

01-17-2003

Form PTO-1594  
(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)  
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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Houlihan, Lokey, Howard &amp; Zukin, Inc.

- 1-13-03
- ☐ Individual(s) ☐ Association  
☐ General Partnership ☐ Limited Partnership  
☒ Corporation-State California  
☐ Other \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other Corrective Assignment  
 (See Attachment hereto)

Execution Date: 8/14/00

## 2. Name and address of receiving party(ies)

Name: Applied Financial Information, L.L.C.

Internal

Address: \_\_\_\_\_

Street Address: 1933 Pontius AvenueCity: Los Angeles State: CA Zip: 90025

- ☐ Individual(s) citizenship \_\_\_\_\_  
☐ Association \_\_\_\_\_  
☐ General Partnership \_\_\_\_\_  
☐ Limited Partnership \_\_\_\_\_  
☐ Corporation-State \_\_\_\_\_  
☒ Other Limited Liability Corporation

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No

(Designations must be a separate document from assignment)  
 Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

1,776,475

Additional number(s) attached ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jill M. Pietrini, Esq.

Internal Address: \_\_\_\_\_

Manatt, Phelps & Phillips, LLPStreet Address: 11355 W. Olympic Blvd.

Los Angeles CA 90064  
 City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

6. Total number of applications and registrations involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

DA 131 241

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jill M. Pietrini

Name of Person Signing

Jill M. Pietrini  
 Signature

January 9, 2003

Date

Total number of pages including cover sheet, attachments, and document: 7

Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patent & Trademarks, Box Assignments  
 Washington, D.C. 20231

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TRADEMARK  
 REEL: 002651 FRAME: 0401

## Attachment to Corrective Recordation Form Coversheet

Box 3. This Recordation Form Coversheet is to correct an error on the original recordation form coversheet and the Assignment, committed on our part, which resulted in the issuance of the Notice of Recordation of Assignment Document containing the aforementioned error. The Reel/Frame No. at issued is 002138/0653. Specifically, the error was in naming the Assignee, Applied Financial Information, as a "Delaware corporation." The Assignor should have been specified as a "Delaware limited partnership." We request the issuance of a new Notice of Recordation of Assignment Document that correctly designates the Assignee, Applied Financial Information, L.P., as a "Delaware limited partnership." A check in the amount of \$40.00 has been attached to the new Recordation Form Coversheet.

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09-18-2000



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings 000

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Houlihan, Lokey, Howard & Zukin, Inc.

- ☐ Individual(s) ☐ Association  
☐ General Partnership ☐ Limited Partnership  
☒ Corporation-State California  
☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: August 14, 2000

2. Name and address of receiving party(ies)

Name: Applied Financial Information

Internal Address:

Street Address: 1933 Pontius Avenue

City: Los Angeles State: CA ZIP: 90025

- ☐ Individual(s) citizenship  
☐ Association  
☐ General Partnership  
☐ Limited Partnership  
☒ Corporation-State Delaware  
☐ Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

1,776,475

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jill M. Pietrini

Internal Address:

Manatt, Phelps & Phillips, LLP

Street Address: 11355 W. Olympic Blvd.

City: Los Angeles State: CA ZIP: 90064

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

DA 131 241

(Attach duplicate copy of this page if paying by deposit account)

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DO NOT USE THIS SPACE

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jill M. Pietrini

Name of Person Signing

Signature

August 22, 2000

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

TRADEMARK  
REEL: 002651 FRAME: 0403

**ASSIGNMENT OF TRADEMARKS AND THE  
UNITED STATES REGISTRATION THEREOF**

This assignment is made by and between Houlihan, Lokey, Howard & Zukin, Inc., a California corporation, having a principal place of business at 1930 Century Park West, Los Angeles, California 90067 ("Houlihan, Lokey") and Applied Financial Information, a Delaware corporation, having its principal place of business at 1933 Pontius Avenue, Los Angeles, California 90025 ("AFI").

WHEREAS, Houlihan, Lokey adopted and uses, and thereby, owns all rights, title and interest in and to the trademarks and United States Registration thereof ("Registration") identified below, and all other names, trademarks, services marks, characters, designs, logos, or the like used in the books and periodic printed reports presenting financial information in Class 16; and financial services; namely, the compilation and evaluation of financial data for business enterprises in Class 36 (collectively the "Mark"), along with the goodwill of the business appurtenant to said Mark:

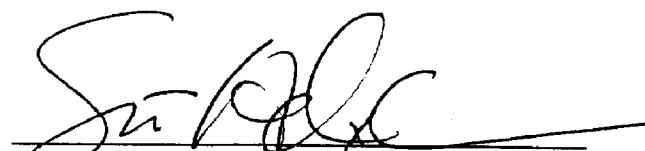
<u>Trademark</u>	<u>Registration No.</u>	<u>Registration Date</u>
MERGERSTAT	1,776,475	June 15, 1993

WHEREAS, pursuant to the Agreement, HLH&Z has agreed to assign all right, title, and interest in the Mark and the Registration to AFI, including the goodwill associated with the Mark and any and all claims, demands, and causes of action for infringement, of the Mark, past, present, and future, and all of the proceeds from the foregoing.

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, Houlihan, Lokey, hereby assigns to AFI, all right, title and interest in and to the Mark and the Registration, together with the goodwill of the business symbolized by the Mark, and any and all claims, demands, and causes of action for infringement, of the Mark, past, present, and future, and all of the proceeds from the foregoing.

Signed at Los Angeles, California, this 14<sup>th</sup> day of August, 2000.

HOULIHAN, LOKEY, HOWARD & ZUKIN,  
INC.

  
\_\_\_\_\_  
Scott Adelson  
Managing Director

State of California                 }  
  ss:  
County of Los Angeles            }

On this 14<sup>th</sup> day of August, 2000, before me personally appeared Scott Adelsan, known to me, who duly acknowledged that he/~~she~~ is the Managing Director of Houlihan, Lokey, Howard & Zukin, Inc., a California corporation, and that the foregoing instrument was signed on behalf of said corporation by an officer authorized to sign such instrument.



Veisia T. Toa  
Notary Public

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