FORM PTO-1618A  OMB 0651-0027		U.S. Department of Commerce Patent and Trademark Office TRADEMARK		
	[			
RECORDATION FORM COVER SHEET TRADEMARKS ONLY				
TO: The Commissioner of Patents and Trademar copy(les).	ks: Please record the att	ached original document(s) or		
Submission Type	Conveyance Type			
⊠ New	☐ Assignment	☐ License		
☐ Resubmission (Non-Recordation)	☐ Security Agreement	☐ Nunc Pro Tunc Assignment		
Document ID#	☐ Merger	Effective Date		
Correction of PTO Error	_	Month Day Year		
Reel # Frame # Corrective Document	☐ Change of Name	12/31/02		
Reel # Frame #	☑ Other conversion de	ocument		
	k if additional names of c	onveying parties attached		
Name Nuveen Investments		Execution Date Month Day Year		
Formerly				
🗆 Individual 🔲 General Partnership 🔲 Limited		ation 🔲 Association		
☐ Other				
☑ Citizenshlp/State of Incorporation/Organization	Delawara			
Receiving Party		ames of receiving parties attached		
Name Nuveen Investments, LLC				
DBA/AKA/TA				
Composed of				
4 200 14 14				
Address (line 1) 333 West Wacker Drive				
Address (line 2)				
Address (line 3) Chicago	Illinois, USA	60608		
City	State/Country	Zip Code		
☐ Individual ☐ General Partnership ☐ Li	Imited Partnership	☐ If document to be recorded is an assignment and the receiving		
Corporation Association United States, an appointment		United States, an appointment of		
a domestic representative short		a domestic representative should be attached. (Designation must		
☑ Other Ilimited liability company		be a separate document from Assignment).		
☑ Citizenship/State of Incorporation/Organization Delaware				
FOR OFFICE USE ONLY				
1				
Mail documents to be recorded				

**TRADEMARK REEL: 002666 FRAME: 0444** 

FORM PTO-1618B  OMB 0651-0027	Page 2	U.S. Department of Commerce Patent and Trademark Office TRADEMARK		
Domestic Representative Name and Address	Enter for the first Rec	eiving Party only.		
Name				
Address (line 1)				
Address (line 2)				
Address (line 3)				
Address (line 4)				
Correspondent Name and Address	Area Code and Teleph	one Number (312) 569 -1000		
Name Tina D. Kourasis, Esq.				
Address (line 1) Gardner, Carton & Douglas L				
Address (line 2) 191 North Wacker Drive, Suite	e 3700			
Address (line 3) Chicago, IL 60606				
Address (line 4)  Pages Enter the total number of pages of the	no attached convoyance de	cumont		
Including any attachments.	ie attached Conveyance do	# <u>1</u>		
Trademark Application Number(s) or Registra	tion Number(s)	Mark if additional numbers attached		
Enter either the Trademark Application Number o	or the Registration Number (I	OO NOT ENTER BOTH numbers for the		
same nmnert/l Trademark Application Number(s)	I	Registration Number(s)		
76/325,276 76/325,275 75/723,84	2,079,065	2,085,165 2,279,186		
75/722,950 75/723,201 75/855,62	2,481,495	1,677,862 2,294,288		
	2,468,978	2,500,306 1,724,187		
Number of Properties				
	Enter the total nu	umber of properties involved. # 24 }		
Fee Amount	Fee Amount for Prop	perties Listed (37 CFR 3.41): \$ 615.00		
	closed 🔲 Deposit Accou	ent 🖾		
Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.)				
	Depr	osit Account Number # 07-0181		
	Authorization to char	ge additional fees: Yes 🗵 No 🗌		
Statement and Signature	· · ·			
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as				
indicated herein.	A			
Tina D. Kourasis		<u>June 10, 2003</u>		
Name of Person Signing	Signature	Date Signed		

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FORM PTO-1618C RECORDATION FOR CONTINU OMB 0651-9027 TRADEMAR	ATION Patent and Trademark Office			
Conveying Party	if additional names of conveying parties attached Execution Date Month Day Year			
Farmaria				
☐ Individual ☐ General Partnership ☐ Limited				
☐ Other				
☑ Citizenship/State of Incorporation/Organization	Delaware			
Receiving Party	Mark if additional names of receiving parties attached			
Name Nuveen Investments, LLC				
DBA/AKA/TA	·			
Composed of				
Address (line 1) 333 West Wacker Drive				
Address (line 2)				
	nois 60606			
☐ Individual ☐ General Partnership ☐ Limited Partnership	recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a			
☐ Corporation ☐ Association  ☐ Other ☐ limited liability company	domestic representative should be attached. [Designation must be a separate document from			
	Assignment).			
☑ Citizenship/State of Incorporation/Organization				
Trademark Application Number(s) or Registration Number(s) Mark if additional numbers attached  Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the				
same nmnertv) Trademark Application Number(s)	Registration Number(s)			
	2,313,480     2,514,096     2,514,095       2,206,451     2,191,848     2,176,288			
	2,020,367 2,465,871 2,627,791			
	<u> </u>			

CH02/22248591.1

## Delaware

PAGE 1

## The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION "NUVEEN INVESTMENTS" TO A DELAWARE LIMITED LIABILITY COMPANY OF "NUVEEN INVESTMENTS, LLC", WAS FILED IN THIS OFFICE ON THE THIRTIETH DAY OF DECEMBER, A.D. 2002, AT 11:30 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2002, AT 12 O'CLOCK P.M.



0662812 8317

**RECORDED: 06/10/2003** 

030378910

AUTHENTICATION: 2461051

DATE: 06-09-03

**TRADEMARK** REEL: 002666 FRAME: 0447