

02-20-2003

Form PTO-1594

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings



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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): 02.14.03  
 Republic New York Corporation

Individual(s)                       Association  
 General Partnership               Limited Partnership  
 Corporation-State              Maryland  
 Other \_\_\_\_\_

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)

Name: HSBC USA Inc.  
 Internal  
 Address: \_\_\_\_\_

Street Address: One HSBC Center, 29<sup>th</sup> Floor  
 City: Buffalo State: NY Zip: 14203

Individual(s) citizenship \_\_\_\_\_  
 Association \_\_\_\_\_  
 General Partnership \_\_\_\_\_  
 Limited Partnership \_\_\_\_\_  
 Corporation-State Delaware  
 Other \_\_\_\_\_

3. Nature of conveyance:

Assignment                       Merger  
 Security Agreement               Change of Name  
 Other \_\_\_\_\_

Execution Date: January 3, 2000

If assigned is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
 (Designation must be a separate document from assignment)  
 Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)  
 \_\_\_\_\_

Additional number(s) attached  Yes  No

B. Trademark Registration No.(s)  
2,264,039

Additional number(s) attached  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Miriam J. Rovner, Senior Legal Assistant  
 Internal Address: \_\_\_\_\_  
 \_\_\_\_\_

Street Address: Goodwin Procter LLP  
Exchange Place  
 City: Boston State: MA Zip: 02109-2881

6. Total number of applications and registrations involved: ..... 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

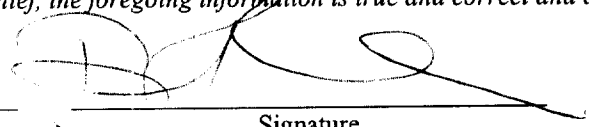
Enclosed  
 Authorized to be charged to deposit account

8. Deposit account number:  
07-1700

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Rachelle A. Kagan                                            February 14, 2003

Name of Person signing                      Signature                      3                      Date

02/21/2003 6TON11 00000022 2264039 Total number of pages include cover sheet, attachments, and document: \_\_\_\_\_

01 FC:0521                      40.00 <sup>Mail</sup> documents to be recorded with required cover sheet information to:  
 Commissioner of Patent & Trademarks, Box Assignments  
 Washington, D.C. 20231

CPI #1703/200  
LIBC/1680261.1

TRADEMARK  
REEL: 002674 FRAME: 0225

STATE DEPARTMENT OF ASSESSMENTS  
AND TAXATION

ARTICLES OF AMENDMENT APPROVED FOR RECORD

OF

1/3/2000 at 9:10 AM

REPUBLIC NEW YORK CORPORATION

Republic New York Corporation, a Maryland corporation (the "Corporation"), certifies to the State Department of Assessments and Taxation of the State of Maryland as follows:

**FIRST:** The Charter of the Corporation is hereby amended by deleting Article

**SECOND** in its entirety and inserting in its place the following:

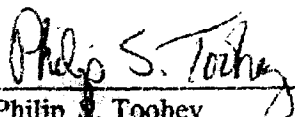
"**SECOND:** The name of the Corporation (the "Corporation") is HSBC USA Inc." ✓

**SECOND:** The amendment effected by these Articles was approved and advised by the Board of Directors by unanimous written action on December 31, 1999, in accordance with the Maryland General Corporation Law (the "MGCL") and the Charter of the Corporation. Pursuant to Section 2-605 of the MGCL, the amendment effected by these Articles does not require action by the stockholders of the Corporation.

IN WITNESS WHEREOF, the Corporation has caused these Articles to be signed in its name and on its behalf this 3rd day of January, 2000 by its President who acknowledges that these Articles are the act of the Corporation and that, to the best of his knowledge, information and belief and under penalties for perjury, all matters and facts contained in these Articles are true in all material respects.

ATTEST:

REPUBLIC NEW YORK CORPORATION

  
Philip J. Toohey  
Secretary  
myc.art

By:  (SEAL)  
Youssef A. Nasr  
President

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