

RE

03-19-2003



ET

To the Honorable Commissioner of

102393936

and the attached original documents or copy thereof.

1. Name of conveying party(ies):

Alstom France SA
38 Avenue Kleber
75116 Paris, France

3/12/03

- Individual(s)
- General Partnership
- Corporation
- Other - France Societe Anonyme
- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):

Alstom Holdings
25 Avenue Kleber
75116 Paris, France

- Individual(s) citizenship
- Association
- General Partnership
- Limited Partnership
- Corporation -
- Other - France Societe Anonyme

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from Assignment)

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other
- Merger
- Change of Name and address

Effective Date: August 1, 1999

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark registration No.(s)

1985161

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Perla M. Kuhn, Esq.
Hughes Hubbard & Reed LLP
One Battery Park Plaza
New York, New York 10004-1482
(212) 837-6550

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41):.....\$40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit Account No.: 08-3264

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name of Person Signing

Natasha N. Reed

March 12, 2003

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
U.S. Patent and Trademark Office, Office of Public Records
Crystal Gateway 4, Room 335, Arlington, VA 22202

CERTIFICATE OF MAILING

Express Mail Certificate No.: EU382241851US

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an Express Mail envelope addressed to: U.S. Patent and Trademark Office, Office of Public Records, Crystal Gateway 4, Room 335, Washington, D.C. 20231, on 3/12/2003 (Date of Deposit).

Elaine S. Parker
Name

Signature

March 12, 2003

Date of Signature

03/18/2003 BTOM11 00000140 1985161

01 FC:8521

40.00 DP

M2 CERFA form No 90-0195

Date declaration submitted to the CCF:

DECLARATION OF A MODIFICATION

- of the **UNDERTAKING**: IDENTIFICATION | | CHARACTERISTICS |
DIRECTORS | CHANGE OF REGISTERED OFFICE | WINDING UP |
- of the **ESTABLISHMENT**: OPENING | IDENTIFICATION |
(including TRANSFER)
DIRECTORS | ACTIVITIES | CLOSURE |
- other modifications (to be specified, where applicable):

Decree n° 81-257 of 18 March 1981 as amended creating the Center for Company Formalities

MAIN REGISTRATION NUMBER(S)

347 951 238 RCS: PARIS (1988B11001)

Trade and companies register:

SIREN:

RM:

Register of professions:

BODIES CORPORATE

Box reserved for use by the CCF

G7550 982027 3

M G U I D A B E F H J K T

Company documents adjoined:

Insertions adjoined:

WHATEVER THE FORMALITY CONCERNED, ITEMS ON A **RED BACKGROUND** MUST BE FILLED IN, AND IF THE MODIFICATION RELATES TO AN ESTABLISHMENT, ITEMS ON A **BLACK BACKGROUND** MUST ALSO BE FILLED IN.

45952

(1)

IDENTIFICATION/where applicable NEW IDENTIFICATION on 1 AUGUST 1999

Name: ALSTOM Holdings

Initials:

Registered Office (or in case of transfer, new registered office):
ADDRESS and where appropriate, the identity of the paying agent
(surname, forenames, or company name):

25 AVENUE KLEBER, 75116 PARIS

SIRET N°:

(1bis)

OLD IDENTIFICATION if changed

Name: ALSTHOM FRANCE SA

Initials:

(2)

Legal Form: SA (a form of joint stock company)

Main activities of the undertaking: ALL INDUSTRIAL, COMMERCIAL, MARITIME, FINANCIAL, REAL AND MOVABLE PROPERTY OPERATIONS IN FRANCE AND ABROAD IN THE FOLLOWING FIELDS:

Date Modified:

Number of employees of the business on the day of the formality:

0

(3)

COMMERCIAL NAME:

CAPITAL: francs or foreign currency:

if the company has variable capital,

minimum capital: francs or foreign currency:

Date Modified:

Duration of the Body Corporate: years;

for a company required to publish annual accounts, END OF COMPANY FINANCIAL YEAR:

(4)

DIRECTORS, AUDITORS AND CONTROLLERS of a body corporate and **PARTNERS** fully and jointly responsible for company debts, **MEMBERS of the GIE, LIQUIDATORS**. Where applicable for the establishment described above, Person(s) having the power to engage the company by their signature (**AUTHORIZED REPRESENTATIVES**), **INDIVIDUAL PROPRIETORS OF THE FUNDS**

Surname: Born:
Forenames: Department:
or Company Name: At:
Position: Nationality:
Change? Date of Modification:
Address:

Surname: Born:
Forenames: Department:
or Company Name: At:
Position: Nationality:
Change? Date of Modification:
Address:

Surname: Born:
Forenames: Department:
or Company Name: At:
Position: Nationality:
Change? Date of Modification:
Address:

Is this list continued on a separate sheet? Yes No

(5)
In the event of **WINDING UP**: is the company continuing to operate for the purpose of liquidation? YES NO
In the **DIRECTORS** box, specify the references of liquidator(s).
Give the title and the date of the legal announcement journal in which the nomination of the liquidators is/are published:

(6)
In the event of the **REGISTERED OFFICE** being **TRANSFERRED** to the jurisdiction of "another" Trade Court, specify the REGISTRARS with whom any secondary registrations have been made:.....

Is this list continued on a separate sheet? Yes No

(7)
In the event of a **MODIFICATION** of **CAPITAL** due to a **MERGER** or to a **SPLIT** , specify the bodies corporate that participated in the operation (Names, legal form, registered office, RCS N°):
Is this list continued on a separate sheet? Yes No

(8)

(8bis)

IF THIS FORMALITY CONCERNS AN ESTABLISHMENT, THEN ITEMS ON A BLACK BACKGROUND MUST BE FILLED-IN

(9)
ESTABLISHMENT CONCERNED/ and where applicable,
NEW IDENTIFICATION on: 1 AUGUST 1999
ADDRESS: - if different from address of registered office (or of MAIN ESTABLISHMENT if the same as registered office), or new address in the event of a transfer: 25 AVENUE KLEBER, 75116 PARIS
SIRET No.

(9bis)
OLD ESTABLISHMENT in the event of a transfer:
OLD ADDRESS if changed by decision of the local Council:
ADDRESS: 38 AVENUE KLEBER, 75116 PARIS

in the event of a **TRANSFER** of the **REGISTERED OFFICE** or of an **ESTABLISHMENT**, SIRET No.:

If there are no longer any employees, date:

Is activity being maintained at the old registered office?

Yes No

(10)
So far as the enterprise is concerned, this establishment is:
CATEGORY(IES): new modified terminated
 registered office
TRADING STYLE (where applicable): main establishment secondary establishment

ANALYSIS OF THE MODIFICATION THAT HAS TAKEN PLACE

(11)
In the event of an establishment being OPENED, of a MODIFICATION
IN ITS MODE OF WORKING, or of ADDITIONAL ACTIVITY, state: date:
1 AUGUST 1999 and ORIGIN:

<input checked="" type="checkbox"/> creation	<input type="checkbox"/> transfer of activity
<input type="checkbox"/> purchase	<input type="checkbox"/> investment
<input type="checkbox"/> take over after	<input type="checkbox"/> taking on a business lease
<input type="checkbox"/> leasing the business	<input type="checkbox"/> other (specify).

Identity of PREVIOUS OPERATOR:
(surname, forenames, or company name)

RCS or SIREN No.:
Where applicable, date on which the Trade Register (RCS) entry of
previous operator was deleted or modified:
(may be filled-in by the Registrar)

In the event of PROPERTY being ACQUIRED (By PURCHASE or by
INVESTMENT) state the title and the date of the legal announcement
journal in which the assignment was published:

In the event of a BUSINESS LEASE BEING TAKEN ON, state duration of
the contract: from _____ to _____
and whether it is renewable tacitly: yes no

Identity of LESSOR of PROPERTY: surname, forenames, address or
company name, registered office

(12)
In the event of an establishment being CLOSED, of a MODIFICATION
IN ITS MODE OF WORKING, or of ACTIVITY CEASING, state: date:
1 AUGUST 1999 and DESTINATION:

<input checked="" type="checkbox"/> disappearance	<input type="checkbox"/> transfer of activity
<input type="checkbox"/> sale	<input type="checkbox"/> investment
<input type="checkbox"/> taken back by owner	<input type="checkbox"/> leased as a business
<input type="checkbox"/> other (specify).	

Identity of BENEFICIARY:
surname, forenames, address or company name, registered office:

(13)
ACTIVITIES CARRIED OUT in said establishment on the date of this formality: (to be filled-in only if the establishment is new or if its activities have been modified).
 permanent seasonal itinerant
following work:
 beginning being modified ending

(14)
MAIN ACTIVITY:

SECONDARY ACTIVITIES:

(15)

(16) *Stamp of the INPI*

(17)
Any observations by the declarer, or any other modification(s):
date of the modification:

(18)
PERMANENT ADDRESS (for correspondence):

25 AVENUE KLEBER, 75116 PARIS

Tel No:

(19)
THE UNDERSIGNED: (family name, customary name, forenames, and if a representative, also specify status and address):
LES PETITES AFFICHES (JC), 2 RUE MONTESQUIEU, 75001 PARIS 10/0912.622

Representative:

requests that this document shall constitute a request

for an ENTRY in the: RCS , RM , RSAC , REBA ,

or for a CANCELLATION in the: RCS , RM , RSAC , REBA ,

and a declaration to the Tax Authorities, to the Social Security Bodies, to the Statistical Institute and, if ceasing to be an employer, to the Work Inspectorate and to the Unemployment Authorities.

Done at: *Paris*
on: *2 August 1999*
Signature: *(signature)*

This side for use by the Court Registrar only.

Registrar of the court at: *PARIS*
Registrar code: *7501*
Reference number:
RCS Register number:
Name:
Initials:

C O M P A N I E S A N D T R A D E R E G I S T E R

————— BODY CORPORATE —————

Registration: Primary Inscription: Addition
 Secondary Modified
Correction:
Deletion:

Date of arrival at Registrar's office:
Serial number in Registrar's office:

N O T E S

The Registrar and The National Institute for Industrial Property are the only parties authorized to deliver copies or extracts of the information which appears in the Companies and Trade Register, or of the Deeds which are filed in conjunction therewith, except struck of registrations which are communicated in the conditions set by the decree (of 24 September 1984) according to article 88 (decree n° 84-406 of 30 May 1984, Art 67).

Documentary Proofs:
Regulated activities: (Proof n° 24)
Date statutes filed:
Remarks by the Registrar:

The undersigned Registrar has verified that the accompanying declarations are in conformity with the proofs provided in application of the Rules, and has consequently proceeded with the above-indicated inscription:

Inscription dated:
Certified by the Registrar:

*Stamp of the
PARIS TRADE COURT
Filing No. 42581
9 AUGUST 1999*

Box reserved for the National Companies and Trade Register

*For certified true copy of the
National Companies and Trade Register*

LILLE

28 JULY 2000

*For the Director General
of the National Institute of
Industrial Property
Department Head*

Stamp of the INPI

CHAMBER OF PROFESSIONS

IN:

This side reserved for use by the Chamber of Professions.
Management No.
Entry No. in RM SIREN No.
NAME

R E G I S T E R O F P R O F E S S I O N S

- Request for Entry
 Record spouse as collaborator (Not bodies corporate)
 Declaration of a modification
 Request to be deleted
 Request for mention of spouse to be deleted (Not bodies corporate)
-

INITIATION COURSE ON MANAGEMENT
(Article 2 of the Law of 23 December 1982)
Attestation issued on:
Exemption - Reason for exemption

Documentary evidence:

In the event of a DECISION of the PRESIDENT OF THE CHAMBER OF
PROFESSIONS (Article 11 of the Decree of 10 June 1983)
Date request filed:
Request for additional information:
Requested information provided:
Deadline date on President's decision:
PRESIDENT'S DECISION:
report No.: date:
 Accepted rejected

in the event of coming before the COMMISSION OF THE REGISTER OF
PROFESSIONS (Articles 12 and 13 of the Decree of 10 June 1983)
Date of transmission to the Commission:
Date of Notification:
Payment of subscription (in FF)
 Cash Bank Cheque Postal Cheque
Reference in Counterfoil Register
Publication from: to:

Conformity of the accompanying declarations and the proofs provided in application of the Rules has
been verified under our responsibility:

DATE OF ENTRY:
The President of the Chamber of Professions:

Box reserved for the National Companies and Trade Register

(A)

- For NEW or MAINTAINED, in the event of transfer of registered office to another registrar or another chamber of professions, state:

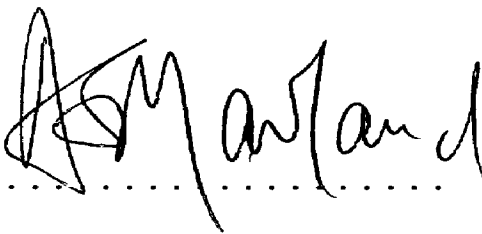
INDIVIDUALS (except liquidators): Date and place of birth, nationality and if director or partner is foreign: state references of residence permit or trading permit; for married partners, state date and place of marriage, type of marriage contract and any clauses applicable to third parties; for each member of the GIE, give the RCS and/or RM n°, and if they have married, name of spouse, date and place of marriage, type of marriage contract and any clauses applicable to third parties. In the case of a MANAGER and/or major partners of SARL, SCN or SCS in particular, attach a TNS document.

BODY CORPORATE: State legal name and forenames of the permanent secretary: For each member of the GIE give RCS and/or RM N°.

- For LEAVING: For a MANAGER or a major partner of SARL, SNC or SCS, state their date of birth.

I, Andrew Scott Marland, residing at 35, avenue Chevreul, 92270 Bois-Colombes, France, hereby certify that I am conversant with the French language and am a competent translator thereof into the English language, and that to the best of my knowledge and belief the following is a true and correct translation of the accompanying certificate concerning a change of company name.

Signed this 20th day of September 2001


.....
—

GREFFE DU TRIBUNAL

DE :

CODE GREFFE :

REGISTRE DU COMMERCE ET DES SOCIÉTÉS

SIGLE :

IMMATRICULATION

- PRINCIPALE
- SECONDAIRE

INSCRIPTION

- COMPLÉMENTAIRE
- MODIFICATIVE

- CORRECTION
- RADIATION

Date d'arrivée au Greffe :

Numéro d'arrivée au Greffe :

NOTA :

Les Greffiers et l'Institut National de la Propriété Industrielle sont astreints et seuls habilités à délivrer à toute personne qui en fait la demande des certificats, copies ou extraits des inscriptions portées au registre et actes déposés en annexe, sauf en ce qui concerne les inscriptions radiées, qui sont communiquées dans les conditions fixées par l'arrêté (du 24 septembre 1984), prévu à l'article 88 (décret n° 84-406 du 30 mai 1984, art. 67)

PIÈCES JUSTIFICATIVES :

ACTIVITÉS RÉGLEMENTÉES (pièce n° 24) :

DATE de DÉPÔT des STATUTS :

OBSERVATIONS du GREFFIER :

ma

La conformité des déclarations ci-annexées avec les pièces justificatives produites en application des règlements a été vérifiée par le Greffier soussigné qui a procédé en conséquence à l'inscription ci-dessus désignée
DATE DE L'INSCRIPTION :
Certifié, le Greffier

CHAMBRE DE COMMERCE de PARIS

N° de dépôt

09 AOUT 1999

ADRESSE RÉSERVÉE

AU REGISTRE

NATIONAL

DE COMMERCE

ET DES SOCIÉTÉS

Numéro de référence :

NUMÉRO D'IMMATRICULATION RCS :

NOM OU DÉNOMINATION :

INDUSTRIELLE
DE LA PROPRIÉTÉ
NATIONAL
A L'INSTITUT
CADRE RÉSERVÉ

La conformité des déclarations ci-annexées avec les pièces justificatives produites en application des règlements a été vérifiée sous notre responsabilité
DATE DE L'INSCRIPTION,
Le Président de la Chambre de Métiers

en cas de PASSAGE en COMMISSION du RÉPERTOIRE DES MÉTIERS (articles 12 et 13 du décret du 10.06.83)

en cas de DÉCISION DU PRÉSIDENT de la CHAMBRE de MÉTIERS (article 11 du décret du 10.06.83)

STAGE D'INITIATION A LA GESTION (article 2 de la loi du 23/12/82)

PIÈCES JUSTIFICATIVES :

Attestation - date de délivrance :
Dispense - motif de la dispense :

Production des renseignements demandés :

Date limite de la décision du Président :
Date de dépôt de la demande :
Demande de renseignements complémentaires :

P.Y. n° :
en date du :
Accord
Rejet

Arrêté du :
Affichage du :
au :

en cas de PASSAGE en COMMISSION du RÉPERTOIRE DES MÉTIERS (articles 12 et 13 du décret du 10.06.83)

Date de la transmission à la Commission de Répertoire :
Date de la notification :
 Paiement de la redevance : en F.
 espèces chèque bancaire chèque postal

Référence du Registre à souches :
 en cas de PASSAGE en COMMISSION du RÉPERTOIRE DES MÉTIERS (articles 12 et 13 du décret du 10.06.83)

DEMANDE D'IMMATRICULATION

INSCRIPTION DE MENTION DE CONJOINT

COLLABORATEUR (Personnes Physiques uniquement)

DEMANDE DE RADIATION

RADIATION DE MENTION DE CONJOINT

COLLABORATEUR (Personnes Physiques uniquement)

DÉCLARATION DE MODIFICATION

RÉPERTOIRE DES MÉTIERS

NUMÉRO D'IMMATRICULATION RM :
SIREN :
RM :

NOM OU DÉNOMINATION :

Numéro de gestion :

Côte réservée à la Chambre de Métiers

DE :

CHAMBRE DE MÉTIERS

INSTITUT NATIONAL DE COMMERCE ET DES SOCIÉTÉS

TRADEMARK

REEL: 002693 FRAME: 0758

GREFFE DU TRIBUNAL DE COMMERCE DE PARIS

REGISTRE DU COMMERCE

DOSSIER CONTENANT PHOTOCOPIES CERTIFIEES CONFORMES

DELIVREE LE : 6 NOV. 2001

LE GREFFIER ,



TRADEMARK
REEL: 002693 FRAME: 0759

March 12, 2003

Via Express Mail

Commissioner of Patents
and Trademarks
Arlington, VA 22202
Attn: Assignment Branch

Re: Recordal of Change of Name
From: Alstom France SA
To: Alstom Holdings
Mark: VESTA
Registration No.: 1,985,161
Dated: July 9, 1996
In the United States Patent and Trademark Office

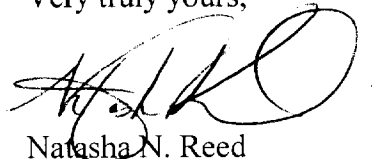
Dear Sir:

Please proceed with recordal of the enclosed change of name from Alstom France SA to Alstom Holdings against the above-referenced trademark registration.

For this purpose, we enclose a certified copy of the Declaration of Modification; Recordation Form Cover Sheet; and, our check in the amount of \$40.00 to cover the required fee.

We look forward to receiving the notice of recordation in due course.

Very truly yours,



Natasha N. Reed

PMK/esp 011768.1002

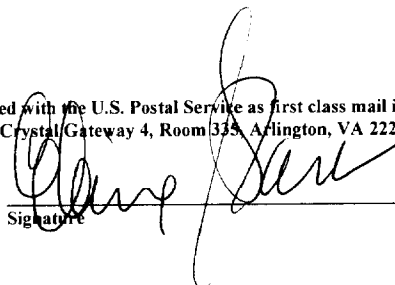
Enclosures

CERTIFICATE OF MAILING

Certificate No. EU382241851USS

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an Express Mail envelope addressed to: U.S. Patent and Trademark Office, Office of Public Records, Crystal Gateway 4, Room 335, Arlington, VA 22202, on 3/12/2003 (Date of Deposit).

Elaine S. Parker
Name


Signature

March 12, 2003
Date of Signature

W6-730510_1.DOC

47, Avenue Georges Mandel
75116 Paris, France
(33) (1) 44.05.80.00

1300 I Street, N.W.
Washington, D.C.
20005-3306
202-408-3600

350 South Grand Avenue
Los Angeles, CA
90071-3442
213-613-2800

201 South Biscayne Boulevard
Miami, FL
33131-4332
305-358-1666