

01-21-2003

Form PTO-1594 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005) Tab settings



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U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): EquiLend Holdings LLC 1-14-03
Individual(s) Association General Partnership Limited Partnership Corporation-State Other Limited Liability Company Delaware
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies) Name: EquiLend Holdings LLC Internal Address: Suite 1550 Street Address: 99 Park Avenue City: New York State: NY Zip: 10016
Individual(s) citizenship Association General Partnership Limited Partnership Corporation-State Other Limited Liability Company-Delaware
If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No (Designations must be a separate document from assignment) Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance: Assignment Merger Security Agreement Change of Name Other
Execution Date: October 11, 2001

4. Application number(s) or registration number(s): A. Trademark Application No.(s) 76257852, 76264017 B. Trademark Registration No.(s)
Additional number(s) attached Yes No

5. Name and address of party to whom correspondence concerning document should be mailed: Name: Brian Crist Internal Address: Cleary, Gottlieb, Steen & Hamilton Street Address: One Liberty Plaza City: New York State: NY Zip: 10006

6. Total number of applications and registrations involved: 2
7. Total fee (37 CFR 3.41) \$65.00 Enclosed Authorized to be charged to deposit account
8. Deposit account number:

DO NOT USE THIS SPACE

9. Signature. Richard Levin Signature Date 1/10/03

Total number of pages including cover sheet, attachments, and document: 4

01/17/2003 6T0M11 00000180 76257852

Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments Washington, D.C. 20231

01 FC:8521 40.00 OF 02 FC:8522 25.00 OF

TRADEMARK REEL: 002651 FRAME: 0800

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "EQUILEND LLC", CHANGING ITS NAME FROM "EQUILEND LLC" TO "EQUILEND HOLDINGS LLC", FILED IN THIS OFFICE ON THE ELEVENTH DAY OF OCTOBER, A.D. 2001, AT 4:30 O'CLOCK P.M.



*Harriet Smith Windsor*  
Harriet Smith Windsor, Secretary of State

3391868 8100

010508537

AUTHENTICATION: 1388339

DATE: 10-12-01

TRADEMARK

REEL: 002651 FRAME: 0801

**CERTIFICATE OF AMENDMENT  
OF  
EQUILEND LLC**

1. The name of the limited liability company is EquiLend LLC (the "Company").
2. The Certificate of Formation of the Company, originally filed on May 16, 2001, is hereby amended as follows: Pursuant to Section 2.01(a) of the Limited Liability Company Agreement of the Company, the name of the Company shall be changed from "EquiLend LLC" to "EquiLend Holdings LLC."
3. This Certificate of Amendment shall be effective on October 11, 2001.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Amendment of the Company this 11<sup>th</sup> day of October, 2001.

/s/ Ian Drachman  
Ian Drachman  
Chief Executive Officer, EquiLend LLC

STATE OF DELAWARE  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
FILED 04:30 PM 10/11/2001  
010508537 - 3391868

**TRADEMARK**

REEL: 002651 FRAME: 0802

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EQUILEND LLC

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Ian Drachman  
Chief Executive Officer, EquiLend LLC

# CLEARY, GOTTlieb, STEEN & HAMILTON

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Writer's Direct Dial: (212) 225-2594

## VIA COURIER

Commissioner for Trademarks  
2900 Crystal Drive  
BOX ASSIGNMENT FEE  
Mail Center, 3<sup>rd</sup> floor, Room 3B30  
Arlington, Virginia 22202-3513

January 14, 2003

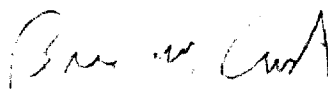
Dear Commissioner:

Enclosed are the following items:

1. Recordation Form Cover Sheet for Trademarks
2. Certificate of Amendment establishing name change of EquiLend Holdings LLC
3. A check for \$65 to cover the filing fees related to filing the Certificate of Amendment for recordation

Kindly date stamp the receipt copy of this cover letter as proof of receipt and return the date stamped receipt copy to us. Should you have any questions, please do not hesitate to contact me at (212) 225-2594. Thank you in advance for your assistance in this matter.

Sincerely,



Brian Crist

Enclosures

TRADEMARK FEE PROCESS  
RECEIVED  
2003 JAN 14 P 3:08  
U.S. DEPARTMENT OF  
COMMERCE  
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