 |
| FUNDVEST (STYLIZED) | USA | Published | 76/442174 | 8/16/2002 | | | 36 |
| FUNDVEST FOCUS | USA | Registered | 76/257155 | 5/15/2001 | 2566232 | 4/30/2002 | 36 |
| FUNDVEST FOCUS (STYLIZED) | USA | Published | 76/442592 | 8/15/2002 | | | 36 |
| FUNDVEST INSTITUTIONAL | USA | Registered | 76/190919 | 1/8/2001 | 2566197 | 4/30/2002 | 36 |
| FUNDVEST OFFSHORE | USA | Registered | 76/191397 | 1/8/2001 | 2560381 | 4/9/2002 | 36 |
| FUNDVEST OFFSHORE (STYLIZED) | USA | Published | 76/442294 | 8/15/2002 | | | 36 |
| FUNDVIEW | USA | Registered | 75/091772 | 4/22/1996 | 2204249 | 11/17/1998 | 9,36 |
| INAUTIX | USA | Registered | 75/362088 | 9/24/1997 | 2388158 | 9/19/2000 | 36 |
| INAUTIX | USA | Registered | 76/112442 | 8/18/2000 | 2498120 | 10/16/2001 | 42 |
| INFO DIRECT | USA | Registered | 75/070457 | 3/11/1996 | 2104168 | 10/7/1997 | 9 |
| IPARTNER | USA | Registered | 75/636402 | 2/8/1999 | 2366003 | 7/11/2000 | 9 |
| IPARTNER (AND DESIGN) | USA | Registered | 75/636003 | 2/8/1999 | 2366001 | 7/11/2000 | 9 |
| LOANADVANCE and Design | USA | Allowed | 76/353634 | 12/31/2001 | | | 36 |
| MANAGER'S PARTNER | USA | Registered | 75/208057 | 12/4/1996 | 2121515 | 12/16/1997 | 9 |
| NETEXCHANGE | USA | Registered | 75/110382 | 5/28/1996 | 2369648 | 7/25/2000 | 36 |
| NETEXCHANGE CLIENT | USA | Registered | 76/156112 | 10/30/2000 | 2498317 | 10/16/2001 | 36 |
| NETEXCHANGE OFFICE | USA | Registered | 76/364035 | 1/28/2002 | 2654272 | 11/26/2002 | 9 |
| NETEXCHANGE PRO | USA | Registered | 76/156249 | 10/30/2000 | 2544492 | 3/5/2002 | 9,36 |
| NETEXCHANGE PRO MOBILE | USA | Allowed | 76/156858 | 10/31/2000 | | | 36 |
| NETXPRO | USA | Registered | 75/907158 | 2/1/2000 | 2537221 | 2/5/2002 | 36 |
| NETXVIEW | USA | Registered | 75/907238 | 2/1/2000 | 2414107 | 12/19/2000 | 36 |
| P DESIGN | USA | Registered | 75/632689 | 2/1/1999 | 2384915 | 9/12/2000 | 16,36 |
| PARTNERJOURNAL | USA | Registered | 75/647113 | 2/24/1999 | 2329032 | 3/14/2000 | 16 |
| PEAK | USA | Registered | 75/818879 | 10/8/1999 | 2362432 | 6/27/2000 | 36 |
| PEAK (STYLIZED) | USA | Registered | 75/720788 | 6/3/1999 | 2336084 | 3/28/2000 | 36 |
| PEAK DESIGN | USA | Registered | 75/720679 | 6/4/1999 | 2343479 | 4/18/2000 | 36 |
| PEAK I | USA | Registered | 75/808975 | 9/27/1999 | 2362410 | 6/27/2000 | 36 |
| PEAK II | USA | Registered | 75/809031 | 9/27/1999 | 2362413 | 6/27/2000 | 36 |
| PEAKACCESS | USA | Registered | 76/270942 | 6/13/2001 | 2660654 | 12/10/2002 | 36 |
| PEAKACCESS AND DESIGN | USA | Pending | 76/442591 | 8/15/2002 | | | 36 |
| PERSHING | USA | Registered | 75/344432 | 8/21/1997 | 2245524 | 5/18/1999 | 16,36 |

SCHEDULE A

| Trademark Name | Country | Status | Application Number | Application Date | Registration Number | Registration Date | Class(es) |
|--|---------|------------|--------------------|------------------|---------------------|-------------------|-----------|
| PERSHING ASSET MANAGEMENT | USA | Registered | 75/235447 | 2/3/1997 | 2309576 | 1/18/2000 | 36 |
| PERSHING INVESTMENT MANAGER SERVICES PRIMELEDGER | USA | Registered | 76/269169 | 6/8/2001 | 2571322 | 5/21/2002 | 16 |
| PERSHING PASSPORT | USA | Registered | 76/164966 | 11/14/2000 | 2678790 | 1/21/2003 | 41 |
| PERSHING PASSPORT AND DESIGN | USA | Pending | 76/442590 | 8/15/2002 | | | 9 |
| PERSHING TRADING COMPANY | USA | Pending | 76/443333 | 8/23/2002 | | | 36 |
| PERSHINGLINK | USA | Registered | 76/233077 | 3/28/2001 | 2687267 | 2/11/2003 | 36 |
| PERSHINGLINK and Design | USA | Dispatched | | 04/15/2003 | | | 36 |
| PES | USA | Registered | 75/646544 | 2/23/1999 | 2340687 | 4/11/2000 | 16,35 |
| PORTFOLIO EVALUATION SERVICE PES (and Design) | USA | Pending | 76/496,359 | 03/10/2003 | | | 16,35 |
| PORTFOLIOPOINT | USA | Registered | 76/134009 | 9/22/2000 | 2506239 | 11/13/2001 | 9 |
| PORTFOLIOPOINT (AND DESIGN) | USA | Registered | 75/646795 | 2/23/1999 | 2380781 | 8/29/2000 | 36 |
| PORTFOLIOVOICE | USA | Registered | 75/874778 | 12/17/1999 | 2396063 | 10/17/2000 | 36 |
| PROCASH PLUS AND "P" (DESIGN) | USA | Suspended | 75/626820 | 1/25/1999 | | | 36 |
| PTC | USA | Pending | 76/443335 | 8/23/2002 | | | 36 |
| PTC PERSHING TRADING COMPANY & DESIGN | USA | Pending | 76/460918 | 10/22/2002 | | | 36 |
| QTK | USA | Registered | 75/640075 | 2/11/1999 | 2384933 | 9/12/2000 | 36 |
| QUOTE TRACK | USA | Registered | 75/807512 | 9/24/1999 | 2511592 | 11/27/2001 | 36 |
| RESEARCH DESIGNED WITH YOU IN MIND | USA | Registered | 75/324107 | 7/14/1997 | 2197716 | 10/20/1998 | 42,41,36 |
| RESEARCH DESIGNED WITH YOU IN MIND | USA | Registered | 75/487519 | 5/18/1998 | 2268804 | 8/10/1999 | 16 |
| RESOURCE CHECKING | USA | Registered | 75/203046 | 11/22/1996 | 2217700 | 1/12/1999 | 36 |

SCHEDULE A

| Trademark Name | Country | Status | Application Number | Application Date | Registration Number | Registration Date | Class(es) |
|--|---------|------------|--------------------|------------------|---------------------|-------------------|-----------|
| RESOURCE CHECKING & DESIGN | USA | Pending | 76/427044 | 7/2/2002 | | | 36 |
| SELECT+ | USA | Registered | 75/638132 | 2/10/1999 | 2370395 | 7/25/2000 | 42 |
| SELECTLINK | USA | Registered | 75/668142 | 3/25/1999 | 2418326 | 1/2/2001 | 16 |
| SELECTLINK AND DESIGN | USA | Published | 76/442,589 | 8/15/2002 | | | 16 |
| SELECTVIEW | USA | Registered | 75/096852 | 4/30/1996 | 2207437 | 12/1/1998 | 36 |
| SIXTYSOMETHING NEWS AND IDEAS FROM PERSHING'S RETIREMENT PRODUCTS GROUP (AND DESIGN) | USA | Registered | 75/636940 | 2/9/1999 | 2394939 | 10/17/2000 | 16 |
| SNAP QUOTE | USA | Registered | 75/640076 | 2/11/1999 | 2387177 | 9/19/2000 | 36 |
| SUBSCRIBE | USA | Registered | 75/184996 | 10/21/1996 | 2267525 | 8/3/1999 | 36 |
| SUN AND HAND (AND DESIGN) | USA | Registered | 75/618760 | 1/11/1999 | 2314345 | 2/1/2000 | 16 |
| TELEXCHANGE | USA | Registered | 75/515369 | 7/8/1998 | 2318137 | 2/15/2000 | 36 |
| TELEXCHANGE (STYLIZED) | USA | Registered | 75/515234 | 7/8/1998 | 2320564 | 2/22/2000 | 36 |
| TELEXCHANGE CLIENT | USA | Registered | 75/515368 | 7/8/1998 | 2318136 | 2/15/2000 | 36 |
| TELEXCHANGE PRO | USA | Registered | 75/515367 | 7/8/1998 | 2318135 | 2/15/2000 | 36 |
| THE CORRESPONDING VIEW | USA | Registered | 75/542610 | 8/26/1998 | 2272308 | 8/24/1999 | 16 |
| THE CORRESPONDING VIEW AND DESIGN | USA | Pending | 76/431843 | 7/18/2002 | | | 16 |
| THE PERSHING PRESS (STYLIZED) | USA | Registered | 75/618498 | 1/11/1999 | 2407801 | 11/28/2000 | 16 |
| THE POWER OF PERSHING | USA | Registered | 75/752572 | 7/16/1999 | 2498873 | 10/6/2001 | 36 |
| THE POWER OF PERSHING (STYLIZED) | USA | Published | 76/427084 | 7/2/2002 | | | 36 |
| THE POWER OF POSSIBILITIES | USA | Registered | 75/743627 | 7/6/1999 | 2432296 | 2/27/2001 | 36 |
| TRAINING MATTERS (STYLIZED) | USA | Registered | 75/646066 | 2/22/1999 | 2324095 | 2/29/2000 | 16 |
| WRAPLINE | USA | Registered | 75/637339 | 2/10/1999 | 2321355 | 2/22/2000 | 16 |

SCHEDULE A

| Trademark Name | Country | Status | Application Number | Application Date | Registration Number | Registration Date | Class(es) |
|---------------------|-----------|------------|--------------------|------------------|---------------------|-------------------|-----------|
| YOUR SILENT PARTNER | USA | Registered | 75/653246 | 3/4/1999 | 2437984 | 3/27/2001 | 42,36,16 |
| NETEXCHANGE CLIENT | Venezuela | Published | 2001-016595 | 9/11/2001 | | | 9 |
| NETEXCHANGE CLIENT | Venezuela | Published | 2001-016598 | 9/11/2001 | | | 36 |
| NETEXCHANGE CLIENT | Venezuela | Published | 2001-016597 | 9/11/2001 | | | 38 |
| NETEXCHANGE PRO | Venezuela | Published | 2001-016600 | 9/11/2001 | | | 9 |
| NETEXCHANGE PRO | Venezuela | Published | 2001-016596 | 9/11/2001 | | | 36 |
| NETEXCHANGE PRO | Venezuela | Published | 2001-016599 | 9/11/2001 | | | 38 |
| PERSHING | Venezuela | Pending | 01-021.332 | 11/26/2001 | | | 9 |
| PERSHING | Venezuela | Pending | 01-021.333 | 11/26/2001 | | | 16 |
| PERSHING | Venezuela | Pending | 01-021.334 | 11/26/2001 | | | 36 |
| PERSHING | Venezuela | Pending | 02-000.217 | 1/8/2002 | | | 38 |

Common Law Marks

All right, title and interest in and to all common law and/or unregistered marks in use by Pershing LLC or the Pershing Companies, as defined in the Transaction Agreement between Credit Suisse First Boston (USA) Inc. and The Bank of New York Company, dated January 7, 2003, or its predecessors on or before the closing date

SCHEDULE B

| Trademark Name | Country | Status | Application Number | Application Date | Registration Number | Registration Date | Class(es) |
|-----------------------|--------------------|------------|--------------------|------------------|---------------------|-------------------|-----------|
| FUNDCENTER | Argentina | Registered | 2221225 | 5/27/1999 | 1801631 | 8/8/2000 | 36 |
| FUNDSCAN | Argentina | Registered | 2221226 | 5/27/1999 | 1801632 | 8/8/2000 | 36 |
| STOCKSCAN | Argentina | Registered | 2225348 | 6/23/1999 | 1803841 | 9/4/2000 | 9 |
| STOCKSCAN | Argentina | Registered | 2225349 | 6/23/1999 | 1803842 | 9/4/2000 | 36 |
| FUNDSCAN | Australia | Registered | 795118 | 5/25/1999 | 795118 | 9/15/2000 | 36 |
| STOCKSCAN | Australia | Registered | 797691 | 6/18/1999 | 797691 | 4/12/2002 | 9,36,42 |
| FUNDSCAN | Bermuda | Registered | 30790 | 6/25/1999 | B30790 | 9/20/2001 | 36 |
| STOCKSCAN | Bermuda | Registered | 30785 | 6/25/1999 | 30785 | 5/9/2001 | 9 |
| STOCKSCAN | Bermuda | Registered | 30786 | 6/25/1999 | 30786 | 5/9/2001 | 36 |
| FUNDCENTER | Brazil | Pending | 821688740 | 6/4/1999 | | | 36 |
| FUNDSCAN | Brazil | Published | 821688758 | 6/4/1999 | | | 36 |
| STOCKSCAN | Brazil | Published | 821749900 | 6/29/1999 | | | 9 |
| STOCKSCAN | Brazil | Published | 821749927 | 6/29/1999 | | | 36 |
| FUNDCENTER | Canada | Allowed | 1016843 | 5/26/1999 | | | 36 |
| FUNDSCAN | Canada | Registered | 1016839 | 5/26/1999 | TMA570820 | 11/18/2002 | 36 |
| STOCKSCAN (2ND APPLN) | Canada | Pending | 1119007 | 10/22/2001 | | | 36 |
| FUNDSCAN | Cayman Islands | Registered | 2198997 | 6/1/1999 | 2198997 | 2/9/2001 | 36 |
| STOCKSCAN | China | Registered | 9900094251 | 8/11/1999 | 1463807 | 10/21/2000 | 42 |
| FUNDCENTER | Cyprus | Pending | 53223 | 6/2/1999 | | | 36 |
| FUNDSCAN | Cyprus | Pending | 53224 | 6/2/1999 | | | 36 |
| STOCKSCAN | Cyprus | Pending | 53577 | 7/12/1999 | | | 9 |
| STOCKSCAN | Cyprus | Pending | 53578 | 7/12/1999 | | | 36 |
| FUNDSCAN | European Community | Registered | 1193853 | 6/3/1999 | 1193853 | 1/30/2001 | 36 |
| STOCKSCAN | European Community | Registered | 1609056 | 4/10/2000 | 1609056 | 7/3/2001 | 9,36 |
| FUNDCENTER | France | Registered | 99/793870 | 5/26/1999 | 99/793870 | 11/5/1999 | 36 |
| FUNDSCAN | France | Registered | 99/793868 | 5/26/1999 | 99/793868 | 11/5/1999 | 36 |
| STOCKSCAN | France | Registered | 99/798.283 | 6/18/1999 | 99/798.283 | 11/26/1999 | 9,36 |
| FUNDCENTER | Germany | Registered | 39930702.8/3 | 5/28/1999 | 39930702 | 11/24/2000 | 36 |
| FUNDSCAN | Germany | Registered | 39930705.2/3 | 5/28/1999 | 39930705 | 8/12/1999 | 36 |
| STOCKSCAN | Germany | Registered | 39934843.3/3 | 6/17/1999 | 39934843 | 8/3/1999 | 9,42,36 |
| FUNDSCAN | Hong Kong | Registered | 6741/1999 | 5/27/1999 | B11412/2000 | 8/22/2000 | 36 |
| STOCKSCAN | Hong Kong | Registered | 07860/1999 | 6/19/1999 | B10322/2000 | 7/26/2000 | 9 |
| STOCKSCAN | Hong Kong | Registered | 07861/1999 | 6/19/1999 | B10323/2000 | 7/26/2000 | 36 |
| FUNDCENTER | India | Dispatched | | 9/5/2001 | | | 36 |
| FUNDCENTER | India | Pending | 860871 | 6/14/1999 | | | 16 |
| FUNDSCAN | India | Dispatched | | 9/5/2001 | | | 36 |
| FUNDSCAN | India | Pending | 860872 | 6/14/1999 | | | 16 |
| STOCKSCAN | India | Dispatched | | 9/5/2001 | | | 36 |

SCHEDULE B

| Trademark Name | Country | Status | Application Number | Application Date | Registration Number | Registration Date | Class(es) |
|-----------------------|--------------------|------------|--------------------|------------------|---------------------|-------------------|-----------|
| STOCKSCAN | India | Pending | 862247 | 6/23/1999 | | | 9 |
| STOCKSCAN | India | Pending | 862248 | 6/23/1999 | | | 16 |
| FUNDCENTER | Israel | Registered | 128154 | 5/31/1999 | 128154 | 5/8/2000 | 36 |
| FUNDSCAN | Israel | Registered | 128155 | 5/31/1999 | 128155 | 5/8/2000 | 36 |
| STOCKSCAN | Israel | Registered | 128633 | 6/23/1999 | 128633 | 6/6/2000 | 9 |
| STOCKSCAN | Israel | Registered | 128634 | 6/23/1999 | 128634 | 6/6/2000 | 36 |
| FUNDSCAN | Japan | Registered | 49232/1999 | 6/3/1999 | 4422811 | 10/6/2000 | 36 |
| STOCKSCAN | Japan | Registered | 66511/1999 | 7/23/1999 | 4441523 | 12/22/2000 | 9,36 |
| STOCKSCAN | Korea, South | Registered | 99-8607 | 6/23/1999 | 61803 | 6/12/2000 | 36 |
| FUNDCENTER | Mexico | Registered | 378650 | 6/10/1999 | 617212 | 6/30/1999 | 36 |
| FUNDSCAN | Mexico | Registered | 378649 | 6/10/1999 | 617211 | 6/30/1999 | 36 |
| STOCKSCAN | Mexico | Registered | 383906 | 7/21/1999 | 630425 | 10/27/1999 | 9 |
| STOCKSCAN | Mexico | Registered | 383907 | 7/21/1999 | 624518 | 9/23/1999 | 36 |
| STOCKSCAN (2ND APPLN) | Mexico | Pending | 568161 | 9/27/2002 | | | 9 |
| STOCKSCAN (2ND APPLN) | Mexico | Pending | 568160 | 9/27/2002 | | | 36 |
| FUNDSCAN | New Zealand | Registered | 310311 | 5/28/1999 | 310311 | 12/20/1999 | 36 |
| STOCKSCAN | New Zealand | Registered | 311230 | 6/17/1999 | 311230 | 1/11/2001 | 9 |
| STOCKSCAN | New Zealand | Registered | 311231 | 6/17/1999 | 311231 | 1/11/2001 | 36 |
| FUNDCENTER | Romania | Registered | 054620 | 5/31/1999 | 39735 | 5/31/1999 | 36 |
| FUNDSCAN | Romania | Registered | 054621 | 5/31/1999 | 39736 | 5/31/1999 | 36 |
| STOCKSCAN | Romania | Registered | 054802 | 6/17/1999 | 39671 | 6/17/1999 | 9,36 |
| FUNDCENTER | Russian Federation | Registered | RU 99708349 | 6/4/1999 | RU 197249 | 12/7/2000 | 36 |
| FUNDSCAN | Russian Federation | Registered | RU 99708411 | 6/4/1999 | RU 194805 | 10/3/2000 | 36 |
| STOCKSCAN | Russian Federation | Registered | RU99709442 | 6/21/1999 | RU210045 | 3/26/2002 | 9,36 |
| FUNDSCAN | Singapore | Registered | 5533/99 | 5/31/1999 | T99/05533H | 5/31/1999 | 36 |
| STOCKSCAN | Singapore | Registered | 6179/99 | 6/17/1999 | T99/06179F | 6/17/1999 | 9 |
| STOCKSCAN | Singapore | Registered | 6180/99 | 6/17/1999 | T99/06180Z | 8/7/2001 | 36 |
| FUNDCENTER | South Africa | Registered | 1999/09574 | 5/28/1999 | 1999/09574 | 11/5/2002 | 36 |
| FUNDSCAN | South Africa | Registered | 1999/09575 | 5/28/1999 | 1999/09575 | 11/5/2002 | 36 |
| STOCKSCAN | South Africa | Published | 99/10612 | 6/17/1999 | | | 9 |
| STOCKSCAN | South Africa | Registered | 1999/10613 | 6/17/1999 | 1999/10613 | 12/9/2002 | 36 |
| FUNDCENTER | Taiwan | Registered | (88)027097 | 6/3/1999 | 148778 | 9/16/2001 | 36 |

SCHEDULE B

| Trademark Name | Country | Status | Application Number | Application Date | Registration Number | Registration Date | Class(es) |
|-----------------------|----------------|---------------|---------------------------|-------------------------|----------------------------|--------------------------|------------------|
| FUNDSCAN | Taiwan | Registered | (88)027098 | 6/3/1999 | 148779 | 9/16/2001 | 36 |
| STOCKSCAN | Taiwan | Registered | (88)30258 | 6/22/1999 | 907569 | 10/1/2000 | 9 |
| STOCKSCAN | Taiwan | Registered | (88)30257 | 6/22/1999 | 142327 | 5/1/2001 | 36 |
| FUNDSCAN | Turkey | Registered | 99/007583 | 6/3/1999 | 99/007583 | 6/3/1999 | 36 |
| STOCKSCAN | Turkey | Registered | 99/010644 | 7/2/1999 | 99/010644 | 7/2/1999 | 9,36 |
| FUNDSCAN | United Kingdom | Registered | 2198997 | 6/1/1999 | 2198997 | 10/13/2000 | 36 |
| FUNDCENTER | USA | Registered | 75/086486 | 4/10/1996 | 2084995 | 7/29/1997 | 36 |
| FUNDSCAN | USA | Registered | 75/827641 | 10/20/1999 | 2429576 | 2/20/2001 | 36 |
| STOCKSCAN | USA | Registered | 75/305941 | 6/10/1997 | 2373240 | 8/1/2000 | 36 |

SCHEDULE C

| Mark | Serial Number | Filing Date |
|--|---------------|-------------|
| 24 X FOREVER | 76/035,432 | 04/26/2000 |
| 24 BY FOREVER | 76/035,431 | 04/26/2000 |
| ANNUITYSCAN | 76/049,268 | 05/16/2000 |
| CREDIT ADVANCE and Design | 76/353,633 | 12/31/2001 |
| FUNDCENTER and Design | 76/131,576 | 09/20/2000 |
| LOANVISION | 76/333,518 | 11/02/2001 |
| NETEXCHANGE ALERT | 76/133,663 | 09/22/2000 |
| NETEXCHANGE CLIENT ALERT | 76/134,093 | 09/22/2000 |
| NETEXCHANGE CLIENT ALERTS | | 04/15/2003 |
| NETEXCHANGE CLIENT MOBILE | 76/156,852 | 10/31/2000 |
| NETEXCHANGE CLIENT WIRELESS | 76/143,118 | 10/06/2000 |
| NETEXCHANGE MOBILE | 76/156,851 | 10/31/2000 |
| NETEXCHANGE PRO ALERT | 76/134,092 | 09/22/2000 |
| NETEXCHANGE PRO ALERTS | | 04/15/2003 |
| NETEXCHANGE PRO WIRELESS | 76/142,907 | 10/06/2000 |
| PARTNEREXCHANGE | 76/137,563 | 09/28/2000 |
| PEAKIPRO | 75/804,512 | 09/21/1999 |
| PEAKPRO | 75/848,626 | 11/12/1999 |
| PERSHING INVESTMENT MANAGER SERVICES | 76/207,348 | 02/08/2001 |
| PERSHING INVESTMENT MANAGER SERVICES & DESIGN | 76/210,107 | 02/14/2001 |
| POWERED BY PERSHING | 76/065,576 | 06/08/2000 |
| PUTTING THE POWER OF THE INTERNET TO WORK FOR YOU | 76/227,891 | 03/20/2001 |