# Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Fahnestock & Co. Inc.		08/28/2003	CORPORATION:

### **RECEIVING PARTY DATA**

Name:	Oppenheimer & Co. Inc.	
Street Address:	125 Broad Street	
City:	New York	
State/Country:	NEW YORK	
Postal Code:	10004	
Entity Type:	CORPORATION:	

#### PROPERTY NUMBERS Total: 24

Property Type	Number
Registration Number:	2440904 A BROKER FOR THE REST OF US
Registration Number:	2493526 A WALL STREET CENTURY
Registration Number:	2371573
Registration Number:	2415515 BECAUSE TRADING CAN BE HAZARDOUS TO YOUR WEALTH
Registration Number:	2596310 BUYANDHOLD INSTANT DEPOSIT
Serial Number:	75692923 BUYANDHOLD
Registration Number:	2432324 BUYANDHOLD.COM
Registration Number:	2447161 BUYANDHOLD.COM
Registration Number:	1390232 EXECUTIVE ASSETS ACCOUNT
Registration Number:	2167100 FAHNESTOCK
Registration Number:	2149667 OPCAP ADVISORS
Registration Number:	2152821 OPCAP ADVISORS
Registration Number:	1239737 OPPENHEIMER
Registration Number:	2282507 OPPENHEIMER REAL ASSET FUND
	TR

TRADEMARK
REEL: 002718 FRAME: 0130

900001929

Registration Number:	1750380 OPPENHEIMERFUNDS
Registration Number:	1986682 OPPENHEIMERFUNDS LIFETRUST
Registration Number:	2145809 OPPENHEIMERFUNDS.COM
Registration Number:	2170186 PORTFOLIO SOLUTIONS
Registration Number:	2524428 TECHLECOM
Registration Number:	2457202 THE MOM CHRONICLES
Registration Number:	2626780 THE ONLINE BROKER FOR LONG TERM INVESTORS
Registration Number:	2027895 TRADE FLASH
Registration Number:	1311435 UNCOMMON SENSE
Registration Number:	2413933 YOUR FUTURE IS WORTH THE INVESTMENT

#### **CORRESPONDENCE DATA**

Fax Number: (212)895-2900

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 212-895-2000

Email: jmartingano@brownraysman.com

Correspondent Name: Brown Raysman Millstein Felder & Steiner

Address Line 1: 900 Third Avenue

Address Line 4: New York, NEW YORK 10022

ATTORNEY DOCKET NUMBER: 4923/25

NAME OF SUBMITTER: Monica B. Richman

Total Attachments: 1

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TRADEMARK
REEL: 002718 FRAME: 0131

## Certificate of Amendment of the Certificate of Incorporation

of

#### Fahnestock & Co. Inc.

#### Under Section 805 of the Business Corporation Law

FIRST: The name of the corporation (the "Corporation") is Fahnestock & Co. Inc. The name under which the Corporation was formed was Edward A. Viner & Co., Inc.

SECOND: The certificate of incorporation of the Corporation was filed by the Department of State on December 23, 1954. The certificate of incorporation of the Corporation was emended on March 21, 1988.

THIRD: The amendment of the certificate of incorporation of the Corporation effected by this certificate of amendment is to change the name of the Corporation.

FOURTH: To accomplish the foregoing amendment, Article First of the certificate of incorporation of the Corporation relating to the change of name of the Corporation is hereby amended to read as follows:

> "FIRST: The name of the Corporation is Oppenheimer & Co. Inc."

FIFTH: The foregoing amendment of the certificate of incorporation of the Corporation was authorized by the consent in writing of all the members of the Board of Directors of the Corporation, followed by the written consent of holders of outstanding shares of the corporation entitled to vote on the said amendments of the certificate of incorporation, having not less than the minimum requisite proportion of votes, which has been given in accordance with Section 615 of the Business Corporation Law. Written notice has been given as and to the extent required by the said Section 615.

Dated: August 28, 2003

Dennis P. McNamara, Senior Vice President

and Assistant Secretary

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TOTAL P.04

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