

703-306-5995

Form PTO-1594

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼

RECORDATION FORM COVER SHEET  
TRADEMARKS ONLY

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ROCKWELL INTERNATIONAL CORPORATION

- Individual(s)
- General Partnership
- Corporation-State
- Other \_\_\_\_\_
- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other \_\_\_\_\_
- Merger
- Change of Name

Execution Date: 02/25/02

2. Name and address of receiving party(ies)

Name: ROCKWELL AUTOMATION, INC.

Internal Address: Legal Department

Street Address: 1201 South Second Street

City: Milwaukee State: WI Zip: 53204-2496

- Individual(s) citizenship \_\_\_\_\_
- Association \_\_\_\_\_
- General Partnership \_\_\_\_\_
- Limited Partnership \_\_\_\_\_
- Corporation-State, Delaware
- Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)  
Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s) \_\_\_\_\_

B. Trademark Registration No.(s) \_\_\_\_\_

see attached "EXHIBIT A"

Additional number(s) attached  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John M. Miller

Internal Address: Rockwell Automation, Inc.  
Legal Department

Street Address: 1201 South Second Street

City: Milwaukee State: WI Zip: 53204-2496

6. Total number of applications and registrations involved:

6

7. Total fee (37 CFR 3.41).....\$ 165.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

01-0857

DO NOT USE THIS SPACE

9. Signature.

Linda K. Jansen

Name of Person Signing

*Linda K. Jansen*  
Signature

11/21/03

Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patent & Trademarks, Box Assignments  
Washington, D.C. 20231

CH \$165.00 010857 2186369

**EXHIBIT "A"**

<b>TRADEMARK</b>	<b>REG. NO.</b>	<b>DOCKET NO.</b>
CONVERGENCE	2186359	5577
GALAXY	1427236	4406
ICAT	2196866	7827
ICAT	2202429	7828
SPECTRASET	2145715	5581
SPECTRUM	2129193	5379

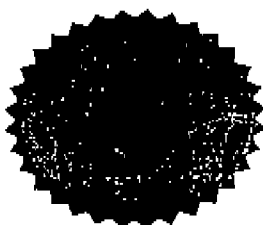
# Delaware

PAGE 1

*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ROCKWELL INTERNATIONAL CORPORATION", CHANGING ITS NAME FROM "ROCKWELL INTERNATIONAL CORPORATION" TO "ROCKWELL AUTOMATION, INC.", FILED IN THIS OFFICE ON THE TWENTY-FIFTH DAY OF FEBRUARY, A.D. 2002, AT 1:31 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE TWENTY-FIFTH DAY OF FEBRUARY, A.D. 2002, AT 11:59 O'CLOCK P.M.



*Harriet Smith Windsor*  
Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 1634122

DATE: 02-26-02

2650151 8100

020129691

TRADEMARK  
REEL: 002751 FRAME: 0723

STATE OF DELAWARE : 37  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
FILED 01:31 PM 02/25/2002  
020122969 - 2650151

CT SYSTEM

312 345 4344 P. 03

**CERTIFICATE OF AMENDMENT  
OF  
RESTATED CERTIFICATE OF INCORPORATION  
OF  
ROCKWELL INTERNATIONAL CORPORATION**

**(Pursuant to Section 242 of the General  
Corporation Law of the State of Delaware)**

Rockwell International Corporation, a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware (the "Corporation"), does hereby certify as follows:

**FIRST:** That at a meeting of the Board of Directors of the Corporation held November 7, 2001, resolutions were duly adopted setting forth a proposed amendment to the Restated Certificate of Incorporation of said Corporation (the "Amendment"), declaring said Amendment to be advisable and directing that said Amendment be submitted to the shareowners of the Corporation for their consideration and approval by the requisite vote at the Corporation's 2002 Annual Meeting of Shareowners to be held on February 6, 2002.

**SECOND:** That thereafter, pursuant to the By-Laws of the Corporation, the Annual Meeting of Shareowners of the Corporation was duly held on February 6, 2002 upon notice in accordance with Section 222 of the General Corporation Law of the State of Delaware, at which meeting the Amendment was approved by the requisite vote.

**THIRD:** That said Amendment amends the Restated Certificate of Incorporation of the Corporation by deleting Article

**FIRST** and substituting in lieu thereof the following new Article **FIRST**, to read in its entirety as follows:

**"FIRST:** The name of the Corporation is **Rockwell Automation, Inc."**

**FOURTH:** That said Amendment was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware

**FIFTH:** That this Certificate of Amendment of the Restated Certificate of Incorporation shall be effective at 11:59 p.m., Eastern Time, on February 25, 2002.

**IN WITNESS WHEREOF,** the Corporation has caused this certificate to be signed by **W. J. Callae, Jr.**, its Senior Vice President, General Counsel and Secretary, this 25<sup>th</sup> day of February, 2002.

**ROCKWELL INTERNATIONAL CORPORATION**

By:



**W. J. Callae, Jr.**  
**Senior Vice President, General Counsel and Secretary**