# Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE: **NEW ASSIGNMENT** 

NATURE OF CONVEYANCE: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

#### **CONVEYING PARTY DATA**

| Name                         | Formerly | Execution Date | Entity Type            |
|------------------------------|----------|----------------|------------------------|
| U.S. Bancorp Licensing, Inc. |          | 12/23/2003     | CORPORATION: MINNESOTA |

#### **RECEIVING PARTY DATA**

| Name:             | U.S. Bancorp Piper Jaffray Inc. |  |  |
|-------------------|---------------------------------|--|--|
| Street Address:   | 800 Nicollet Mall               |  |  |
| Internal Address: | Suite 800                       |  |  |
| City:             | Minneapolis                     |  |  |
| State/Country:    | MINNESOTA                       |  |  |
| Postal Code:      | 55402                           |  |  |
| Entity Type:      | CORPORATION: DELAWARE           |  |  |

#### PROPERTY NUMBERS Total: 5

| Property Type  | Number   | Word Mark               |
|----------------|----------|-------------------------|
| Serial Number: | 76035213 | E-WORLD                 |
| Serial Number: | 76035214 | E-WORLD PORTFOLIO       |
| Serial Number: | 78286148 | PIPER JAFFRAY MORTGAGE  |
| Serial Number: | 78306247 | PIPER JAFFRAY & CO.     |
| Serial Number: | 78306250 | PIPER JAFFRAY COMPANIES |

#### **CORRESPONDENCE DATA**

Fax Number: (612)288-9696

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

612-337-2519 Phone: Email: gannon@fr.com

Correspondent Name: Michael L. Gannon, Esq.

Address Line 1: 60 South Sixth Street, Suite 3300 Address Line 4: Minneapolis, MINNESOTA 55402

ATTORNEY DOCKET NUMBER: 11416-705001

TRADEMARK

900004192 **REEL: 002775 FRAME: 0544** 

NAME OF SUBMITTER: Michael L. Gannon, Esq.

Total Attachments: 2

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TRADEMARK REEL: 002775 FRAME: 0545

### Trademark Assignment

WHEREAS, U.S. Bancorp Licensing, Inc. ("Assignor"), a Minnesota corporation having a business address of 800 Nicollet Mall, Minneapolis, MN 55402, has adopted and is using in the United States and its territories, either directly or through related companies, the various trademarks, service marks, trade names, trade dress, logos, slogans, designs and other source-identifying indicia identified in Attachment A (hereinafter the "MARKS");

WHEREAS, U.S. Bancorp Piper Jaffray Inc. ("Assignee"), a Delaware corporation having a place of business at 800 Nicollet Mall, Minneapolis, MN 55402, desires to acquire all rights and goodwill associated with the MARKS which assignor owns;

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor does hereby assign unto Assignee all of its right, title and interest in and to the MARKS, together with the goodwill of the business symbolized by the MARKS, effective December 30, 2003 at 1:00 p.m. Eastern Standard Time.

|   | U.S. BANCORP LICENSING, INC.  |
|---|---|
|   | By:   |
| STATE OF Minnesota                          | 1   |
| COUNTY OF HENNEDIA                          |   |
| BEFORE ME, the undersigned auth             | nority, on this <u>Brid</u> day of <u>Decluder</u> 2003, personally wn to me to be the person whose name is subscribed to |
| the foregoing document and being by me      | e first duly sworn, declared that the statements therein  |
| contained are true and correct.             | Macu Sun Du +   |
| TRACY J. KNEWTSON  Notary Public  Minnesota | Notary Public in and for the State of Mmcntr  |
| My Commission Expires Jan. 31, 2005         | Tracy J. Knewtson   |
|   | (Printed/Typed Name of Notary) My Commission Expires:  -3 -2005   |
|   | Try Commission Expires  |

TRADEMARK REEL: 002775 FRAME: 0546

## ATTACHMENT A

| MARK                    | SERIAL#    | FILING DATE | REGISTRATION# | REGISTRATION DATE |
|-------------------------|------------|-------------|---------------|-------------------|
| E-WORLD                 | 76/035,213 | 04/26/2000  |               |                   |
| E-WORLD PORTFOLIO       | 76/035,214 | 04/26/2000  |               |                   |
| PIPER JAFFRAY MORTGAGE  | 78/286,148 | 11/12/2003  |               |                   |
| PIPER JAFFRAY & CO.     | 78/306,247 | 09/26/2003  |               |                   |
| PIPER JAFFRAY COMPANIES | 78/306,250 | 09/26/2003  |               |                   |

**RECORDED: 01/09/2004** 

TRADEMARK REEL: 002775 FRAME: 0547