08-06-2009	
	Reference No. 28055/10046 R SHEET  UNLY
To the Commissioner of Ti.	
1. Name of conveying party(ies): Penwest Pharmaceuticals Co.  ☐ Individuals ☐ Association ☐ General Partnership ☐ Limited Partnership	Name and address of receiving party(ies):     J. Rettenmaier & Söhne GmbH & Co KG     Holzmuehle 1     Rosenberg
Corporation Washington	Tedinidual(a) aidinanahina
☐ OtherAdditional name(s) of conveying party(ies) attached? ☐ Yes	☐ Individual(s) citizenship: ☐ Association:
No     No	☐ General Partnership:
	☑ Limited Partnership: Germany
	□ Corporation:
	□ Other:
	If assignee is not domiciled in the United States, a domestic
	representative designation is attached:
	<ul><li>✓ Yes □ No</li><li>(Designation must be a separate document from Assignment).</li></ul>
	Additional name(s) & address(es) attached?
	☐ Yes ► No
3. Nature of Conveyance:	
☑ Assignment ☐ Merger	
☐ Security Agreement ☐ Change of Name	
☐ Other	
	0 3
Execution Date: February 27, 2003	7 5
4. Application number(s) or registration number(s):	
A. Trademark serial No.(s)	B. Trademark registration No.(s)  See attached Schedule.  Attached?   EYes   No.  No.(s)  ARCE  7.  20.
	은 글 (
	See attached Schedule.
4.13%	· 1 10 max max
	attached? 图Yes □No 8
5. Mail correspondence to:	involved: 23
Michael R. Graham, Esq.	involved. 23
Marshall, Gerstein & Borun	7. Attached is a check in the amount of \$590.00 the total fee
6300 Sears Tower 233 South Wacker Drive	due pursuant to 37 C.F.R. §3.41.
Chicago, Illinois 60606	parameter of the same specific
(312) 474-6300	8. Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required under 37 C.F.R. §3.41, or credit any overpayment, to Deposit Account No. 13-2855. A copy of this
	Recordation Form Cover Sheet is enclosed.
9. Statement and signature.	process dation 1 of m cover sheet is chelosed.
	s information is true and correct and any attached copy is a true
copy of the original document.	$A \cap A \cap A$
Gregory J. Chinlund	July 31, 2003
Name of Person Signing	Signature Date
Traine of Ferson organing	) Daic
`	Total number of pages comprising cover sheet:8
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### Schedule of J. RETTENMAIER & SÖHNE GMBH & CO KG U.S. Trademark Applications

Application Serial No.	n Serial No. Mark	
76/370,134	EXCIPIO ECONOMICS	
76/370,133	EXCIPONOMICS	
76/398,225	NUTRASOLV SMCC	
76/398.226	NUTRASOLV	

# Schedule of J. RETTENMAIER & SÖHNE GMBH & CO KG U.S. Trademark Registrations

Registration No.	Mark
1,460,474	CANDEX
1,231,554	COMPACTROL
1,220,459	EMCOCEL
1,024,029	EMCOMPRESS
1,207,700	EMCOSOY
1,024,028	EMDEX
1,256,473	EMDEX PLUS
1,097,160	EXPLOTAB
1,254,197	FIRMATAB
1,174,778	LUBRITAB
1,339,870	PPD-1000
1,119,432	SUGARTAB and Design
2,223,135	PROSOLV SMCC and Design
2,229,490	MULTICEL-N
2,237,290	PROSOLV SMCC and Design
2,505,862	PROSOLV
2,598,602	PROSOLV HD and Design
2,241,832	Miscellaneous Design (Graph)

#### TRADEMARK ASSIGNMENT (UNITED STATES)

Penwest Pharmaceuticals Co., a Washington corporation with its principal office at 2981 Route 22, Patterson, New York 12563-9970 (the "Seller"), has used and is using the trademarks identified on Schedule A and is the owner of the trademark applications and registrations identified on Schedule A, including the goodwill of the business connected with the use of, and symbolized by, said marks.

For good and valuable consideration, the receipt of which is hereby acknowledged, the Seller hereby assigns to J. Rettenmaier & Söhne GmbH & Co KG, a German limited partnership with its principal office at Holzmuehle 1, Rosenberg, Germany (the "Buyer"), the entire right, title and interest in and to the trademark applications and registrations listed on Schedule A and the trademarks which are the subjects thereof, including the goodwill of the business connected with the use of, and symbolized by, said marks.

The Seller further agrees, for itself, its successors and assigns, to execute such further documents and to perform such further lawful acts as may reasonably be requested by the Buyer to effectuate this assignment.

Witness my hand and seal this 77<sup>th</sup>day of February, 2003.

PENWEST PHARMACEUTICALS, CO.

County of New

Then personally appeared the above named fine the fined of the Seller and acknowledged the foregoing act to be his or her free act and deed, before me, this 20 February, 2003.

Notary Public

My commission expires:

CHRISTINE M. RYAN Notary Public, State of New York No. 4843260

Qualified in Suffolk County) Commission Expires April 30, \_

**NEWYORK 62771v3** 

## SCHEDULE A Trademark Applications and Registrations in United States

### **APPLICATIONS**

TRADEMARK	SERIAL NO.	FILING DATE
EXCIPIO ECONOMICS	76/370134	02/12/02
EXCIPONOMICS	76/370133	02/12/02
NUTRASOLV SMCC	76/398225	04/22/02
NUTRASOLV	76/398226	04/22/02
NUSOLV	75/698766	05/05/99

### **REGISTRATIONS**

TRADEMARK	REG. NO.	REG. DATE
CANDEX	1460474	10/13/87
COMPACTROL	1231554	03/22/93
EMCOCEL	1220459	12/21/82
EMCOMPRESS	1024029	11/04/75
EMCOSOY	1207700	09/14/82
EMDEX	1024028	11/04/75
EMDEX PLUS	1256473	11/08/83
EXPLOTAB	1097160	07/25/78
FIRMATAB	1254197	10/18/83
LUBRITAB	1174778	10/27/81
PPD-1000	1339870	06/11/85
SUGARTAB and Design	1119432	05/29/79
PROSOLV SMCC	2223135	02/09/99

- 2 -

NEWYORK 62771v3

MULTICEL-N	2229490	03/02/99
PROSOLV SMCC and Design	2237290	04/06/99
PROSOLV	2505862	11/13/01
PROSOLV HD and Design	2598602	07/23/02
Miscellaneous Design (Graph)	2241832	04/27/99

**RECORDED: 08/04/2003**