

10-08-2003

10-6-03

Form PTO-1594
(Rev. 03/01)
OMB No. 0651-0027 (exp. 5/31/2002)
Tab settings ⇨ ⇨ ⇨ ▼



102570328

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

SNL Securities, LP
PO Box 2124, 321 E. Main St.
Charlottesville, VA 22902

- Individual(s)
- General Partnership
- Corporation-State
- Other limited liability company
- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: SNL Financial LC

Internal Address: PO Box 2124

Street Address: 1 SNL Plaza

City: Charlottesville State: VA Zip: 22902

- Individual(s) citizenship
- Association
- General Partnership
- Limited Partnership
- Corporation-State
- Other limited liability company

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from assignment)
Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other merger/change of name/street address
- Merger
- Change of Name

Execution Date: 10/1/03

4. Application number(s) or registration number(s):

A. Trademark Application No.(s) _____

B. Trademark Registration No.(s) 2193035

Additional number(s) attached Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dennis S. Rooker

Internal Address: _____

Street Address: 182 Spotnap Rd., Ste. B-1

City: Charlottesville State: VA Zip: 22911

6. Total number of applications and registrations involved: _____

1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number: _____

2003 OCT -6 AM 9:47
OPR/FINANCE

DO NOT USE THIS SPACE

9. Signature.

Dennis S. Rooker, Attorney
Name of Person Signing

Signature

October 1, 2003
Date

Total number of pages including cover sheet, attachments, and document:

10/07/2003 ECOOPER 00000174 2193035

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patent & Trademarks, Box Assignments
Washington, D.C. 20231

01 FC:0521

40.00 OP

TRADEMARK
REEL: 002840 FRAME: 0477

S013822 - 4

COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

March 26, 1997

The State Corporation Commission finds the accompanying articles submitted on behalf of

SNL LIQUIDATION, L.C.

to comply with the requirements of law. Therefore, it is ORDERED that this

CERTIFICATE OF MERGER

be issued and admitted to record with the articles in the office of the Clerk of the Commission. Each of the following:

SNL SECURITIES, L.P.

is merged into SNL SECURITIES LC (formerly SNL LIQUIDATION, L.C.), which continues to exist under the laws of VIRGINIA with the name SNL SECURITIES LC. The existence of each non-surviving entity ceases, according to the plan of merger.

The certificate is effective on March 26, 1997.

STATE CORPORATION COMMISSION

By



Commissioner

MERGACPT
CIS20436
97-03-26-4000

ARTICLES OF ORGANIZATION

96 APR -8 PM 12: 59

SNL Liquidation, L.C.

These Articles of Organization of SNL Liquidation, L.C. are submitted pursuant to Virginia Code Annotated Sections 13.1-1000, *et seq.*

1. The name of the limited liability company shall be SNL Liquidation, L.C.
2. The address of the registered office of the limited liability company shall be 38280 John Wolford Road, Purcellville, Virginia 22132 and the name of its initial registered agent at that address shall be Mark Rollinson, a resident of the Commonwealth of Virginia and a member in good standing of the Virginia State Bar (No. 22060). *Registered office located at*
Address:
3. The address of the principal office of the limited liability company shall be 410 East Main Street, Charlottesville, Virginia 22902.
4. The period of duration of the limited liability company shall be from the day the State Corporation Commission issues a Certificate of Organization for the limited liability company until December 31, 1999.

SNL Liquidation, L.C.

By: 

Mark Rollinson
Person forming SNL Liquidation, L.C.
38280 John Wolford Road
Purcellville, Virginia 22132
(540-882-3881)
(fax 882-3884)

COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

April 8, 1996

The State Corporation Commission has found the accompanying articles submitted on behalf of

SNL LIQUIDATION, L.C.

to comply with the requirements of law, and confirms payment of all related fees.

Therefore, it is ORDERED that this

CERTIFICATE OF ORGANISATION

be issued and admitted to record with the articles of organization in the Office of the Clerk of the Commission.

STATE CORPORATION COMMISSION

By



Commissioner

96-04-08-4015

**Commonwealth of Virginia
State Corporation Commission
Articles of Amendment to Amend and Restate the Articles of Organization of
SNL Securities LC**

The undersigned limited liability company, pursuant Title 13.1, Chapter 12, Article 2 of the Code of Virginia, hereby executes the following Articles of Amendment to Amend and Restate the Articles of Organization and sets forth:

ONE

The name of the limited liability company is SNL Securities LC

TWO

The text of the Amended and Restated Articles of Organization is as follows:

- 1 The name of the limited liability company is: SNL Financial LC
- 2 The name of the limited liability company's registered agent is Reid Nagle
- 3 The registered agent is an individual who is a resident of Virginia, and is a manager of the limited liability company
- 4 The limited liability company's registered office address which is identical to the business office of the registered agent, is 321 E. Main Street, Charlottesville, VA 22902 which is located in the City of Charlottesville
- 5 The post office address, including the street and number of the principal office is: 321 E. Main Street, Charlottesville, VA 22902
- 6 The limited liability company will be managed by Managers.

THREE

The foregoing Amended and Restated Articles of Organization was adopted on January 18, 2002.

FOUR

The Amended and Restated Articles of Organization was approved by a majority vote of the members entitled to vote and by a majority of the managers as required by the Operating Agreement

The undersigned declares that the facts herein stated are true as of January 18, 2002



Daniel Oakey, Vice President & Manager

COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

February 5, 2002

The State Corporation Commission has found the accompanying articles submitted on behalf of

SNL Financial LC
(formerly known as SNL SECURITIES LC)

to comply with the requirements of law, and confirms payment of all required fees.

Therefore, it is ORDERED that this

CERTIFICATE OF AMENDMENT AND RESTATEMENT

be issued and admitted to record with the articles of amendment in the Office of the Clerk of the Commission effective February 5, 2002.

STATE CORPORATION COMMISSION

By



Commissioner

02-01-24-4121
LLAACPT
CIS0436

TRADEMARK
REEL: 002840 FRAME: 0482

COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

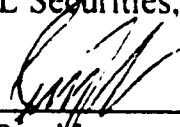
ARTICLES OF AMENDMENT TO THE ARTICLES OF ORGANIZATION
OF SNL SECURITIES LC (FORMERLY SNL LIQUIDATION, L.C.)

The undersigned limited liability company, pursuant to Title 13.1, Chapter 12, Article 2 of the Code of Virginia, hereby executes these articles and sets forth:

1. The name of the limited liability company (the "LLC") is SNL Securities LC, having changed its name from its former name of SNL Liquidation, L.C. The number assigned to the LLC by the Virginia State Corporation Commission is S013822-4.
2. In Paragraph 4 of the LLC's original Articles of Organization, the "December 31, 1999" date is deleted and there hereby is substituted in its place and stead "December 31, 2026."
3. The above amendment was adopted on May 15, 1997.
4. The above amendment was adopted by a vote of the members in accordance with Chapter 12 of Title 13.1 of the Code of Virginia.

The undersigned declares that the facts herein stated are true as of May 15, 1997.

SNL Liquidation LC
By SNL Securities, Inc.

By 

President
Its Manager

L 2525 SNL VASC CL AAO

COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

June 6, 1997

The State Corporation Commission has found the accompanying
articles submitted on behalf of

SNL SECURITIES LC

to comply with the requirements of law, and confirms payment of
all related fees.

Therefore, it is ORDERED that this

CERTIFICATE OF AMENDMENT

be issued and admitted to record with the articles of amendment in
the Office of the Clerk of the Commission June 6, 1997.

STATE CORPORATION COMMISSION

By



Commissioner

LLAACPT
CIS20461
97-06-06-4023

TRADEMARK
REEL: 002840 FRAME: 0484

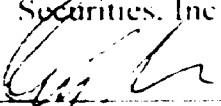
COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION
ARTICLES OF MERGER FOR A LIMITED LIABILITY
COMPANY AND A LIMITED PARTNERSHIP

The undersigned limited liability company and the undersigned limited partnership, pursuant to Title 13.1, Chapter 12, Article 13 of the Code of Virginia and the laws of the State of Delaware, hereby execute the following articles of merger and set forth:

1. The Plan and Agreement of Merger is attached as Exhibit A. The name of the Virginia limited liability company effective upon the merger is changed to SNL Securities LC.
2. Name of surviving entity: SNL Securities LC
Jurisdiction of organization of surviving entity: Virginia
Address of principal office: 410 East Main Street, Charlottesville, Va 22901
3. The Plan and Agreement of Merger was adopted by the limited liability company organized and existing under Chapter 12 of Title 13.1 of the Code of Virginia in accordance with § 13.1-1071 of the Code of Virginia.
4. The merger is permitted by the laws of the State of Delaware, the state under whose law the foreign limited partnership that is a party to this merger is organized, and the foreign limited partnership that is a party to the merger has complied with that law in effecting the merger.

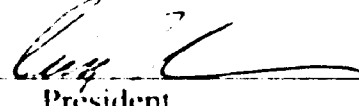
The undersigned declare that the facts herein stated are true as of March 24 1997.

SNL Securities L.P.
By SNL Securities, Inc.

By 

President
Its General Partner

SNL Liquidation LC
By SNL Securities, Inc.

By 

President
Its Manager

TRADEMARK

REEL: 002840 FRAME: 0485

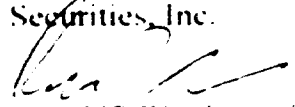
EXHIBIT A
PLAN AND AGREEMENT OF MERGER
OF
SNL LIQUIDATION L.C. A VIRGINIA LIMITED LIABILITY COMPANY,
AND
SNL SECURITIES L.P., A DELAWARE LIMITED PARTNERSHIP

The parties agree as follows:

1. The names of the limited liability company and the limited partnership proposing to merge pursuant to Section 13.1-1070 of the Code of Virginia, 1950 and Section 17-211 of the Limited Partnership Act of the State of Delaware are SNL Liquidation L.C. a Virginia limited liability company (the "L.L.C.") to whom the Virginia State Corporation Commission has assigned its number S013822-4, and SNL Securities L.P., a Delaware limited partnership (the "Partnership") registered to transact business in Virginia to whom the Virginia State Corporation Commission has assigned its number M005893-5. The surviving entity of the merger will be SNL Liquidation L.C. a Virginia limited liability company.
2. The merger shall be effective as promptly as permitted by law.
3. A one percent general or limited partnership interest in the Partnership (and all rights to acquire such an interest) as it exists immediately prior to the merger automatically shall become a one percent interest (or a right to acquire a one percent interest) in the L.L.C effective upon the merger. All ownership interests in the L.L.C (and all rights to acquire such interests) as the same exist immediately prior to the merger shall be extinguished and terminated automatically upon the merger.
4. The articles of organization of the Virginia limited liability company that is to be the survivor of the merger shall be and hereby are amended to change the name of such survivor of the merger. The name of the survivor effective upon the merger shall be SNL Securities LC.
5. The certificate of merger filed in Delaware shall act as a certificate of cancellation in Delaware for SNL Securities L.P.

WITNESS the following signatures as of March 24, 1997.

SNL Securities L.P.
By SNL Securities, Inc.

By 
President
Its General Partner

© 1995 SNL VASC II, 1995

SNL Liquidation LC
By SNL Securities, Inc.

By 
President
Its Manager